# Study on Huffman Coding 

Submitted By<br>Mohammad Nurul Huda<br>M. Sc. Engineering Student<br>Department of Computer Science and Engineering<br>Student Id: 100105006P

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Supervised by
Dr. M. Kaykobad
Professor, Department of CSE, BUET

## DEPARTMENT OF COMPUTER SCIENCE AND ENGINEERING BANGLADESH UNIVERSITY OF ENGINEERING AND TECHNOLOGY DHAKA, BANGLADESH <br> OCTOBER 2004

## Certificate

This is to certify that I have done the thesis entitled "Study on Huffman Coding", under the supervision of Dr. M. Kaykobad and it has not been submitted elsewhere for the award of any degree or diploma.

(Dr. M. Kaykobad)
Professor
Department of CSE, BUET
Dhaka-1000, Bangladesh

(Mohammad Nurul Huda)
M. Sc. Engineering Student Student Id: 100105006P Department of CSE, BUET Dhaka-1000, Bangladesh

## STUDY ON HUFFMAN CODING

A thesis submitted by

## Mohammad Nurul Huda

Student Id: 100105006P
for the partial fulfillment of the degree of
M. Sc. Engineering (Computer Science and Engineering).

Examination held on October ${ }^{\text {Ff', }} 2004$.
Approved as to style and contents by:


Chairman and
Professor
Department of Computer Science and Engineering Bangladesh University of Engineering and Technology
Dhaka-1000, Bangladesh


Ex-officio
Professor and Head
(Member)
Department of Computer Science and Engineering
Bangladesh University of Engineering and Technology
Dhaka-1000, Bangladesh


Member
Assistant Professor
Department of Computer Science and Engineering
Bangladesh University of Engineering and Technology
Dhaka-1000, Bangladesh
hd Zones Th bel

Dr. Muhammed Zafar Iqbal
External
Professor and Head
(Member)
Department of Computer Science and Engineering Shahjalal University of Science and Technology
Sylhet, Bangladesh


#### Abstract

Since the discovery of the Huffman encoding scheme in 1952, Huffman codes have been widely used in the efficient storing of data, image and video. Huffman coding has been subjected to numerous investigations in the past 60 years. Many techniques have been proposed since then. But still this is an important field as it significantly reduces storage requirement and communication cost. In this thesis, "Study on Huffman Coding", we have directed our works mainly to Repeated Huffman Coding that is a lossless compression technique.

The application of Huffman coding technique again and again on a file, then it is called Repeated Huffman coding. While it is expected that encoded message length will be smaller in every pass of Repeated Huffman coding, nevertheless encoding the tree itself will be an overhead in each pass. So repetition count will depend upon how efficiently we can represent a Huffman tree.

A memory efficient representation of a Huffman tree is suggested in this thesis. This representation is very important in the context of Repeated Huffman coding where ultimate compression ratio depends upon the efficiency of encoding the Huffman tree. It reduces overhead which incurs in every pass of Repeated Huffman coding. Because of reduction of overhead, the Huffman Coding scheme can be applied effectively on a file for a larger number of iterations.

The proposed algorithms require less than $\lceil 5 n / 4\rceil$ memory spaces in the worst case to represent a Huffman tree for n distinct symbols, which is an improvement over the existing technique that requires at most $\lceil 3 \mathrm{n} / 2\rceil$ memory spaces.

Such a saving in the representation of Huffman trees will improve the performance of the Repeated Huffman coding as well as Block Huffman coding where multiple tree headers must be stored for a file of large size.

The tree clustering algorithm is also analyzed to get an optimal clustering of a Huffman tree so that along with reduction of memory requirement search process for a symbol in a Huffman tree is speeded up.


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## 1

## INTRODUCTION

### 1.1 Introduction to Data Compression Techniques

The main objective of data compression techniques is to transform raw data into a form which is in reduced size but from which original data can easily be extracted. Mainly a compression technique focuses on reduction of storage requirements and communication cost over the network.

Data compression techniques can be divided into two major categories.
i) Lossy data compression
ii) Lossless data compression

Lossy data compression concedes a certain loss of accuracy in exchange for greatly increased compression. Lossy compression proves effective when it is applied to graphical images and digitized voice. Most lossy compression techniques can be adjusted to different quality levels, gaining accuracy in exchange for less effective compression.

Lossless compression technique guarantees to generate an exact duplicate of the input data stream after an encoding or a decoding cycle. This type of compression is used when storing database records, spreadsheets, or word processing files. In these applications, the loss of even a single bit could be catastrophic. All of the techniques discussed in this thesis are lossless.

Data Compression $=$ Modeling + Coding


Figure 1.1: Data Encoding Process

A model is simply a collection of data and rules used to process input symbols and determine which code(s) to output. A program uses the model to accurately define the probability for each symbol and the coder to produce an appropriate code based on those probabilities.

A statistical model with Huffman coding counts occurrence of each symbol in the message, then constructs a Huffman tree based upon symbol probabilities and generates a code for each input symbol. Using these codes, Huffman tree and input symbols the encoder builds a compressed output stream.

Data compression enters into the field of Information Theory because of its concern with redundancy. Redundant information in a message takes extra bits to encode, and if we can get rid of that extra information, we will have reduced the size of the message.

Information Theory uses the term entropy as a measure of how much information is encoded in a message. The higher the entropy of a message, the more information it contains. The entropy of a symbol is defined as the negative logarithm of its probability (p).

$$
\text { Information content of a symbol }=-\log _{2} p
$$

The entropy of an entire message is simply the sum of the entropy of all individual symbols.

### 1.2 Literature Review

Data can be compressed using variable length compression techniques whenever some data symbols are more likely to appear than others. Shannon [28-29] showed that for the best possible compression code in the sense of minimum average code length, output length contains a contribution of $-\log _{2} p$ bits from the encoding of each symbol whose probability of occurrence is $p$. The term redundancy has been defined by Shannon as a property of the code. D. A. Huffman [16] first introduced a minimum redundancy method of source coding called a "Huffman code". Connel [6] derived a Huffman-Shannon-Fano (HSF) code by adopting a notion of Shannon-Fano [9] code and combining it with Huffman code, wherein the code symbols appear lexicographically.

Huffman algorithm requires two passes over the text. This causes delay when used for network communication. In file compression applications the extra disk accesses can slow down the operation. Faller [8] and Gallager [10] independently proposed a one-pass scheme, which has been improved substantially by Knuth [19] for dynamic Huffman codes, usually known as FGK codes. J. S Vitter [33]
has analyzed the dynamic Huffman codes and proposed an optimal algorithm. He also derived a tight upper bound for the Dynamic Huffman codes.

There are some practical problems in using Traditional Huffman coding. One of the most prominent problems is that the whole stream must be read prior to coding. This is a major problem when file size is too large. Mannan and Kaykobad [23] solved the problem through introducing the Block Huffman coding.

In every pass of Repeated Huffman coding, a Huffman tree must be stored in output stream. So an efficient representation of Huffman tree is required. Hashemian [11] presented a tree clustering algorithm to avoid sparsity of Huffman trees. Finding the optimal solution of this clustering problem is still open. Chung [5] gave a data structure requiring memory size of only $2 \mathrm{n}-3$ to represent the Huffman tree, when $n$ is the number of symbols. Chen et al. [3] improved the data structure to reduce the memory requirements to $\lceil 3 n / 2\rceil+$ $\lceil(n / 2) \log \eta\rceil+1$. Chowdhury et al. [4] further improved the data structure to reduce memory requirement to $\lceil 3 \mathrm{n} / 2\rceil$ by considering circular leaf nodes (node with two external nodes).

Mandal [22] introduced a universal, lossless data compression technique named as Running Difference Method. R. Schack [27] proposed a bound regarding the length of a typical Huffman codeword. Abu-Mostafa and McEliece [1] proposed a maximum codeword length in Huffman codes. Vitter [13] gave a technique of parallel lossless image compression using Huffman and Arithmetic coding. Hu and Chang [15] proposed a new lossless compression scheme based on Huffman coding for image compression. Turpin [31] introduced an efficient finite-state machine implementation of Huffman decoders. Elabdalla and Irshid [7] proposed an efficient bitwise Huffman coding technique based on source mapping.

Langdon [20] introduces Arithmetic coding technique, which was analyzed by Vitter [12] and subsequently implemented by Apiki [2].

### 1.3 Scopes and Objectives of the Thesis

While it is expected that encoded message length will be smaller in every pass of Repeated Huffman' coding, nevertheless encoding the tree itself will be an overhead in each pass. Because of this overhead in every pass, compression ratio cannot be indefinitely improved. An efficient representation of a Huffman tree is required so that the scheme can be economically applied for a larger number of iterations.

The objectives of the thesis are:

- Efficient representation of Huffman tree through less than $\lceil 3 n / 2\rceil$ memory spaces, which is very important in the context of Repeated Huffman coding.
- Determination of effective number of iterations for Repeated Huffman coding.
- Improvement of performance of Huffman coding using Block Huffman coding.
- Selecting L (Maximum level for each cluster) to do effective clustering of a Huffman tree to speed up search process for a symbol in a Huffman tree and reduce memory size.


### 1.4 Organization of the Thesis

Chapter One introduces the area of current research work, and states the importance and objective of the work. A discussion on works related to the current one has also been presented. This chapter also focuses on entropy, lossless and lossy compression techniques.

Different Coding Techniques such as Shannon-Fano, Huffman Coding and Arithmetic Coding are given in Chapter Two. This Chapter also concentrates on variations of Huffman coding.

Chapter Three illustrates mainly the proposed methods of representation of a Huffman tree. It also discusses existing techniques of this tree representation.

Chapter Four focuses on Huffman tree clustering algorithm. Reduction of sparsity of Huffman tree, memory mapping, decoding by a clustered tree and optimal cluster length.

Chapter Five illustrates Block Huffman coding. It also focuses on algorithms for source and continuous data, and block size selection.

Chapter Six has been devoted to design of the experiments for the proposed methods of Huffman Tree representation and Block Huffman Coding. It also presents results of experiments, comparisons among different proposed methods over existing methods.

Chapter Seven concentrates on conclusion of the thesis and recommendation for future work

## CHAPTER

## 2

## CODING TECHNIQUES

### 2.1 Introduction to Coding Techniques

Once Information Theory had advanced to where the number of bits of information in a symbol could be determined, the next step was to develop new methods for encoding information. To compress data it is required to encode symbols with exactly the number of bits of information the symbol contains. If the character ' $e$ ' only gives us four bits of information, then it should be coded with exactly four bits. If ' $x$ ' contains twelve bits, it should be coded with twelve bits.

### 2.2 Different Coding Techniques

Every character can be coded with same number of bits or with the bits that are required by the information content. Depending on this, coding can be done with the two techniques i) Fixed length Coding ii) Variable length Coding.

## Fixed Length Coding

In fixed length coding a character is coded with the same number of bits. By encoding character using EBCDIC or ASCII, we clearly are not going to be very close to an optimum method.

Table 2.1 shows fixed length codes for each of the symbol of the message "CAB"
Table 2.1: Fixed Length Codes

| Character | Fixed Length Code |
| :---: | :---: |
| C | 01000011 |
| A | 01000001 |
| B | 01000010 |

## Variable Length Coding

The codes in which the source symbols are encoded with different lengths of code symbols are considered as variable length codes. These codes are becoming
increasingly important as the costs of communication in distributed systems and external storage are beginning to dominate the costs for internal memory and computation. Variable length codes are more efficient in the sense that fewer bits for representation of same piece of information are required on an average than fixed length codes. This can yield tremendous savings in communication system and file compression.

### 2.3 Different Variable Length Coding Techniques

Solving the problem of fixed length coding practitioners of Information Theory use Shanon-Fano and Huffman coding techniques-two different ways of generating variable length codes when given a probability table for a given set of symbols.

The problem with Huffman or Shannon-Fano coding is that they use an integral number of bits in each code. If the entropy of a given character is 2.5 bits, the Huffman code for that character must be either 2 or 3 bits, not 2.5. Because of this Huffman codes cannot be considered as an optimal coding method.

Though variable length coding does not necessarily achieve theoretical lower bound of compression due to using an integral number of bits per code, it is relatively easy to implement.

### 2.3.1 Shannon-Fano Coding Technique

Shannon-Fano coding technique produces instantaneous decodable code words. This technique builds a decoding/encoding tree known as Shannon-Fano tree and it can be built by the algorithm 2.1 from the list of source symbols with a corresponding list of probabilities or frequency counts.

Step 1: Build a node with the total frequency count; this node is the root of the tree.
Step 2: Divide the list of symbols into two parts, with the total frequency counts of the upper half being as close to the total of the bottom half as possible.
Step 3: The weight of upper half of the list is assigned to the right child of the previous node, and the lower half to the left.

Step 4: Recursively apply the same procedure to each half, subdividing groups and adding to preceding nodes until each symbol has become a leaf on the tree.

[^0]The following Tables and Figure 2.1-2.4 describe the construction process of Shannon-Fano tree

Assume source text is "ABCCDDEEE"

## Step 1: Count Frequency for Every Distinct Character

Table 2.2: Frequency Count

| Symbol | Count |
| :---: | :---: |
| A | 1 |
| B | 1 |
| C | 2 |
| D | 2 |
| E | 3 |

Step 2: Shannon-Fano Tree Construction

Table 2.3: First Frequency Division

| Symbol | Count | Code |
| :---: | :---: | :---: |
| A | 1 | 0 |
| $B$ | 1 |  |
| C | 2 |  |
| Division 1 |  |  |
| $D$ | 2 | 1 |
| E | 3 |  |

Table 2.4: Second Frequency Division

| Symbol | Count | Code |
| :---: | :---: | :---: |
| A | 1 | 0 |
| B | 1 |  |
| C | 2 |  |
| Division 1 |  |  |
| D | 2 | 10 |
| Division 2 |  |  |
| E | 3 | 11 |



Figure 2.1: Tree after First Division


Figure 2.2: Tree after Second Division

Table 2.5: Third Frequency Division

| Symbol | Count | Code |
| :---: | :---: | :---: |
| A | 1 | 00 |
| B | 1 |  |
| Division 3 |  |  |
| C | 2 | 01 |
| Division 1 |  |  |
| D | 2 | 10 |
| Division 2 |  |  |
| E | 3 | 11 |



Figure 2.3: Tree after Third Division
Table 2.6: Fourth Frequency Division

| Symbol | Count | Code |
| :---: | :---: | :---: |
| A | 1 | 000 |
| Division 4 |  |  |
| B | 1 | 001 |
| Division 3 |  |  |
| C | 2 | 01 |
| Division 1 |  |  |
| D | 2 | 10 |
| Division 2 |  |  |
| E | 3 | 11 |



Figure 2.4: Tree after Fourth Division

### 2.3.2 Huffman Coding Technique

Huffman coding achieves the minimum amount of redundancy possible in a fixed set of variable-length codes. This does not mean that Huffman coding is an optimal coding method. It means that it provides the best approximation for coding symbols when using fixed length coded.

It is a statistical data-compression technique. It produces variable length codes for the source symbols. In this technique, both encoding and decoding are done by a decision tree which is popularly known as Huffman tree; devised by D. A. Huffman. Its application reduces the average code length used to represent the symbols of the source alphabet. Algorithm 2.2 describes how to construct a Huffman tree from a list of symbols with their occurrences in the file.

```
Procedure Huffman(C)
    \(\mathrm{N}=|\mathrm{C}|\)
    Insert(Q, C)
    For \(\mathrm{i}=1\) to \(\mathrm{N}-1\) do
        \(\mathrm{X}=\mathrm{MINIMUM}(\mathrm{Q})\)
        \(\mathrm{Y}=\mathrm{MINIMUM}(\mathrm{Q})\)
        \(\mathrm{Z}=\mathrm{CREATE}\) _NODE()
        Z \(\uparrow . \mathrm{LEFT}=\mathrm{X}\)
        Z \(\uparrow\).RIGHT=Y
        \(\mathrm{Z} \uparrow . \mathrm{FREQ}=\mathrm{X} \uparrow . \mathrm{FREQ}+\mathrm{Y} \uparrow . \mathrm{FREQ}\)
        Insert(Q, Z)
    End for
End Procedure
```

Algorithm 2.2: Huffman Tree Construction
The Figure 2.5 and Tables 2.7-2.8 describe the construction process of Huffman tree, generate code for each character of source text and then produce encoded bit stream.

Assume source text is "ENGINEERING"

## Step 1: Count Frequency for Every Distinct Character

Table 2.7: Frequency Count

| Symbol | Count |
| :---: | :---: |
| R | 1 |
| I | 2 |
| G | 2 |
| N | 3 |
| E | 3 |

## Step 2: Huffman Tree Construction

Q: R:1 I:2 G:2 E:3 N:3


Figure 2.5: Huffman Tree Construction Process


Figure 2.5: Huffman Tree Construction Process (continued)

## Step 3: Code Generation

Table 2.8: Code for Each Character

| Character | Code |
| :---: | :---: |
| E | 10 |
| N | 11 |
| G | 00 |
| I | 011 |
| R | 010 |

## Step 4: Encoded Bit Stream

 1011000111110100100111100
### 2.4 Arithmetic Coding Technique

Arithmetic coding is a viable successor to Huffman coding. It does not produce a single code for each symbol. Instead, it produces a code for an entire message by incrementally modifying the output code. This is an improvement because the net effect of each input symbol on the output code can be a fractional number of bits instead of an integral number. Algorithm 2.3 shows encoding process in Arithmetic coding technique.

Step 1: A current interval $[\mathrm{L}, \mathrm{H})$ initialized to $[0,1)$.
Step 2: For each symbol of the file, it performs two steps
Step 2.1: Subdivide the current interval into subintervals, one for each possible alphabet symbol. The size of a symbol's subinterval is proportional to the estimated probability that the symbol will be the next symbol in the file, according to the model of the input.

Step 2.2: Select the sub-interval corresponding to the symbol that actually occurs next in the file, and make it the new current interval.

Step 3: Finally output enough bits to distinguish the final current interval from all other possible final intervals.

## Example

The Tables 2.9-2.11 describe the step by step procedure to get compressed code from a source text.

Assume source text is "CSE DEPTT."

## Step 1: Probability Count and Distribution

Table 2.9: Probability Distribution

| Character | Probability | Range |
| :---: | :---: | :---: |
| Space | 0.1 | $[0.00,0.10)$ |
| C | 0.1 | $[0.10,0.20)$ |
| D | 0.1 | $[0.20,0.30)$ |
| E | 0.2 | $[0.30,0.50)$ |
| P | 0.1 | $[0.50,0.60)$ |
| S | 0.1 | $[0.60,0.70)$ |
| T | 0.2 | $[0.70,0.90)$ |
| . | 0.1 | $[0.90,1.00)$ |

Step 2: Result of the Arithmetic Coding of the Message "CSE DEPTT."

Table 2.10: Low and High Values

| New Character | Low Value | High Value |
| :---: | :---: | :---: |
|  | 0.0000000000 | 0.1000000000 |
| C | 0.1000000000 | 0.2000000000 |
| S | 0.1600000000 | 0.1700000000 |
| E | 0.1630000000 | 0.1650000000 |
| Space | 0.1630000000 | 0.1632000000 |
| D | 0.1630400000 | 0.1630600000 |
| E | 0.1630460000 | 0.1630500000 |
| P | 0.1630480000 | 0.1630484000 |
| T | 0.1630482800 | 0.1630483600 |
| T | 0.1630483360 | 0.1630483520 |
| . | 0.1630483504 | 0.1630483520 |

Step 3: Result of Decoding Process of the Encoded Message
Table 2.11: Arithmetic Decoding

| Encoded Number | Output Character | Range |
| :---: | :---: | :---: |
| 0.1630483504 | C | $[0.10,0.20)$ |
| 0.6304835040 | S | $[0.60,0.70)$ |
| 0.3048350400 | E | $[0.30,0.50)$ |
| 0.0241752000 | space | $[0.00,0.10)$ |
| 0.2417520000 | D | $[0.20,0.30)$ |
| 0.4175200000 | E | $[0.30,0.50)$ |
| 0.5876000000 | P | $[0.50,0.60)$ |
| 0.8760000000 | T | $[0.70,0.90)$ |
| 0.8800000000 | T | $[0.70,0.90)$ |
| 0.9000000000 | e | $[0.90,1.00)$ |
| 0.0000000000 |  |  |

## Difficulties of Arithmetic Coding

- Arithmetic coding requires more CPU power than was available until recently. Even now it will generally suffer from a significant speed disadvantage when compared to other coding methods.
- The shrinking of the current interval requires the use of high precision arithmetic.


### 2.5 Variations of Huffman Coding

Traditional Huffman coding suffers from many problems such as slowness of disk access and network communication. Besides it cannot be continued for an effective number of iterations. As a result it degrades compression ratio. Different variations of Huffman coding are available to improve the performance of Traditional Huffman coding.

### 2.5.1 Static Huffman Coding

It is a traditional Huffman scheme that collects frequency of occurrence of different symbols to be coded in the first pass, constructs a tree based upon which symbols receive codes. Then in the second pass symbols are coded and sent to the receiver. However, along with codes corresponding to symbols the Huffman tree is also sent.

## Problems of Static Huffman Coding

- This technique requires two passes.
- Since it needs two passes, this causes delay when used for network communication.
- In file compression applications the extra disk accesses can slow down the algorithm.


## Solutions of Problems of Static Huffman Coding

- Faller (an adaptive system for data compression) and Gallager (Variations on a theme by Huffman) independently proposed a one-pass scheme, later improved substantially by Knuth (Dynamic Huffman coding), for constructing Dynamic Huffman codes. Dynamic Huffman coding solves problems of Static Huffman coding.
- Scott J. Vitter gave an optimum solution of updating a Huffman tree dynamically.


### 2.5.2 Dynamic Huffman Coding

In Dynamic Huffman coding both the sender and receiver start with the same initial tree and thereafter stay synchronized; they use the same algorithm to modify the tree after each letter is processed. Thus there is never need for the sender to transmit the tree to the receiver, unlike the case of Static Huffman coding.

## Example

Dynamic Huffman coding algorithm operates on the following message
"abcd..."


Figure 2.6: Huffman Tree after Receiving ' $a$ '


Figure 2.7: Huffman Tree after Receiving ' $b$ '


Figure 2.8: Huffman Tree after Receiving ' $c$ '


Figure 2.9: Huffman Tree after Receiving 'd'

## Sibling Properties

When a symbol comes, tree must be updated to maintain the following properties

- The $n$ leaves have nonnegative weights $W=\left(w_{i} \mid i=1 . . n\right)$, and the weight of each internal node is the sum of the weights of its children.
- The nodes can be numbered in non-decreasing order by weight, so that nodes $2 \mathrm{j}-1$ and 2 j is sibling, for $1 \leq \mathrm{j} \leq \mathrm{n}-1$, and their common parent node is higher in numbering.

Algorithm 2.4 shows how to update a dynamic Huffman tree for each symbol of the message.

Procedure Update
Begin
$\mathrm{q}=$ leaf node corresponding to $\mathrm{a}_{t+1}$
IF q is the 0 -node and $\mathrm{k}<\mathrm{n}-1$ then
Begin
Replace q by a parent 0 -node with two leaf 0 -node children, numbered in the order left child, right child, and parent $\mathrm{q}=$ right child just created
End
IF q is the sibling of a 0 -node then
Begin
Interchange $q$ with the highest numbered leaf of the same weight Increment q's weight by 1 $\mathrm{q}=$ parent of q
End
WHILE q is not the root of the Huffman tree
Begin
Interchange $q$ with the highest numbered node of the same weight
Increment q's weight by 1
$q=$ parent of $q$
End
End
End Procedure
Algorithm 2.4: Dynamic Huffman Tree Construction

## Tree Update Operation

The Figures 2.10-2.12 shows the update operation of a Huffman tree.


Figure 2.10: Huffman Tree before Updating


Figure 2.11: Tree Processing to Update after Receiving another ' $b$ '


Figure 2.12: Updated Huffman Tree by ' $b$ '

### 2.5.3 Block Huffman Coding

Although Huffman coding is not the best among existing coding methods, it is the most-cited coding method till present time. Huffman published his paper on coding in 1952, and it instantly became the most imperative work in Information Theory. Huffman's original work spawned many variations. And it dominated the world of coding till the early 1980's.

But there are some practical problems in using Original Huffman coding. One of the most important problems is that we have to read the whole stream prior to coding. This is a major problem when

- File size is too large
- Source stream is continuous

When file size is large, it will take much longer time to build the Huffman header for compression. This happens because it is required to read the whole file twice from the source stream that is in most cases from hard disk. In the first read pass it is required to build the Huffman tree to get a code for each individual character. In the second read pass we do the real work of compression. If file size, which is small, can be stored in main memory, reading in second pass can be done from main memory instead of hard disk. This will reduce overhead time of reading from a slow speed device twice. But when file size is large, it cannot be stored in main memory. In this case it is unavoidable to read second time from hard disk drive. Though currently hard disk drives with data transfer rate 3 to $5 \mathrm{~KB} /$ second are available, still it will take significant time. With original method of Huffman coding, there is no way through which we can avoid it. Algorithm 2.5 illustrates how Block Huffman coding works.

Step 1: Read a block from the File into main memory.
Step 2: Build the Huffman tree and code for this Block.
Step 3: Compress this block by reading it from main memory.
Step 4: Put the header and compressed data to output file.
Step 5: If there is more data in input file, goto stepl. Otherwise coding is ended

Algorithm 2.5: Block Huffman Coding

## How It Solves Our Problems

This method can handle both the drawbacks mentioned above. In the first case, the file is read from hard disk only once; compression speed increases significantly because second pass reading is done from the main memory that is much faster than the hard disk. Now the file size may be as large as possible without suffering double penalty for reading two times from hard disk drive. So first problem mentioned above is solved.

## Multiple Header Storage

There is a potential problem of increase in size of compressed data due to the storage space of multiple headers for a single file. The problem may be shown pictorially in the following way

Uncompressed File
Header $\quad$ Compressed Data

Figure 2.13: Uncompressed and Compressed File in Huffman Coding

## Uncompressed Data

| Header 1 | Compressed data 1 | Header 2 | Compressed data 2 |
| :--- | :--- | :--- | :--- |

Figure 2.14: Block Huffman Coding
In this method as the number of blocks increases, the overhead for storing multiple headers becomes significant. This causes penalty in compression ratio. That's why a redesign of the storage method of multiple headers is needed.

### 2.5.4 Repeated Huffman Coding

If Huffman coding technique can be applied effectively on a file again and again,
then it is called Repeated Huffman coding. While it is expected that encoded message length will be smaller in every pass of Repeated Huffman coding, nevertheless encoding the tree itself will be an overhead in each pass. So repetition count will depend upon how efficiently we can represent a Huffman tree. If a Huffman tree can be represented efficiently in memory, Repeated Huffman coding technique can be applied in an effective number of times. If we can do so, compression ratio will be increased.

Before compressed

## Uncompressed File

After Compressed

| Tree Header | Compressed data |
| :---: | :---: |

Figure 2.15: Structure of Compressed and Uncompressed File

## Example

Assume a file contains total 102 times A, 100 times B and 1 time C.
File content is given below
BB..BCAA..A

Required bits to represent the file $=(102+100+1) \times 8$

$$
=1624
$$

First Pass of Compression:
Huffman tree is just like as follow


Figure 2.16 Huffman tree for $100 \mathrm{~B}, 1 \mathrm{C}$ and 102 A
Code for A, C and B are 0,10 and 11 respectively.
Bits to code the Huffman tree $=3$ (Preorder sequence and then discard right most 0 's)
Required bits for compressed code $=(100 \times 2+2+102)+$ Tree Header

$$
\begin{aligned}
& =304+\text { Code for Tree }+ \text { Distinct Symbols } \\
& =304+3+3 \times 8 \\
& =331
\end{aligned}
$$

Format of compressed file in first pass of compression

| Code for 100 B's | Code for 1 C's | Code for 102 A's | Tree Code | Distinct <br> Symbols |
| :--- | :--- | :--- | :--- | :--- |


| $111 . .1$ | 10 | $000 . .0$ | 101 | ABC |
| :--- | :--- | :--- | :--- | :--- |

$s_{1}, s_{2}$ and $s_{3}$ symbols can be defined by taking 8 bits for each symbol where $\mathrm{s}_{1}=11111111, \mathrm{~s}_{2}=10000000$ and $\mathrm{s}_{3}=00000000$. Symbol $\mathrm{s}_{4}$ is 101 .

## Second Pass of Compression:

There are $25 \mathrm{~s}_{1}, 1 \mathrm{~s}_{2}, 12 \mathrm{~s}_{3}, 1 \mathrm{~s}_{4}, 1 \mathrm{~A}, 1 \mathrm{~B}$ and 1 C in the source file of second pass.
Huffman tree is just like as follow


Figure 2.17 Huffman tree for $25 s_{1}, 12 s_{3}$, and $1 s_{2}, 1 s_{4}, 1 \mathrm{~A}, 1 \mathrm{~B}, 1 \mathrm{C}$
Code of $s_{1}, s_{2}, s_{3}, s_{4}, A, B$ and $C$ are $1,0000,01,0001,00110,00111$, and 0010 respectively.

Bits to code the Huffman tree $=9$ (Preorder Sequence and then discard right most 0 's)
Required bits for Compressed code $=(1 \times 25+4 \times 1+2 \times 12+4+5+5+4)+$ Tree Header

$$
\begin{aligned}
& =71+\text { Code for Tree }+ \text { Distinct Symbols } \\
& =71+9+7 \times 8 \\
& =136
\end{aligned}
$$

## Third Pass of Compression:

This pass cannot be continued because of degeneration.
Compression ratio for Traditional and Repeated Huffman coding is $79.62 \%$ and $91.63 \%$ respectively. For mentioned text Repeated version compresses $12 \%$ more than Traditional Huffman coding.

## Problems of Repeated Huffman Coding

An efficient representation of a Huffman tree is needed because the performance of the Repeated Huffman Coding depends on it.

## Solution of these Problems

- Chung [5] gave a data structure requiring memory size only $2 n-3$ to represent the Huffman tree, where $n$ is the number of distinct symbols.
- Chen et al. [3] improved the data structure further to reduce memory requirement to $\lceil 3 n / 2\rceil+\lceil n / 2 \lg n\rceil+1$.
- Chowdhury et al. [4] improved memory requirements by considering circular leaf nodes (nodes with two adjacent external nodes). Since a Huffman tree has at most $\lceil\mathrm{n} / 2\rceil$ circular leaf nodes, their memory requirement is $\lceil 3 n / 2\rceil$ at worst case


### 2.6 Conclusion

Traditional Huffman coding has many problems that are solved by Dynamic Huffman coding, Block Huffman coding and Repeated Huffman coding. Arithmetic coding generates a single code for the whole source message. But this coding technique needs high computational power as well as arithmetic precision.

## CHAPTER

 3
## REPRESENTATION OF HUFFMAN TREES

### 3.1 Introduction to Huffman Tree Representation

Coding of a Huffman tree is needed to get the tree from decoder side; otherwise extraction of data is not possible. Here coding of a Huffman tree means representation of the tree so that it can be reconstructed by decoder with minimal effort. There are many techniques to represent a Huffman tree. Next sections are devoted to discuss to the existing and proposed techniques of a Huffman tree representation.

### 3.2 Existing Representation Techniques

Two existing techniques of Huffman tree representation are discussed.

### 3.2.1 Circular Leaf node Technique

In this technique along with information symbols it is required to send information of all circular leaf nodes (nodes with two adjacent external nodes) that uniquely determines a Huffman tree.

If $\dot{T}$ is a Huffman tree of height $h$, then $\check{T}$, the truncated Huffman tree, is obtained by removing all leaves (square nodes) and will have height $\mathrm{h}-\mathrm{I}$. $\check{\mathrm{T}}$ uniquely determines the Huffman tree T since symbols correspond to left and right son nodes of the circular leaf nodes and the other sons of other single-son circular nodes. Then Huffman codes, representing circular leaf nodes of $\check{T}$ uniquely determine $T$. If there is $m$ circular leaf nodes, $m$ codewords corresponding to
them, and $n$ symbols in order of appearance in the tree will suffice to represent the Huffman tree.
Consider the example of the following Figure. Let the leaf circular nodes of Figure are denoted by $\mathrm{C} 1, \mathrm{C} 2$ and C 3 respectively in order. Then corresponding Huffman codes are 00,0100 and 101. However, each of these codewords can be represented uniquely by integers whose binary representations are these codewords with 1 as prefix. The corresponding integers for $\mathrm{C} 1, \mathrm{C} 2$ and C 3 are respectively 4 for 100,20 for 10100 and 13 for 1101 . Along with A to J symbols 4,20 , and 13 are also sent as codes for circular leaf nodes. The receiver can obtain Huffman codes 00,0100 and 101 by deleting the attached prefix. These bit patterns will enable the receiver to construct all paths to the circular leaf nodes, and thus reconstruct the Huffman tree. So to reconstruct Huffman tree T, the following theorem is used.


Figure 3.1: Circular Leaf nodes c1, c2 and c3
Theorem 3.1 Let $S$ be the array of symbols in order of appearance in the Huffman tree $T$ and $D$ be the set of integer codes, corresponding to circular leaf nodes, sent to the receiver. Then the receiver can uniquely reconstruct the Huffman tree T.

Proof. Let $d_{i}$ be the integer corresponding to a circular leaf node i. By deleting the appropriate prefix from the binary representation of $d_{i}$, one can reconstruct the whole path leading to that node uniquely since bit 0 will take to the left and 1 to the right, and there is no ambiguity. Since each internal node of the Huffman tree
will appear on the paths of some of these circular leaf nodes, each internal node of the Huffman tree will appear in at least one of these paths. Now external nodes will appear either as left or right sons of the circular leaf nodes, or as one of the sons of circular nodes on the path to the circular leaf nodes. This will result in the construction of a unique Huffman tree $T$ since paths constructed for each $d_{i}$ are unique. Furthermore, the set of symbols S will label the external nodes in an orderly fashion with appropriate symbols.

Receiver will receive the following information with compressed data.

| Tree Header | Compressed data |
| :---: | :---: |

Tree header contains the following information

| Information Symbols | Codes of Circular leaf node |
| :---: | :---: |

For the Huffman tree of Figure 3.1, tree header contains the following information

| Information Symbols | Codes of Circular leaf nodes |  |  |
| :---: | :---: | :---: | :---: |
| ABCDEFGHIJ | I 00 | 10100 | 1101 |

Figure 3.2: Structure of Tree Header

## Space Complexity Analysis

Total number of distinct symbols $=\mathrm{n}$
Maximum number of circular leaf node in a Huffman tree $=\lceil\mathrm{n} / 2\rceil$
Space for circular leaf node representation $=\lceil n / 2\rceil$
Space for Huffman tree representation $=$ distinct character + circular leaf node

$$
\begin{aligned}
& =n+\lceil n / 2\rceil \\
& =\lceil 3 n / 2\rceil
\end{aligned}
$$

### 3.2.2 Code for Every External node

In this method the sender sends a codeword of 0 and 1 for every distinct symbol. The sender also sends all information symbols with codeword. After receiving the tree header, the receiver reconstructs the Huffman tree from information symbols and codeword. Then it starts decoding using constructed Huffman tree.


Figure 3.3: A Huffman Tree

The sender sends the following tree header with compressed data to the receiver.

| Symbols | A | B | C | D | E | F | G |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Code | 00 | 01 | 100 | 101 | 110 | 1110 | 1111 |

Figure 3.4: Structure of Trec Header

## A Huffman Tree Extraction Process

When the receiver receives a tree header from the sender, it follows the following steps to reconstruct a Huffman tree

## Example

| Symbols | A | B | C | D | E | F | G |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Code | 00 | 01 | 100 | 101 | 110 | 1110 | 1111 |

Figure 3.5: Received Tree Header


Figure 3.6: Reconstructing Huffman Tree


Figure 3.6: Reconstructing Huffman Trce (continued)

## Space Complexity Analysis

Total number of distinct symbols=n
Space for all codes=n
Total space for Huffman tree representation $=$ Symbols + codes

$$
\begin{aligned}
& =\mathrm{n}+\mathrm{n} \\
& =2 \mathrm{n}
\end{aligned}
$$

### 3.3 Proposed Methods of a Huffman Tree Representation

This thesis has proposed a series of methods to represent a Huffman tree efficiently in memory. Each of the methods takes space that is less than $\lceil 3 n / 2\rceil$ at worst case. Every proposed method improves the existing methods.

### 3.3.1 Level Order Technique

In this method each internal and external node is represented by ' 1 ' and ' 0 ' respectively. After traversing the Huffman tree by level order, a bit stream of 1's and 0 's, and a character sequence (external node only) of $n$ distinct symbols are


Figure 3.7: Huffman Tree for Level Order Technique

Level Order Bit Stream: 111111110000100010000

## Level Order Character Sequence: BCDE FGAIJKL

Algorithm 3.1 shows how to represent a Huffman tree using level order technique.
Step 1: Represent each internal node by ' 1 '
Step 2: Represent each external node by ' 0 '
Step 3: Traverse Huffman tree by level order to get a bit stream of 1's (internal node) and 0 's (external node), and a character sequence of n distinct symbols (external node only).

## Algorithm 3.1: A Huffman Tree Representation in Level Order Technique

Theorem 3.2 Let $S$ and $D$ be the array of symbols and set of characters (obtained from bit stream of 1 's \& 0 's) respectively, which have been obtained by traversing the Huffman Tree $T$ level order, sent to the receiver. Then the receiver can uniquely reconstruct the Huffman tree T.

## Proof.

Here $S=$ Set of $n$ distinct symbols
$D=$ Set of characters that is obtained from bit stream
$B=B i t$ stream that is generated from $D$
Scan the bit stream B from left to right. For each bit of B, either an internal or an external node will be created. If bit is ' 1 ', it will be an internal node; otherwise it will be an external node. If it is external node, corresponding symbol will be extracted from S . Both pointer of B and S is forwarded. Later internal node will be expanded for current bit of B as prior. Since the receiver is scanning both arrays from left to right always, there is no possibility to get more than one Huffman tree for the same bit stream and array of symbols. It concludes that T is a unique Huffman tree.

Algorithm 3.2 describes Huffman tree reconstruction procedure.

$$
\mathrm{i}=0
$$

r=CREATE_NODE()
$\mathrm{Q} \Leftarrow \mathrm{r}$
While $\mathrm{Q} \neq \mathrm{empty}$ do $\mathrm{p}=\mathrm{DELETE}(\mathrm{Q})$ If ( $\mathrm{t}[++\mathrm{i}]==^{\prime} 1^{\prime}$ ) then
newnode $=$ CREATE_NODE()
$\mathrm{p} \uparrow$.left=newnode
$\mathrm{Q} \Leftarrow$ newnode
Else
newnode= CREATE_NODE()
newnode $\uparrow$.info=character
$\mathrm{p} \uparrow$.left=newnode
newnode $\uparrow$.left $=$ NIL
newnode $\uparrow$.right $=$ NIL
End if If ( $\mathrm{t}[++\mathrm{i}]==^{\prime} 1^{\prime}$ ) then newnode $=$ CREATE_NODE()
$\mathrm{p} \uparrow$.right=newnode
$\mathrm{Q} \Leftarrow$ newnode
Else
newnode= CREATE_NODE()
newnode $\uparrow$.info=character
$\mathrm{p} \uparrow$.right=newnode
newnode $\uparrow$.left=NIL
newnode $\uparrow$.right=NIL
End if
End While
Algorithm 3.2: Huffman Tree Reconstruction

## Space Complexity Analysis

Number of distinct characters $=n$
So, number of internal nodes in a Huffman tree $=n-1$
And number of external nodes in a Huffman tree $=n$
n external nodes and $\mathrm{n}-1$ internal nodes representation are required
$\therefore$ Total required bits for internal and external nodes $=\mathrm{n}+(\mathrm{n}-1)$

$$
=2 n-1
$$

Total space required for a Huffman tree $\quad=n+(2 n-1) / 8$
$=n+\mathrm{n} / 4-1 / 8$
$=5 n / 4-1 / 8$

So, required memory in this method is less than existing method which takes at most $\lceil 3 n / 2\rceil$ memory spaces for a Huffman tree of $n$ distinct symbols.

### 3.3.2 Modified Level Order Technique

In this method each internal and external node is represented by ' 1 ' and ' 0 ' respectively. After traversing the Huffman tree by level order, a bit stream of 1 's and 0 's, and a character sequence (external nodes only) of $n$ distinct symbols are got. The right most 0 's (zeros) of the bit stream is discarded to obtain the final bit stream.


Figure 3.8: Huffman Tree for Modified Level Order Technique
Level Order Bit Stream: 111111110000100010000

Level Order Character Sequence: BCDE FGAIJKL
After Discarding Right Most Zeros Sequence: 11111111000010001

Algorithm 3.3 illustrates how to represent a Huffman Tree in Modified Level order technique.

Step 1: Represent each internal node by ' 1 '
Step 2: Represent each external node by ' 0 '
Step 3: Traverse Huffman tree by level order to get a bit stream of 1's (internal node) and 0 's (external node), and a character sequence of n distinct symbols (external node only).

Step 4: Discard the right most zeros from the bit stream.

## Algorithm 3.3: A Huffman Tree Representation in Modified Level Order Technique

Theorem 3.3 Let $S$ and $D$ be the array of symbols and set of characters (obtained from bit stream of 1 's \& 0 's after discarding right most zeros ) respectively, which have been obtained by traversing the Huffman Tree T level order, sent to the receiver. Then the receiver can uniquely reconstruct the Huffman tree $T$.

## Proof.

Here $\mathrm{S}=$ Set of n distinct symbols
$D=$ Set of characters that is obtained from bit stream
$B=B i t$ stream that is generated from $D$
Scan the bit stream B from left to right. For each bit of B, either an internal or an external node will be created. If bit is ' 1 ', it will be an internal node; otherwise it will be an external node. If it is external node, corresponding symbol will be extracted from S . Both pointer of B and S is forwarded. Later internal node will be expanded for current bit of B as prior. When bit stream has been finished, add two external nodes for each of the internal node that is not expanded. Extract symbol for each external node from S array. Since the receiver is scanning both arrays from left to right always so, there is no possibility to get more than one Huffman tree for the same bit stream and array of symbols. It concludes that $T$ is a unique Huffman tree.

Algorithm 3.4 shows how to reconstruct a Huffman tree

```
    i=0
    r=CREATE_NODE()
    Q}\Leftarrow\textrm{r
    While Q\not=empty do
            p=DELETE(Q)
            If tree bit stream is finished
                    Add an external node of corresponding character to
                    the left of p.
            Else If (t[++i] ='1') then
                            newnode= CREATE_NODE()
                    p}\uparrow.left=newnod
                    Q}\Leftarrow\mathrm{ newnode
            Else
            newnode= CREATE_NODE()
            newnode }\uparrow\mathrm{ .info=character
                    p}\uparrow.left=newnod
                    newnode\uparrow.left=NIL
                    newnode}\uparrow.right=NIL
                    End if
            If tree bit stream is finished
                    Add an external node of corresponding character to
                    the right of p.
            Else If (t[++i] ='1') then
            newnode= CREATE_NODE()
            p\uparrow.right=newnode
            Q}\Leftarrow\mathrm{ newnode
            Else
            newnode= CREATE_NODE()
            newnode\uparrow.info=character
            p\uparrow.right=newnode
            newnode }\uparrow.left=NIL
            newnode\uparrow.right=NIL
                    End if
End While
```

Algorithm 3.4: Huffman Tree Reconstruction

## Space Complexity Analysis

In this method best and worst cases are analyzed for the space complexity.

## Best Case Analysis

Best case occurs when the Huffman tree is fully balanced binary.


Figure 3.9: A Balanced Huffman Tree
Level Order Bit Stream: 111111100000000
After Discarding Right Most Zeros Sequence: 1111111
No. of distinct characters $=n$
Number of internal nodes in a Huffman tree $=n-1$
Number of external nodes in a Huffman tree $=\mathrm{n}$

There is no need to represent the external nodes
Total space for the Huffman tree $=$ Symbols + internal nodes

$$
\begin{aligned}
& =n+(n-1) / 8 \\
& =n+n / 8-1 / 8 \\
& =9 n / 8-1 / 8
\end{aligned}
$$

## Worst Case Analysis

Worst case occurs when a Huffman tree is like the Figure 3.10


Level Order Bit Stream: 1111111000000000100
After Discarding Right Most Zeros Sequence: 11111110000000001
Number of internal nodes in a Huffman tree $=n-1$
Number of external nodes in a Huffman tree $=n$
Only ( $n-1$ ) internal nodes and ( $\mathrm{n}-2$ ) external nodes representation are required
Total spaces for the Huffman tree $=$ Symbols + node representation

$$
\begin{aligned}
& =n+(2 n-1-2) / 8 \\
& =n+(2 n-3) / 8 \\
& =n+n / 4-3 / 8 \\
& =5 n / 4-3 / 8
\end{aligned}
$$

So, required memory in this method is less than existing method which takes at most $\lceil 3 n / 2\rceil$ memory spaces for a Huffman, tree of $n$ distinct symbols

### 3.3.3 Preorder Technique

In this method each internal and external node is represented by ' 1 ' and ' 0 ' respectively. After traversing the Huffman tree by preorder, a bit stream of 1 's and 0 's, and a character sequence (external node only) of $n$ distinct symbols are obtained.


Figure 3.11: Huffman Tree for Preorder Technique
Preorder Bit Stream: 111001001100100

## Preorder Character Sequence: ABCDEFGH

Algorithm 3.5 shows how to represent a Huffman tree in Preorder technique.
Step 1: Represent each internal node by ' 1 '
Step 2: Represent each external node by ${ }^{\prime} 0$ '
Step 3: Traverse Huffman tree by preorder to get a bit stream of 1's (internal node) and 0 's (external node), and a character sequence of $n$ distinct symbols (external node only).
Algorithm 3.5: Huffman Tree Representation in Preorder Technique
Theorem 3.4 Let S and D be the array of symbols and set of characters (obtained from bit stream of 1 's \& 0 's) respectively, which have been obtained by traversing the Huffman Tree T preorder, sent to the receiver. Then the receiver can uniquely reconstruct the Huffman tree T.

## Proof.

Here $S=$ Set of $n$ distinct symbols
$D=$ Set of characters that is obtained from bit stream $B=B i t$ stream that is generated from $D$

Scan the bit stream B from left to right. For each bit of B, either an internal or an external node will be created. If bit is ' 1 ', it will be an internal node; otherwise it will be an external node. If it is an internal node, this node is expanded recursively until a 0 's in B . Add an external node for this ' 0 ' and extract a character from S. Pointer of B is forwarded for each 1's of B. Pointer of S is forwarded only for a 0 's in B . Since the receiver is scanning both arrays from left to right always so, there is no possibility to get more than one Huffman tree for the same bit stream and array of symbols. It concludes that $T$ is a unique Huffman tree.

Algorithm 3.6 shows how to reconstruct a Huffman Tree.

$$
\begin{aligned}
& \text { Procedure Preorder(r, t, Cset) } \\
& \text { Begin } \\
& \mathrm{i}=0 \\
& \text { if }\left(\mathrm{t}[++\mathrm{i}]==^{\prime} 1^{\prime}\right) \text { then } \\
& \text { Add an internal node "temp" to the left of } r \\
& \text { Preorder(temp, t, Cset) } \\
& \text { Else } \\
& \text { Add an external node "temp" to the left of } r \\
& \text { temp } \uparrow . \mathrm{info}=\text { character } \\
& \text { End if } \\
& \text { if ( } \mathrm{t}[++\mathrm{i}]=\text { ' } \mathrm{I}^{\prime} \text { ) then } \\
& \text { Add an internal node "temp" to the right of } \mathrm{r} \\
& \text { Preorder(temp, t, Cset) } \\
& \text { Else } \\
& \text { Add an external node"temp" to the right of } r \\
& \text { temp } \uparrow \text {.info=character } \\
& \text { End if } \\
& \text { End }
\end{aligned}
$$

Algorithm 3.6: Huffman Tree Reconstruction

## Space Complexity Analysis

Number of distinct characters $=\mathrm{n}$
So, number of internal nodes in a Huffman tree $=n-1$
And number of external nodes in a Huffman tree $=n$
n external nodes and $\mathrm{n}-1$ internal nodes representation are required
$\therefore$ Total required bits for internal and external nodes $=\mathrm{n}+(\mathrm{n}-1)$

$$
=2 n-1
$$

Total space required for a Huffman tree $=n+(2 n-1) / 8$
$=n+n / 4-1 / 8$
$=5 n / 4-1 / 8$

So, required memory in this method is less than existing method which takes at most $\lceil 3 n / 2\rceil$ memory spaces for a Huffman tree of $n$ distinct symbols.

### 3.3.4 Modified Preorder Technique

In this method each internal and external node is represented by ' 1 ' and ' 0 ' respectively. After traversing the Huffman tree by preorder, a bit stream of 1 's and 0 's, and a character sequence (external nodes only) of $n$ distinct symbols are got. The right most 0 's (zeros) of the bit stream is discarded to get the final bit


Figure 3.12: Huffman Tree for Modified Preorder Technique
Preorder Bit stream: 111001001100100

## Preorder Character Sequence: ABCDEFGH

After Discarding Right Most Zeros Sequence: 11100100 I1001 Algorithm 3.7 describes how to represent a Huffman Tree in Modified Preorder technique.

Step 1: Represent each internal mode by ' 1 '
Step 2: Represent each external node by ' 0 '
Step 3: Traverse Huffman tree by Preorder to get a bit stream of 1 's (internal node) and 0's (external node), and a character sequence of $n$ distinct symbols (external node only).
Step 4: Discard the right most zeros from the bit stream.
Algorithm 3.7: Huffman Tree Representation in Modified Preorder Technique

Theorem 3.5 Let S and D be the array of symbols and set of characters (obtained from bit stream of 1 's \& 0 's after discarding right most zeros ) respectively, which have been obtained by traversing the Huffman Tree T preorder, sent to the receiver. Then the receiver can uniquely reconstruct the Huffman tree $T$.

## Proof.

Here $S=$ Set of $n$ distinct symbols
$D=$ Set of characters that is obtained from bit stream
$B=B i t$ stream that is generated from $D$
Scan the bit stream B from left to right. For each bit of B, either an internal or an external node will be created. If bit is ' 1 ', it will be an internal node; otherwise it will be an external node. If it is an internal node, this node is expanded recursively until a 0 's in B . Add an external node for this ' 0 ' and extract a character from S. Pointer of B is forwarded for each 1's of B. Pointer of S is forwarded only for a 0's in B. When bit stream has been finished, add two external nodes for each of the internal node that is not expanded. Extract symbol for each external node from $S$ array. Since the receiver is scanning both arrays from left to right always so, there is no possibility to get more than one Huffman tree for the same bit stream and array of symbols. It concludes that $T$ is a unique Huffman tree.

Algorithm 3.8 illustrates a Huffman Tree reconstruction procedure
Preorder(r, t, Cset) $\mathrm{i}=0$
if tree bit stream is finished
Add an external node of corresponding character to the left of $r$ Else if ( $\mathrm{t}[++\mathrm{i}]=1$ ) then

Add an internal node "temp" to the left of r
Preorder(temp, t, Cset) Else

Add an external node "temp" to the left of $r$
temp $\uparrow$.info $=$ character Endif if tree bit stream is finished

Add an external node of corresponding character to the right of $r$ Else if ( $\mathrm{t}[++\mathrm{i}]=1$ ) then

Add an internal node "temp" to the right of $r$
Preorder(temp, t, Cset) Else

Add an external node "temp" to the right of $r$
temp $\uparrow$. info $=$ character End if
End Procedure
Algorithm 3.8: Huffman Tree Reconstruction

## Space Complexity Analysis

In this method best and worst cases are analyzed for the space complexity.

## Best Case Analysis

This case occurs when the Huffman tree is left eccentric


Figure 3.13: A Left Side Growing Huffman Tree

Preorder Bit Stream: 111100000

## After Discarding Right Most Zeros Sequence: 1111

$\mathrm{n}=\mathrm{no}$. of distinct characters
Number of internal nodes in a Huffman tree $=n-1$
Number of external nodes in a Huffman tree $=n$
There is no need to represent the external nodes
Total space for the Huffman tree $=$ Symbols + internal nodes

$$
\begin{aligned}
& =n+(n-1) / 8 \\
& =n+n / 8-1 / 8 \\
& =9 n / 8-1 / 8
\end{aligned}
$$

## Worst Case Analysis

Worst case occurs when a Huffman tree is like the Figure 3.14


Figure 3.14: A Huffman Tree
Preorder Bit Stream: 111100001010100
After Discarding Right Most Zeros Sequence: 1111000010101
Number of internal nodes in a Huffman tree $=n-1$
Number of external nodes in a Huffman tree $=n$
Only ( $\mathrm{n}-1$ ) internal nodes and ( $\mathrm{n}-2$ ) external nodes representation are required
Total spaces for the Huffman tree $=$ Symbols + node representation

$$
\begin{aligned}
& =n+(2 n-1-2) / 8 \\
& =n+(2 n-3) / 8 \\
& =n+n / 4-3 / 8 \\
& =5 n / 4-3 / 8
\end{aligned}
$$

So, required memory in this method is less than existing method which takes at most $\lceil 3 n / 2\rceil$ memory spaces for a Huffman tree of $n$ distinct symbols

### 3.3.5 Single Side Growing Tree Technique

In this method a Huffman is made as eccentric as possible to reduce the number of circular leaf nodes (internal node with two external nodes). Then apply the technique of Chowdhury et al. [4]. Since their technique requires at most $\lceil 3 \mathrm{n} / 2\rceil$ memory spaces by using the circular leaf node concept, it is required to reduce the number of circular leaf nodes.

Algorithm 3.9 describes how to build an Eccentric Huffman Tree
Step 1: Count the frequency of every distinct character.
Step 2: Follow the Huffman tree construction process to reduce into a single root.

Step 3: Find all the code lengths of symbols occurring in each reduction step. Construct a table.

Step 4: From the table of step3 find a code length of every distinct character occurring in given text. Construct a table.

Step 5: Construct a code table using the following procedure
Step 5.1: Assign zero codeword to $S_{1}$ i.e. $C_{1}=0 . .0$ Where $S_{1}$ is first symbol of the table.

Step 5.2: When we changes rows, we have to expand the last codeword, after being incremented, by placing extra zeros to right, until the codeword length matches the level.

Step 6: Construct an eccentric Huffman tree from the code table Algorithm 3.9: Single Side Growing Huffman Tree Construction

## Example

The Figure 3.15 shows a Huffman tree


Table 3.1: Code Length for Every Symbol

| ROW | CL | Symbol |
| :---: | :---: | :---: |
| 1 | 2 | G |
| 2 | 3 | E, F, H, I |
| 3 | 4 | A, B,C, D |

Table 3.2: Code for Every Symbol

| CL | Codeword | Symbol |
| :---: | :---: | :---: |
| 2 | 00 | G |
| 3 | 010 | E |
|  | 011 | F |
|  | 100 | H |
|  | 101 | I |
|  | 1100 | A |
|  | 1101 | B |
|  | 1110 | C |
|  | 1111 | D |



Figure 3.16: Huffman Tree for Code of Table 3.2

## Space Complexity Analysis

Best case space requirement to represent a Huffman tree $=n+1$
Worst case space requirement to represent a Huffman tree $=\mathrm{n}+\lceil\mathrm{n} / 2\rceil$
So, average case space complexity $=(2 n+\lceil n / 2\rceil+1) / 2$

$$
\leq\lfloor 3 n / 2\rfloor
$$

In this case at most memory requirement is $\lfloor 3 n / 2\rfloor$

### 3.3.6. Balanced Binary Tree Technique

In our method we are always looking for fully balanced part of Huffman tree with respect to its external nodes. For the balanced part of height greater than 1 (one) requires only two spaces (one for height of the balanced part and another for code of the root of balanced part) to store it. If the whole Huffman tree is fully balanced, only one space (height) is required to store it. Balanced part of height 1 (one) needs one space (code of root of the balanced part).

Algorithm 3.10 describes how to represent a Huffman Tree in Balanced Binary tree technique.

Step 1: If the tree is fully balanced then
Store height of the Huffman tree
GOTO step 5
Endif
Step 2: Identify a balanced part of the tree with respect to its external nodes
Step 3: If the balanced part is height 1 (one) then
Store a code for the root of the balanced part Else

Allocate two spaces one for code of root of this balanced part and another for height of this part
End if
Step 4: If more balanced part exist then
GOTO step 2
End if
Step 5: Stop algorithm
Algorithm 3.10: Huffman Tree Representation in Balanced Binary Tree Technique

## Space Complexity Analysis

Space for a Huffman tree presentation=S(T)
$\mathrm{S}(\mathrm{T})= \begin{cases}1 & \begin{array}{l}\text { if } \mathrm{T}=\text { balance } \\ \text { otherwise first time }\end{array} \\ 1 & \text { if } \mathrm{T}=\text { balanced and } \mathrm{h}=1 \\ 2 & \text { if } \mathrm{T}=\text { Balanced and } \mathrm{h}>1 \\ 0 & \text { if } \mathrm{T}=\text { external node } \\ \mathrm{S}\left(\mathrm{T}_{\mathrm{r}}\right)+\mathrm{S}\left(\mathrm{T}_{1}\right) & \text { otherwise }\end{cases}$
Total space for tree $=n+S(T)$

## Examples

$n$ is the number of distinct symbols of the message.


Figure 3.17: A Huffman Tree for 11 Symbols

$$
\begin{aligned}
\mathrm{S}(\mathrm{~T}) & =\mathrm{S}\left(\mathrm{~T}_{1}\right)+\mathrm{S}\left(\mathrm{~T}_{\mathrm{r}}\right) \\
& =1+\mathrm{S}\left(\mathrm{~T}_{1}\right)+\mathrm{S}\left(\mathrm{~T}_{\mathrm{r}}\right) \\
& \doteq 1+0+2 \\
& =3
\end{aligned}
$$

Total space for a Huffman tree $=n+S(T)$

$$
\begin{aligned}
& =n+3 \\
& =11+3 \\
& =14
\end{aligned}
$$

## Best case Space Complexity Analysis

Best case occurs when a Huffman tree is like the Figure 3.18-3.19
a)


$$
\text { Space }=\text { height }
$$

$$
=1
$$

Figure 3.18: A Balanced Huffman Tree
Only one space for height is required.
To represent the tree total memory space $=n+1$
b)


Figure 3.19: A Right Side Growing Huffman Tree
Only one space for code of $\propto$ is required.
Total memory space to represent a Huffman tree $=n+1$

## Worst case Space Complexity Analysis

Worst case occurs when a Huffman tree is like the Figure 3.20
a)


Space for $\propto=$ height + code

$$
=1+1
$$

$$
=2
$$

Figure 3.20: A Huffman Tree for 5 Symbols

$$
\begin{aligned}
\text { Space } & =2^{*} \text { height2 balanced subtree }+0 \\
& =2 * 1+0=2
\end{aligned}
$$

Total tree space $=5+2=7=\lfloor 3 n / 2\rfloor$
b)


Figure 3.21: A Huffman Tree for 6 Symbols

$$
\begin{aligned}
\text { Space } & =2^{*} \text { height } 2 \text { balanced subtree }+1 \\
& =2^{*} 1+1 \\
& =3
\end{aligned}
$$

Total tree space $=n+3$

$$
\begin{aligned}
& =n+3 \\
& =6+3 \\
& =9=\lfloor 3 n / 2\rfloor
\end{aligned}
$$

c)

Space for $\beta=$ code $=1$


Space for $\propto=$ code +h
$=1+1$
$=2$

Figure 3.22: A Huffman Tree for 7 Symbols

$$
\begin{aligned}
\text { Space } & =2^{*} \text { height } 2 \text { balanced subtree }+1 \\
& =2^{*} 1+1 \\
& =3
\end{aligned}
$$

Total Tree space $=\mathrm{n}+3$

$$
\begin{aligned}
& =7+3 \\
& =10 \\
& =\lfloor 3 n / 2\rfloor
\end{aligned}
$$

d)


Figure 3.23: A Huffman Tree for 8 Symbols

```
Space for \(\propto \quad=2 *\) height2 balanced subtree +2
    \(=2^{*} 1+2\)
    \(=4\)
```

Total space for the tree $=n+4$

$$
\begin{aligned}
& =8+4 \\
& =12 \\
& =\lfloor 3 n / 2\rfloor
\end{aligned}
$$

Worst case space complexity of this method $=\lfloor 3 n / 2\rfloor$
So, required memory in this method is less than existing method which takes at most $\lceil 3 n / 2\rceil$ memory spaces for a Huffman tree of $n$ distinct symbols

## Algorithm 3.11 illustrates reconstruction of a Huffman tree

Procedure RECONSTRUCTION(C)<br>Tree=empty<br>Repeat<br>Read a codeword from C<br>Identify the height and code of root of the subtree<br>Draw a subtree T using this information<br>Tree= Tree U T<br>Until all codewords for tree are not read from C Return Tree<br>End Procedure

Algorithm 3.11: Huffman Tree Reconstruction

### 3.4. Conclusion

A memory efficient representation of a Huffman tree reduces the overhead of every pass of Repeated Huffman Coding as well as compression ratio is increased.

## CHAPTER 4 <br> HUFFMAN TREE CLUSTERING

### 4.1 Introduction to Tree Clustering

A Huffman tree clustering means the partitioning of the Huffman tree effectively to reduce the sparsity of the tree. Main objective of the clustering is to represent the Huffman tree efficiently to reduce the wastage of memory and to make search process of most frequent symbol faster.

### 4.2 Problems of Huffman Codes

i) Due to variable-length coding, the Huffman tree gets progressively sparse as it grows from the root.

- Wastage of memory
- A lengthy search procedure for locating a symbol.
ii) If k-bit is the longest Huffman code assigned to a set of symbols, the memory size for the symbols may easily reach $2^{k}$ words in size


### 4.3 Desirable Features of Huffman Codes

It is desirable to reduce the memory size from the typical value of $2^{k}$, to a size proportional to the number of the actual symbols. The following two things are desired from the Huffman code.

- Reduce memory size
- Quicker access


### 4.4 Solutions of Huffman Code Related Problems

Hashemian [11] presented an algorithm to speed up the search process for a symbol in a Huffnan tree and reduce the memory size. He proposed a tree clustering algorithm to avoid the sparsity of a Huffman tree.

- The search time for the more frequent symbols (shorter codes) is substantially reduced compare to less frequent symbols, resulting in an overall faster response.
- For long codewords the search for the symbol is also speed up. This is achieved through a specific partitioning technique that groups the code bits in a codeword, and the search for a symbol is conducted by jumping over the groups of bits rather than going through the bit individually.


### 4.5 Problems of the Tree Clustering Algorithm



Figure 4.1: Huffman Tree Showing Levels
The memory efficiency $B_{k}$ for a k-level binary Huffman tree is given below

$$
\mathrm{B}_{\mathrm{k}}=\frac{\dot{N}}{2^{k}} \times 100
$$

Where N is number of effective leaf nodes at level k .

Table 4.1: Memory Efficiency

| Level Number (k) | Effective Leaf Nodes | Memory efficiency $\left(\mathrm{B}_{\mathrm{k}}\right)$ |
| :---: | :---: | :---: |
| 1 | 2 | $100 \%$ |
| 2 | 3 | $75 \%$ |
| 3 | 4 | $50 \%$ |
| 4 | 6 | $37 \%$ |
| 5 | 7 | $22 \%$ |

Higher memory efficiency for the top levels (with smaller $\mathrm{C}_{\mathrm{L}}$ ) is a clear indication that partitioning the tree into smaller and less sparse clusters will reduce the memory size. In addition, clustering also helps to reduce the search time for a symbol.

Search time $\propto C_{L} / L$
Where $C_{L}$ is code length of a symbol and $L$ is the maximum level selected for each cluster

Increasing $L$ decreases search time and hence speeds up decoding but increases memory space requirement. Decision regarding $L$ is needed. Finding an optimal solution of this clustering is still open.

### 4.6 Example of Huffman Tree Clustering

Table 4.2: Frequency Count

| Character | Frequency |
| :---: | :---: |
| A | 7 |
| B | 5 |
| C | 4 |
| D | 4 |
| E | 3 |
| F | 2 |
| G | 1 |



Figure 4.2: A Huffman Tree for 7 Symbols

Table 4.3: Reduction Process

| A | 7 | A | 7 | A | 7 | $\mathbf{a}_{3}$ | 8 | $\mathbf{a}_{4}$ | 11 | $\mathbf{a}_{5}$ | 15 | $\mathbf{a}_{6}$ | 26 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| B | 5 | B | 5 | $\mathbf{a}_{2}$ | 6 | A | 7 | $\mathbf{a}_{3}$ | 8 | $\mathbf{a}_{4}$ | 11 |  |  |
| C | 4 | C | 4 | B | 5 | $\mathbf{a}_{2}$ | 6 | A | 1 |  |  |  |  |
| D | 4 | D | 4 | C | 4 | B | 3 |  |  |  |  |  |  |
| E | 3 | E | 3 | D | 4 |  |  |  |  |  |  |  |  |
| F | 2 | $\mathbf{a}_{1}$ | 3 |  |  |  |  |  |  |  |  |  |  |
| G | 1 |  |  |  |  |  |  |  |  |  |  |  |  |

Table 4.4: Code Length (CL)

| $\mathbf{S}_{\mathbf{i}}$ | $\mathbf{S}_{\mathrm{i}-1}$ | $\mathbf{C L}$ |
| :---: | :---: | :---: |
| $\mathbf{G}$ | $\mathbf{F}$ | $\mathbf{4}$ |
| $\mathbf{a}_{1}$ | $\mathbf{E}$ | 3 |
| $\mathbf{C}$ | $\mathbf{D}$ | 3 |
| $\mathbf{B}$ | $\mathbf{a}_{2}$ | 2 |
| $\mathbf{A}$ | $\mathbf{a}_{3}$ | 2 |
| $\mathbf{a}_{4}$ | $\mathbf{a}_{5}$ | 1 |

Table 4.5: Same Code of Length for Different Symbols

| CL | Symbol |
| :---: | :---: |
| 1 | - |
| 2 | A, B |
| 3 | $\mathbf{C , D , E}$ |
| 4 | $\mathbf{G}, \mathbf{F}$ |

Table 4.6: Codeword for Every Symbol

| CL | Code word | Symbol |
| :---: | :---: | :---: |
| 1 | 0 | - |
| 2 | 00 | A |
|  | 01 | B |
| 3 | 100 | C |
|  | 101 | D |
|  | 110 | E |
| 4 | 1110 | G |
|  | 1111 | F |



Figure 4.3: Single side Growing Huffman Tree

Table 4.7: Memory Efficiency

| Level Number (k) | Effective leaf nodes | Memory efficiency $\left(\mathrm{B}_{\mathrm{k}}\right)$ |
| :---: | :---: | :---: |
| 1 | 2 | $100 \%$ |
| 2 | 4 | $100 \%$ |
| 3 | 6 | $75 \%$ |
| 4 | 7 | $44 \%$ |



Figure 4.4: A Huffman Tree for Clustering


Figure 4.5: Top Cluster


Figure 4.6: Cluster for $\alpha, \beta$ and $\delta$

Table 4.8: Memory Space

|  | 0 | $\mathbf{1}$ | 2 | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | 6 | 7 | $\mathbf{8}$ | $\mathbf{9}$ | a | b | C | d | e | f |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 0 | A | B |  |  | C | D | E |  | G | F |  |  |  |  |  |  |



Figure 4.7: Super-tree
Table 4.9: Super Table

| $\alpha$ | $\beta$ | $\delta$ | Description |
| :---: | :---: | :---: | :---: |
| 04 | 06 | 08 | Base Address of each Cluster |
| 00 | 00 | 00 | Cluster length-1 |
| 1 | 2 | 3 | Index Number |

Table 4.10: Look up Table for $\gamma$ Cluster

| For $\gamma$ cluster |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| 4 | 5 | 1 | 2 | $4=(1 \underline{00})_{2}$, Address of $A=(00)_{2}$, cluster length $=2$ $5==(1 \underline{101})_{2}$, Address of $\mathrm{B}=(01)_{2}$, cluster length $=2$ <br> $1=$ Index of $\alpha$ in super table <br> $2=$ Index of $\beta$ in super table |
| 0 | 0 | 1 | 1 | ' 0 ' External node <br> 'I' internal node |
| 0 | 1 | 2 | 3 | Index Number |

Table 4.11: Look up Table for $\alpha$ Cluster

| For $\alpha$ cluster |  |  |
| :--- | :--- | :--- |
| 2 | 3 | $2=(01 \underline{\underline{O}})_{2}$, Address of $\mathrm{C}=(0)_{2}$, cluster length $=1$ <br> $3=(01 \underline{1})_{2}$, |
| 0 | 0 | Address of $\mathrm{D}=(1)_{2}$, cluster length $=1$ |$|$| External node |
| :--- |
| 0 |

Table 4.12: Look up Table for $\beta$ Cluster

| For $\beta$ cluster |  |  |
| :--- | :--- | :--- |
| 2 | 3 | $2=(010)_{2}$, Address of $\mathrm{E}=(0)_{2}$, cluster length=1 <br> $3=$ index of $\delta$ in super table |
| 0 | 1 | ' 0 ' External node <br> ${ }^{\prime} '$ |
| 0 | 1 | Index 'internal node |

Table 4.13: Look up Table for $\delta$ Cluster

| For $\delta$ cluster |  |  |
| :--- | :--- | :--- |
| 2 | 3 | $2=\left(01 \underline{)_{2}}\right.$, Address of $\mathrm{G}=(0)_{2}$, cluster length $=1$ <br> $3=(01 \underline{1})_{2}$, Address of $\mathrm{F}=(1)_{2}$, cluster length=1 |
| 0 | 0 | ' 0 ' External node |
| 0 | 1 | Index Number |

Table 4.14: Memory Mapping

| Entry | Cluster length | Mem [base + offset] | Character |
| :---: | :---: | :---: | :---: |
| $4=(1 \underline{00})_{2}$ | 2 | Mem[00+00] | ' A ' |
| $5=(1 \underline{01})_{2}$ | 2 | Mem[00+01] | 'B' |
| $2=(01 \underline{0})_{2}$ | 1 | Mem[04+0] | 'C' |
| $3=(011)_{2}$ | 1 | Mem[04+1] | 'D' |
| $2=(01 \underline{0})_{2}$ | 1 | Mem[06+0] | 'E' |
| $2=(01 \underline{0})_{2}$ | 1 | Mem[08+0] | 'G' |
| $3=(011)_{2}$ | 1 | Mem[08+1] | ${ }^{6} \mathrm{~F}$ ' |

Table 4.15: Decoding Process

|  |  | Re <br> Top | eived Code cluster leng | $\begin{aligned} & \text { ord }=1 \\ & , \mathrm{~L}=2 \end{aligned}$ | $0111$ | $1111$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Selected Bit stream | Cluster <br> Search | Table Entry | If Found | Next cluster search | From Cluste | super table length base |
| " 10 " $\rightarrow 2$ | $\gamma$ | 1/1 | $\times$ | $\alpha$ | $00+1=1$ | 04 |
| "0" $\rightarrow 0$ | $\alpha$ | 0/2 | $\begin{aligned} & 0000001 \underline{0} \\ & C L=1 \\ & \text { Mem[04+0]='C' } \end{aligned}$ | $\times$ | - | $\times$ |
| " 00 " $\rightarrow 0$ | $\gamma$ | 0/4 | $\begin{aligned} & 000000100 \\ & C L=2 \\ & \text { Mem }[00+00]={ }^{\prime} A^{\prime} \end{aligned}$ | x | $\times$ | $\times$ |
| "11" $\rightarrow$ 3 | $\gamma$ | 1/2 | $\times$ | $\beta$ | $00+1=1$ | 06 |
| "1" $\rightarrow 1$ | $\beta$ | 1/3 | $\times$ | $\delta$ | $00+1=1$ | 08 |
| " 0 " $\rightarrow 0$ | $\delta$ | 0/2 | $\begin{aligned} & 0000001 \underline{0} \\ & C L=1 \\ & \text { Mem[08+0]='G' } \end{aligned}$ | $\times$ | $\times$ | $\times$ |
| " 11 " $\rightarrow 3$ | $\gamma$ | 1/2 | $\times$ | $\beta$ | $00+1$ | 06 |
| " 1 " $\rightarrow 1$ | $\beta$ | 1/3 | $\times$ | $\delta$ | 00+1 | 08 |
| "1" $\rightarrow 1$ | S | 0/3 | $\begin{aligned} & 00000011 \\ & \mathrm{CL}=1 \\ & \text { Mem }[08+1]={ }^{\prime} \mathrm{F}^{\prime} \end{aligned}$ | $\times$ | $\times$ | - |
| - Decoded word="CAGF" |  |  |  |  |  |  |

### 4.7 Algorithms Related to Tree Clustering

We have discussed how to construct a single side growing Huffman tree from a table of code length and then decoding process.

Algorithm 4.1 illustrates how to construct a single side growing Huffman tree.
Step 1: Start from the first row of the table and assign an all zero codeword $\mathrm{C}_{1}=00 \ldots 0$ to the symbol.

Step 2: Increment this codeword and assign the new value to the next symbol of the same row of table code length.

Step 3: When we change rows, we have to expand the last codeword, after being incremented, by placing extra zeros to the right, until the codeword length matches the level $\left(\mathrm{C}_{\mathrm{L}}\right)$.

Algorithm 4.1: Single-side Growing Huffman Tree Construction

Algorithm 4.2 describes decoding process using clustered Huffman tree.
Step 0: base $=0, i=1$
Step 1: If Encoded bit stream is finished
GOTO step4
Else
Take $L$ bit code ( $L=$ maximum length of top cluster) $C_{j}$
Step2: Use $C_{j}$ as the address to the look-up table of corresponding cluster.

Step3: If look-up table entry is zero/VAL
Corresponding character is found in the following memory location:

Dec $=8$ bit stream of VAL
Offset=Value after discarding MSB ' 1 ' from Dec
DecodedTxt[i] $=$ Content[Mem[base + Offset] ]
$\mathrm{i}=\mathrm{i}+1$
GOTO step1
Else If look-up table entry is 1/VAL
Search SuperTable[VAL]
RetrievedEntry=c/base
$\mathrm{C}_{\mathrm{j}}=\mathrm{Next}(\mathrm{c}+1)$ bits from encoded bit stream
GOTO step2
End if
End if
Step 4: Stop Algorithm
Algorithm 4.2: Decoding Process

### 4.8 Decision Regarding Top Cluster

Average code length, $\mathrm{AVG}=\sum_{i=1}^{n} p_{i} l_{i}$
Where $p_{i}$ is the probability of $i^{\text {th }}$ symbol
And $l_{i}$ is the code-length of $i^{\text {th }}$ symbol
Maximum length of top cluster, $\mathrm{L}=\lfloor\mathrm{AVG}\rfloor$
This selection criterion of $L$ will reduce wastage of memory as well as search time.

### 4.9 Conclusion

Clustering of a Huffman tree will speed up search process as well as reducing memory requirement. Optimal selection of top cluster length is required for this purpose. Tree clustering reduces sparsity of Huffman tree.

## CHAPTER 5 BLOCK HUFFMAN CODING

### 5.1 Introduction

Traditional Huffman coding has some practical problems. One of the most important problems is that we have to read the whole stream prior to coding. This is a major problem when

- File size is too large
- Source stream is continuous

When file size is large, it will take much longer time to build the Huffman header for compression. This happens because it is required to read the whole file twice from the source stream that is in most cases from hard disk. In the first read pass it is required to build the Huffman tree to get a code for each individual character. In the second read pass we do the real work of compression. If file size, which is small, can be stored in main memory, reading in second pass can be done from main memory instead of hard disk. This will reduce overhead time of reading from a slow speed device twice. But when file size is large, it cannot be stored in main memory. In this case it is unavoidable to read second time from hard disk drive. Though currently hard disk drives with data transfer rate 3 to $5 \mathrm{~KB} /$ second are available, still it will take significant time. With original method of Huffman coding, there is no way through which we can avoid it. Block Huffnian coding can solve the problems of Traditional Huffman coding that are mentioned.

### 5.2 Proposed Algorithms for Block Huffman Coding

Proposed method is pretty simple. The main idea is to break the input stream into blocks of particular size and compressing each block separately. A block size must be chosen in such a way that it can be stored in main memory. Algorithm
5.1-5.2 illustrates how the Block Huffman coding works for static and continuous data.

Step 1: Read a block from the File into main memory.
Step 2: Build the Huffman tree and code for this Block.
Step 3: Compress this block by reading it from main memory.
Step 4: Put the header and compressed data to output file.
Step 5: If there is more data in input file, go to stepl. Otherwise coding is ended

Algorithm 5.1: Block Huffman Coding for Static Data

Step 1: Read a block from the stream into main memory.
Step 2: If the block is not completed, then goto stepl.
Step 3: Build the Huffman tree and code for this Block.
Step 4: Compress this block by reading it from main memory.
Step 5: Put the header and compressed data to output stream.
Step 6: If there is more data in input file, go to step1. Otherwise coding is ended.

Algorithm 5.2: Block Huffman Coding for Continuous Stream

## How It Solves Our Problems

This method can handle both the drawbacks mentioned above. In the first case, as we are reading the file from hard disk only once, compression speed increases significantly because second pass reading is done from the main memory that is much faster than the hard disk. Now the file size may be as large as we can imagine without suffering double penalty for reading two times from hard disk drive. So first problem mentioned above is solved.

## Multiple Header Storage

There is a potential problem of increasing size of compressed data due to the storage space of multiple headers for a single file. The problem may be shown pictorially in the following way

Uncompressed File

| Header | Compressed Data |
| :---: | :---: |

Figure 5.1: Uncompressed and Compressed File in Huffman Coding

| Header 1 | Compressed data 1 | Header 2 | Compressed data 2 |
| :--- | :--- | :--- | :--- |

Figure 5.2: Block Huffman Coding
In this method as the number of blocks increases, the overhead for storing multiple headers becomes significant. This causes penalty in compression ratio. That's why a redesign of the storage method of multiple headers is needed.

## Block Size for Block Huffman Coding

The most important factor for Block Huffman coding is the selection of block size. The main limiting factor here is the size of the usable main memory. If we take block size to be as small as 1 Kbyte there would be large number of blocks. However this will incur very less memory overhead. But the storage overhead is significant because of storing a tree header for each block. The storage overhead decreases with the increasing size of block. But a large size block must be accommodated in the main memory. So a moderate size of block is required for Block Huffman coding.

## Advantages of Block Huffman Coding

■ In some cases Block Huffman coding provides better compression ratio than Traditional Huffman coding because of the locality characteristics of the new method.

- In terms of reading time from the secondary devices Block Huffman coding is more advantageous than traditional one.


### 5.3 Conclusion

Moderate block size provides better efficiency for the Block Huffman coding. Block size does not depend on file types. So we can use this method as a general method of coding.

## CHAPTER

6

## DESIGN OF EXPERIMENTS AND RESULTS

### 6.1 Introduction

This chapter wants to establish effectiveness of Repeated and Block Huffman coding, and impact of efficient representation of Huffman tree on repetition count. The following files are used for the experiments.

- .C File
- .PDF File
- .TXT File
- .DOC File
- .RTF File
- .EXE File
- .HTM File
- .BAK File
- .GIF File
- .BMP File
- .JPG File
- .LOG File
- .DIC File

For assessing effectiveness of methods compression ratio is used. Compression ratio is defined as

$$
\text { Compression ratio }=\frac{\text { Original }- \text { Compressed }}{\text { Original }} \times 100
$$

File containing Huffman tree has the format that is discussed in section 6.2. Repeated Huffman coding was first used with normal coding of the tree and then memory efficient coding was used to see whether repetition count increases.

A Huffman tree representation is also related to average code length for a symbol in a message. Average code length can be defined as

$$
\begin{aligned}
& \text { Average code length, } \mathrm{AL}=\sum_{i=1}^{n} p_{i} l_{i} \\
& \text { Where } p_{i} \text { is the probability of } \mathrm{i}^{\text {th }} \text { symbol } \\
& \text { And } \mathrm{l}_{\mathrm{i}} \text { is the code-length of } \mathrm{i}^{\text {th }} \text { symbol }
\end{aligned}
$$

The efficiency of Huffman coding in terms of size reduction is the result of difference of frequencies of characters. When this difference is less, the probability of degeneration of Huffman coding is more. Consequently compression ratio decreases. So compression ratio depends on standard deviation.

Standard deviation for a symbol from its average code length can be defined by the following formula

$$
\text { Standard deviation, } \mathrm{SD}=\sqrt{\frac{\sum_{i=1}^{n}\left(A L-l_{i}\right)^{2}}{n}}
$$

Repetition count is also very important in the context of Repeated Huffman coding. Repetition count is the number of times Repeated Huffman coding can be continued on a file up to the time when it is no longer compressed significantly.

### 6.2 A Huffman Tree Storage Format in the Compressed File

| Total number <br> of tree <br> information | Tree <br> representation <br> information | Number of bits <br> for the last <br> representation <br> symbol of tree | Total number <br> of distinct <br> symbols in a <br> Huffman tree | Character <br> symbols |
| :--- | :--- | :--- | :--- | :--- |

Figure 6.1: Tree Header for Level order Technique

| Total number <br> of tree <br> information | Tree <br> representation <br> information | Number of bits <br> for the last <br> representation <br> symbol of tree | Total number <br> of distinct <br> symbols in a <br> Huffman tree | Character <br> symbols |
| :--- | :--- | :--- | :--- | :--- |

Figure 6.2: Tree Header for Modified Level order Technique

| Total number <br> of tree <br> information | Tree <br> representation <br> information | Number of bits <br> for the last <br> representation <br> symbol of tree | Total number <br> of distinct <br> symbols in a <br> Huffman tree | Character <br> symbols |
| :--- | :--- | :--- | :--- | :--- |

Figure 6.3: Tree Header for Preorder Technique

| Total <br> number of <br> tree <br> information | Tree <br> representation <br> information | Number of bits <br> for the last <br> representation <br> symbol of tree | Total number <br> of distinct <br> symbols in a <br> Huffman tree | Character <br> symbols |
| :--- | :--- | :--- | :--- | :--- |

Figure 6.4: Tree Header for Modified Preorder Technique

| Total <br> number of <br> tree <br> information | $\mathrm{B}_{1}$ | $\ldots$ | $\mathrm{~B}_{\mathrm{k}}$ | Total number <br> of distinct <br> symbols in a <br> Huffman tree | Character <br> symbols |
| :--- | :--- | :--- | :--- | :--- | :--- |

$B_{j}=$ Total number of bits for the code of the root of a circular leaf node
$\mathrm{C}_{\mathrm{j}}=$ The code of the root of a circular leaf node

Figure 6.5: Tree Header for Circular Leaf node Technique

| Total number of tree information | H1 | N 1 | B1 |  | B2 | C2 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | H2 | N2 |  |  |  |  |
|  | H3 | N3 | B1 |  | B1 | C2 |
|  | Total number of distinct symbols in a Huffman tree |  |  | Character symbols |  |  |

$\mathrm{Hl}=\mathrm{i}_{1}$ Height Subtrees, $\mathrm{Nl}=$ Number of subtrees of H 1 height
$H 2=i_{2}$ Height Subtrees, N2 $=$ Number of subtrees of $H 2$ height
$H 3=i_{3}$ Height Subtrees, N3=Number of subtrees of H3 height
$B_{i}=$ Total number of bits for the code of the root of that subtree
$C_{i}=$ The code of the root of that subtree

Figure 6.6: Tree Header for Balanced Binary Tree Technique

### 6.3 Experimental Results

This section shows the experimental data such as compression ratio, tree size and standard deviation of existing and proposed techniques of Huffman tree representation. It also focuses on compression ratio and tree overhead for Block Huffman coding.

### 6.3.1 The Existing Technique

Table 6.1-6.5 shows the experimental data of the existing technique.
TABLE 6.1: Compression Ratio for Circular Leaf node Technique

| File Name | Original <br> Size <br> (Bytes) |  | Compression Ratio (\%) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 | Huffman <br> Compression <br> Ratio(\%) |
| Test4.txt |  | 65.174 | 11.053 | 1.514 | N/A | N/A | 69.492 |
| Test3.doc |  | 46.184 | 0.616 | 0.004 | N/A | N/A | 46.517 |
| Test2.c |  | 40.072 | 6.710 | 0.377 | 0.004 | N/A | 44.310 |
| Test2.bak |  | 40.072 | 6.710 | 0.377 | 0.004 | N/A | 44.310 |
| Test5.rtf | 07706903 | 38.670 | 1.939 | 0.0155 | N/A | N/A | 39.870 |
| Game.exe | 04387088 | 16.561 | 0.349 | N/A | N/A | N/A | 16.853 |
| Test7.htm | 06196553 | 33.815 | 1.785 | 0.013 | N/A | N/A | 35.000 |
| Test8.pdf | 13300157 | 24.790 | 1.373 | 0.008 | N/A | N/A | 25.830 |
| K1.bmp | 01678134 | 80.222 | 56.885 | 21.959 | 4.558 | 0.215 | 93.660 |
| Ast.gif | 03159678 | 0.479 | N/A | N/A | N/A | N/A | 0.479 |
| Astha.gif | 00496564 | 0.495 | N/A | N/A | N/A | N/A | 0.495 |

TABLE 6.2: A Huffman Tree Size for Circular Leaf node Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 143 | 195 | 500 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test3.doc |  | 458 | 262 | 258 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.c |  | 138 | 324 | 310 | 325 | $\mathrm{~N} / \mathrm{A}$ |
| Test2.bak |  | 138 | 324 | 310 | 325 | $\mathrm{~N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 133 | 413 | 263 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 258 | 315 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 173 | 264 | 505 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 571 | 388 | 497 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 030 | 247 | 235 | 336 | 318 |
| Ast.gif | 03159678 | 444 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 461 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

TABLE 6.3: Standard Deviation for Circular Leaf node Technique

| File Name | Original Size <br> (Bytes) | Standard Deviation |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | N/A |  |
| Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | N/A |  |
| Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | N/A |  |
| Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | N/A |  |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | N/A |  |
| Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | N/A |  |
| Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | N/A |  |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | N/A |  |
| K1.bmp | 01678134 | 6.288 | 10.983 | 5.358 | 1.410 | 0.497 |  |
| Ast.gif | 03159678 | 0.504 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | N/A | N/A |  |
| Astha.gif | 00496564 | 0.495 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |

TABLE 6.4: Compressed File Size for Circular Leaf node Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 00421384 | 0374808 | 0369132 | N/A | N/A |
| Test3.doc |  | 02151142 | 2137895 | 2137803 | N/A | N/A |
| Test2.c |  | 00599687 | 0559446 | 0557339 | 557318 | N/A |
| Test2.bak | 01000679 | 00599687 | 0559446 | 0557339 | 557318 | N/A |
| Test5.rtf | 07706903 | 04726620 | 4634984 | 4634266 | N/A | N/A |
| Game.exe | 04387088 | 03660526 | 3647734 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 04101214 | 4028013 | 4027486 | N/A | N/A |
| Test8.pdf | 13300157 | 10002995 | 9865591 | 9864775 | N/A | N/A |
| K1.bmp | 01678134 | 00331907 | 0143101 | 0111678 | 106588 | 106359 |
| Ast.gif | 03159678 | 03144562 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 00494106 | N/A | N/A | N/A | N/A |

TABLE 6.5: Average Code Length for Circular Leaf node Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 2.785 | 7.112 | 7.868 | N/A | N/A |
| Test3.doc |  | 4.304 | 7.949 | 7.999 | N/A | N/A |
| Test2.c |  | 4.793 | 7.459 | 7.966 | 7.995 | N/A |
| Test2.bak |  | 4.793 | 7.459 | 7.966 | 7.995 | N/A |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.998 | N/A | N/A |
| Game.exe | 04387088 | 6.675 | 7.971 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 5.295 | 7.857 | 7.998 | N/A | N/A |
| Test8.pdf | 13300157 | 6.017 | 7.890 | $7 . .999$ | N/A | N/A |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.230 | 7.611 | 7.959 |
| Ast.gif | 03159678 | 7.961 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 7.953 | N/A | N/A | N/A | N/A |

### 6.3.2 The Proposed Techniques

Table 6.6-6.30 shows the experimental data of the proposed techniques.
TABLE 6.6: Compression Ratio for Level Order Technique

| File Name | Original <br> Size <br> (Bytes) |  | Compression Ratio (\%) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 | Reated <br> Huffman <br> Compression <br> Ratio (\%) |
| Test4.txt |  | 65.176 | 11.024 | 1.560 | N/A | N/A | 69.500 |
| Test3.doc | 03997184 | 46.187 | 00.613 | N/A | N/A | N/A | 46.517 |
| Test2.c | 01000679 | 40.075 | 06.712 | 0.383 | N/A | N/A | 44.311 |
| Test2.bak | 01000679 | 40.075 | 06.712 | 0.383 | N/A | N/A | 44.311 |
| Test5.rtf | 07706903 | 38.671 | 01.941 | 0.013 | N/A | N/A | 39.870 |
| Game.exe | 04387088 | 16.560 | 00.349 | N/A | N/A | N/A | 16.851 |
| Test7.htm | 0619653 | 33.817 | 01.777 | N/A | N/A | N/A | 34.990 |
| Test8.pdf | 13300157 | 24.792 | 01.375 | 0.0107 | N/A | N/A | 25.834 |
| K1.bmp | 01678134 | 80.222 | 57.090 | 22.089 | 4.289 | 0.381 | 93.690 |
| Ast.gif | 03159678 | 0.482 | N/A | N/A | N/A | N/A | 0.482 |
| Astha.gif | 00496564 | 0.523 | N/A | N/A | N/A | N/A | 0.523 |

TABLE 6.7: A Huffman Tree Size for Level Order Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 115 | 323 | 322 | N/A | N/A |
| Test3.doc | 03997184 | 323 | 323 | N/A | N/A | N/A |
| Test2.c | 01000679 | 108 | 323 | 323 | N/A | N/A |
| Test2.bak | 01000679 | 108 | 323 | 323 | N/A | N/A |
| Test5.rtf | 07706903 | 103 | 322 | 323 | N/A | N/A |
| Game.exe | 04387088 | 323 | 322 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 054 | 322 | N/A | N/A | N/A |
| Test8.pdf | 13300157 | 322 | 322 | 322 | N/A | N/A |
| K1.bmp | 01678134 | 025 | 156 | 300 | 323 | 322 |
| Ast.gif | 03159678 | 323 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 323 | N/A | N/A | N/A | N/A |

TABLE 6.8: Standard Deviation for Level Order Technique

| File Name | Original Size <br> (Bytes) | Standard Deviation |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass 1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | N/A |
| Test3.doc | 03997184 | 6.110 | 0.492 | N/A | N/A | N/A |
| Test2.c | 01000679 | 4.506 | 1.815 | 0.471 | N/A | N/A |
| Test2.bak | 01000679 | 4.506 | 1.815 | 0.471 | N/A | N/A |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | N/A |
| Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 6.862 | 0.942 | N/A | N/A | N/A |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | N/A |
| K1.bmp | 01678134 | 6.288 | 10.951 | 5.063 | 1.307 | 0.512 |
| Ast.gif | 03159678 | 0.504 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 0.518 | N/A | N/A | N/A | N/A |

TABLE 6.9: Compressed File Size for Level Order Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
|  | 01209956 | 00421356 | 0374907 | 0369058 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test3.doc | 03997184 | 02151007 | 2137818 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.c | 01000679 | 00599657 | 0559407 | 0557265 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.bak | 01000679 | 00599657 | 0559407 | 0557265 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 04726590 | 4634861 | 4634271 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 03660591 | 3647806 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 04101056 | 4028193 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 10002746 | 9865231 | 9864172 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 00331902 | 0142419 | 0110959 | 106199 | 105795 |
| Ast.gif | 03159678 | 03144441 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 00493968 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

TABLE 6.10: Average Code Length for Level Order Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 2.785 | 7.112 | 7.868 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test3.doc | 03997184 | 4.304 | 7.949 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.c | 01000679 | 4.793 | 7.459 | 7.965 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.bak | 01000679 | 4.793 | 7.459 | 7.965 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.999 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 6.675 | 7.972 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 5.295 | 7.857 | 7.997 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 6.017 | 7.889 | 7.998 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.216 | 7.634 | 7.945 |
| Ast.gif | 03159678 | 7.961 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 7.953 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

TABLE 6.11: Compression Ratio for Modified Level Order Technique

| File Name | $\begin{aligned} & \text { Original } \\ & \text { Size } \\ & \text { (Bytes) } \end{aligned}$ | Compression Ratio (\%) |  |  |  |  | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass 1 | Pass2 | Pass3 | Pass 4 | Pass5 |  |
| Test4.txt | 01209956 | 65.176 | 11.024 | 1.553 | N/A | N/A | 69.500 |
| Test3.doc | 03997184 | 46.187 | 00.613 | N/A | N/A | N/A | 46.517 |
| Test2.c | 01000679 | 40.075 | 06.712 | 0.383 | N/A | N/A | 44.312 |
| Test2.bak | 01000679 | 40.075 | 06.712 | 0.383 | N/A | N/A | 44.312 |
| Test5.rtf | 07706903 | 38.671 | 01.941 | 0.014 | N/A | N/A | 39.870 |
| Game.exe | 04387088 | 16.560 | 00.349 | N/A | N/A | N/A | 16.851 |
| Test7.htm | 06196553 | 33.817 | 01.784 | 0.022 | N/A | N/A | 35.010 |
| Test8.pdf | 13300157 | 24.792 | 01.375 | 0.011 | N/A | N/A | 25.834 |
| K1.bmp | 01678134 | 80.222 | 56.916 | 21.895 | 3.837 | N/A | 93.600 |
| Ast.gif | 03159678 | 0.482 | N/A | N/A | N/A | N/A | 0.482 |
| Astha.gif | 00496564 | 0.523 | N/A | N/A | N/A | N/A | 0.523 |

TABLE 6.12: A Huffman Tree Size for Modified Level Order Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 114 | 323 | 322 | N/A | N/A |
| Test3.doc | 03997184 | 313 | 318 | N/A | N/A | N/A |
| Test2.c | 01000679 | 108 | 323 | 324 | N/A | N/A |
| Test2.bak | 01000679 | 108 | 323 | 324 | N/A | N/A |
| Test5.rtf | 07706903 | 103 | 322 | 322 | N/A | N/A |
| Game.exe | 04387088 | 324 | 322 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 054 | 322 | 322 | N/A | N/A |
| Test8.pdf | 13300157 | 322 | 322 | 322 | N/A | N/A |
| K1.bmp | 01678134 | 025 | 156 | 310 | 324 | N/A |
| Ast.gif | 03159678 | 324 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 323 | N/A | N/A | N/A | N/A |

TABLE 6.13: Standard Deviation for Modified Level Order Technique

| File Name | Original Size <br> (Bytes) | Standard Deviation |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 8.228 | 1.818 | 0.473 | N/A | N/A |
| Test3.doc |  | 6.110 | 0.492 | N/A | N/A | N/A |
| Test2.c |  | 4.506 | 1.815 | 0.471 | N/A | N/A |
| Test2.bak |  | 4.506 | 1.815 | 0.471 | N/A | N/A |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | N/A |
| Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | N/A |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | N/A |
| K1.bmp | 01678134 | 6.288 | 10.983 | 5.284 | 1.270 | N/A |
| Ast.gif | 03159678 | 0.504 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 0.518 | N/A | N/A | N/A | N/A |

TABLE 6.14: Compressed File Size for Modified Level Order Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 00421355 | 0374906 | 0369082 | N/A | N/A |
| Test3.doc |  | 02150997 | 2137803 | N/A | N/A | N/A |
| Test2.c |  | 00599657 | 0559407 | 0557265 | N/A | N/A |
| Test2.bak | 01000679 | 00599657 | 0559407 | 0557265 | N/A | N/A |
| Test5.rtf | 07706903 | 04726590 | 4634860 | 4634198 | N/A | N/A |
| Game.exe | 04387088 | 03660592 | 3647807 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 04101095 | 4027946 | 4027080 | N/A | N/A |
| Test8.pdf | 13300157 | 10002746 | 9865231 | 9864172 | N/A | N/A |
| K1.bmp | 01678134 | 00331902 | 0142997 | 0111688 | 107402 | N/A |
| Ast.gif | 03159678 | 03144442 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 00493968 | N/A | N/A | N/A | N/A |

TABLE 6.15: Average Code Length for Modified Level Order Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 2.785 | 7.112 | 7.869 | N/A | N/A |
| Test3.doc | 03997184 | 4.304 | 7.949 | N/A | N/A | N/A |
| Test2.c | 01000679 | 4.793 | 7.459 | 7.965 | N/A | N/A |
| Test2.bak | 01000679 | 4.793 | 7.459 | 7.965 | N/A | N/A |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.998 | N/A | N/A |
| Game.exe | 04387088 | 6.675 | 7.971 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 5.295 | 7.857 | 7.998 | N/A. | N/A |
| Test8.pdf | 13300157 | 6.017 | 7.889 | 7.999 | N/A | N/A |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.231 | 7.669 | N/A |
| Ast.gif | 03159678 | 7.961 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 7.953 | N/A | N/A | N/A | N/A |

TABLE 6.16: Compression Ratio for Preorder Technique

| File Name | $\begin{aligned} & \text { Original } \\ & \text { Size } \\ & \text { (Bytes) } \end{aligned}$ | Compression Ratio (\%) |  |  |  |  | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt | 01209956 | 65.176 | 11.084 | 1.562 | 0.014 | N/A | 69.524 |
| Test 3.doc | 03997184 | 46.187 | 00.624 | 0.013 | N/A | N/A | 46.530 |
| Test2.c | 01000679 | 40.075 | 06.734 | 0.419 | 0.017 | N/A | 44.354 |
| Test2.bak | 01000679 | 40.075 | 06.734 | 0.419 | 0.017 | N/A | 44.354 |
| Test5.rtf | 07706903 | 38.671 | 01.942 | 0.020 | N/A | N/A | 39.874 |
| Game.exe | 04387088 | 16.561 | 00.349 | N/A | N/A | N/A | 16.853 |
| Test7.htm | 06196553 | 33.817 | 01.788 | 0.018 | N/A | N/A | 35.010 |
| Test8.pdf | 13300157 | 24.792 | 01.376 | 0.012 | N/A | N/A | 25.840 |
| K1.bmp | 01678134 | 80.222 | 56.945 | 22.117 | 3.329 | 0.339 | 93.610 |
| Ast.gif | 03159678 | 0.483 | N/A | N/A | N/A | N/A | 0.483 |
| Astha.gif | 00496564 | 0.526 | N/A | N/A | N/A | N/A | 0.526 |

TABLE 6.17: A Huffman Tree Size for Preorder Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 115 | 68 | 315 | 96 | N/A |
| Test3.doc | 03997184 | 322 | 89 | 71 | N/A | N/A |
| Test2.c | 01000679 | 108 | 197 | 122 | 142 | N/A |
| Test2.bak | 01000679 | 108 | 197 | 122 | 142 | N/A |
| Test5.rtf | 07706903 | 103 | 279 | 75 | N/A | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 21 | 136 | 319 | N/A | N/A |
| Test8.pdf | 13300157 | 317 | 241 | 312 | N/A | N/A |
| K1.bmp | 01678134 | 23 | 59 | 130 | 211 | 278 |
| Ast.gif | 03159678 | 324 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 306 | N/A | N/A | N/A | N/A |

TABLE 6.18: Standard Deviation for Preorder Technique

| TABLE 6.18: Standard Deviation for Preorder Technique |  |  |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | File Name | Original Size <br> (Bytes) | Standard Deviation |  |  |  |  |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | 0.188 | $\mathrm{~N} / \mathrm{A}$ |  |
| Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Test2.c | 01000679 | 4.506 | 1.815 | 0.458 | 0.153 | $\mathrm{~N} / \mathrm{A}$ |  |
| Test2.bak | 01000679 | 4.506 | 1.815 | 0.458 | 0.153 | $\mathrm{~N} / \mathrm{A}$ |  |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Game.exe | 04387088 | 2.705 | 0.347 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Test7.htm | 06196553 | 6.862 | 0.942 | 0.153 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| K1.bmp | 01678134 | 6.288 | 11.027 | 5.206 | 1.104 | 0.534 |  |
| Ast.gif | 03159678 | 0.504 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Astha.gif | 00496564 | 0.518 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |

TABLE 6.19: Compressed File Size for Preorder Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 00421356 | 0374652 | 0368801 | 368750 | N/A |
| Test3.doc |  | 02151006 | 2137585 | 2137309 | N/A | N/A |
| Test2.c |  | 00599657 | 0559279 | 0556933 | 556839 | N/A |
| Test2.bak | 01000679 | 00599657 | 0559279 | 0556933 | 556839 | N/A |
| Test5.rtf | 07706903 | 04726590 | 4634818 | 4633887 | N/A | N/A |
| Game.exe | 04387088 | 03660526 | 3647734 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 04101062 | 4027726 | 4026983 | N/A | N/A |
| Test8.pdf | 13300157 | 10002741 | 9865147 | 9863988 | N/A | N/A |
| K1.bmp | 01678134 | 00331900 | 0142899 | 0111294 | 107589 | 107224 |
| Ast.gif | 03159678 | 03144409 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 00493951 | N/A | N/A | N/A | N/A |

TABLE 6.20: Average Code Length for Preorder Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 2.785 | 7.112 | 7.868 | 7.997 | N/A |
| Test3.doc | 03997184 | 4.304 | 7.949 | 7.999 | N/A | N/A |
| Test2.c | 01000679 | 4.793 | 7.459 | 7.965 | 7.997 | N/A |
| Test2.bak | 01000679 | 4.793 | 7.459 | 7.965 | 7.997 | N/A |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.998 | N/A | N/A |
| Game.exe | 04387088 | 6.675 | 7.971 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 5.295 | 7.857 | 7.997 | N/A | N/A |
| Test8.pdf | 13300157 | 6.017 | 7.889 | 7.999 | N/A | N/A |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.223 | 7.718 | 7.952 |
| Ast.gif | 03159678 | 7.961 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 7.953 | N/A | N/A | N/A | N/A |

TABLE 6.21: Compression Ratio for Modified Preorder Technique

| File Name | Original Size (Bytes) | Compression Ratio (\%) |  |  |  |  | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt | 01209956 | 65.176 | 11.084 | 1.615 | 0.0317 | N/A | 69.546 |
| Test3.doc | 03997184 | 46.187 | 0.624 | 0.012 | N/A | N/A | 46.529 |
| Test2.c | 01000679 | 40.075 | 6.734 | 0.416 | 0.005 | N/A | 44.346 |
| Test2.bak | 01000679 | 40.075 | 6.734 | 0.416 | 0.005 | N/A | 44.346 |
| Test5.rtf | 07706903 | 38.671 | 1.942 | 0.019 | N/A | N/A | 39.873 |
| Game.exe | 04387088 | 16.561 | 0.349 | N/A | N/A | N/A | 16.853 |
| Test7.htm | 06196553 | 33.817 | 1.788 | 0.018 | N/A | N/A | 35.010 |
| Test8.pdf | 13300157 | 24.792 | 1.376 | 0.0116 | N/A | N/A | 25.840 |
| K1.bmp | 01678134 | 80.222 | 56.933 | 22.081 | 3.644 | 0.443 | 93.633 |
| Ast.gif | 03159678 | 0.483 | N/A | N/A | N/A | N/A | 0.483 |
| Astha.gif | 00496564 | 0.526 | N/A | N/A | N/A | N/A | 0.526 |

TABLE 6.22: A Huffman Tree Size for Modified Preorder Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 113 | 068 | 067 | 072 | N/A |
| Test3.doc |  | 322 | 089 | 071 | N/A | N/A |
| Test2.c |  | 108 | 197 | 146 | 320 | N/A |
| Test2.bak | 01000679 | 108 | 197 | 146 | 320 | N/A |
| Test5.rtf | 07706903 | 103 | 279 | 077 | N/A | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 012 | 136 | 319 | N/A | N/A |
| Test8.pdf | 13300157 | 317 | 241 | 312 | N/A | N/A |
| K1.bmp | 01678134 | 022 | 103 | 121 | 157 | 284 |
| Ast.gif | 03159678 | 291 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 306 | N/A | N/A | N/A | N/A |

TABLE 6.23: Standard Deviation for Modified Preorder Technique

| File Name | Original Size (Bytes) | Standard Deviation |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt | 01209956 | 8.228 | 1.818 | 0.334 | 0.217 | N/A |
| Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | N/A |
| Test2.c | 01000679 | 4.506 | 1.815 | 0.440 | 0.109 | N/A |
| Test2.bak | 01000679 | 4.506 | 1.815 | 0.440 | 0.109 | N/A |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | N/A |
| Game.exe | 04387088 | 2.707 | 0.347 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 6.862 | 0.942 | 0.187 | N/A | N/A |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | N/A |
| K1.bmp | 01678134 | 6.288 | 10.972 | 5.197 | 1.176 | 0.543 |
| Ast.gif | 03159678 | 0.504 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 0.518 | N/A | N/A | N/A | N/A |

TABLE 6.24: Compressed File Size for Modified Preorder Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 00421354 | 374650 | 0368601 | 368484 | N/A |
| Test3.doc |  | 02151006 | 2137585 | 2137331 | N/A | N/A |
| Test2.c |  | 00599657 | 0559279 | 0556951 | 556922 | N/A |
| Test2.bak | 01000679 | 00599657 | 0559279 | 0556951 | 556922 | N/A |
| Test5.rtf | 07706903 | 04726590 | 4634818 | 4633919 | N/A | N/A |
| Game.exe | 04387088 | 03660526 | 3647734 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 04101053 | 4027717 | 4027001 | N/A | N/A |
| Test8.pdf | 13300157 | 10002741 | 9865147 | 9864006 | N/A | N/A |
| K1.bmp | 01678134 | 00331899 | 00142939 | 0111377 | 107319 | 106844 |
| Ast.gif | 03159678 | 03144409 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 00493951 | N/A | N/A | N/A | N/A |

TABLE 6.25: Average Code Length for Modified Preorder Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt |  | 2.785 | 7.112 | 7.869 | 7.996 | N/A |  |
| Test3.doc |  | 4.304 | 7.949 | 7.999 | N/A | N/A |  |
| Test2.c |  | 4.793 | 7.459 | 7.964 | 7.995 | $\mathrm{~N} / \mathrm{A}$ |  |
| Test2.bak |  | 4.793 | 7.459 | 7.964 | 7.995 | $\mathrm{~N} / \mathrm{A}$ |  |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.998 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Game.exe | 04387088 | 6.674 | 7.971 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Test7.htm | 06196553 | 5.295 | 7.856 | 7.997 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Test8.pdf | 13300157 | 6.017 | 7.889 | 7.998 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.227 | 7.697 | 7.943 |  |
| Ast.gif | 03159678 | 7.961 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |
| Astha.gif | 00496564 | 7.953 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |  |

TABLE 6.26: Compression Ratio for Balanced Binary Tree Technique

| File Name | $\begin{aligned} & \text { Original } \\ & \text { Size } \\ & \text { (Bytes) } \end{aligned}$ | Compression Ratio (\%) |  |  |  |  | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |  |
| Test4.txt | 01209956 | 65.173 | 11.057 | 1.569 | N/A | N/A | 69.511 |
| Test3.doc | 03997184 | 46.184 | 0.622 | 0.015 | N/A | N/A | 46.527 |
| Test2.c | 01000679 | 40.072 | 6.712 | 0.397 | N/A | N/A | 44.316 |
| Test2.bak | 01000679 | 40.072 | 6.712 | 0.397 | N/A | N/A | 44.316 |
| Test5.rtf | 07706903 | 38.670 | 1.939 | 0.020 | N/A | N/A | 39.872 |
| Game.exe | 04387088 | 16.560 | 0.348 | N/A | N/A | N/A | 16.850 |
| Test7.htm | 06196553 | 33.815 | 1.785 | 0.019 | N/A | N/A | 35.010 |
| Test8.pdf | 13300157 | 24.792 | 1.374 | 0.012 | N/A | N/A | 25.840 |
| K1.bmp | 01678134 | 80.222 | 56.922 | 21.981 | 3.661 | 0.008 | 93.600 |
| Ast.gif | 03159678 | 0.481 | N/A | N/A | N/A | N/A | 0.481 |
| Astha.gif | 00496564 | 0.509 | N/A | N/A | N/A | N/A | 0.509 |

TABLE 6.27: A Huffman Tree Size for Balanced Binary Tree Technique

| File Name | Original Size <br> (Bytes) | A Huffman Tree Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 145 | 177 | 308 | N/A | N/A |
| Test3.doc |  | 441 | 124 | 53 | N/A | N/A |
| Test2.c |  | 140 | 314 | 173 | N/A | N/A |
| Test2.bak | 01000679 | 140 | 314 | 173 | N/A | N/A |
| Test5.rtf | 07706903 | 135 | 395 | 59 | N/A | N/A |
| Game.exe | 04387088 | 380 | 348 | N/A | N/A | N/A |
| Test7.htm | 06196553 | 175 | 240 | 303 | N/A | N/A |
| Test8.pdf | 13300157 | 340 | 351 | 288 | N/A | N/A |
| K1.bmp | 01678134 | 030 | 123 | 225 | 199 | 259 |
| Ast.gif | 03159678 | 378 | N/A | N/A | N/A | N/A |
| Astha.gif | 00496564 | 391 | N/A | N/A | N/A | N/A |

TABLE 6.28: Standard Deviation for Balanced Binary Tree Technique

| File Name | Original Size <br> (Bytes) | Standard Deviation |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 8.228 | 1.818 | 0.473 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test3.doc |  | 6.110 | 0.492 | 0.188 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.c |  | 4.506 | 1.738 | 0.458 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.bak | 01000679 | 4.506 | 1.738 | 0.458 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 2.707 | 0.347 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 6.288 | 10.929 | 5.223 | 1.083 | 0.463 |
| Ast.gif | 03159678 | 0.504 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 0.518 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

TABLE 6.29: Compressed File Size for Balanced Binary Tree Technique

| File Name | Original Size <br> (Bytes) | Compressed File Size(Bytes) |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 00421386 | 0374792 | 0368910 | N/A | N/A |
| Test3.doc |  | 02151125 | 2137740 | 2137415 | N/A | N/A |
| Test2.c |  | 00599689 | 0559438 | 0557217 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.bak | 01000679 | 00599689 | 0559438 | 0557217 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 04726622 | 4634968 | 4634046 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 03660648 | 3647891 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 04101216 | 4027992 | 4027210 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 10002764 | 9865284 | 9864123 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 00331907 | 0142978 | 0111550 | 107466 | 107457 |
| Ast.gif | 03159678 | 03144496 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 00494036 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

TABLE 6.30: Average Code Length for Balanced Binary Tree Technique

| File Name | Original Size <br> (Bytes) | Average Code Length |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Pass1 | Pass2 | Pass3 | Pass4 | Pass5 |
| Test4.txt |  | 2.785 | 7.112 | 7.868 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test3.doc |  | 4.304 | 7.949 | 7.999 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.c |  | 4.793 | 7.459 | 7.966 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test2.bak | 01000679 | 4.793 | 7.459 | 7.966 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test5.rtf | 07706903 | 4.906 | 7.844 | 7.998 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Game.exe | 04387088 | 6.675 | 7.971 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test7.htm | 06196553 | 5.295 | 7.857 | 7.998 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Test8.pdf | 13300157 | 6.017 | 7.889 | 7.999 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| K1.bmp | 01678134 | 1.582 | 3.443 | 6.229 | 7.693 | 7.979 |
| Ast.gif | 03159678 | 7.961 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| Astha.gif | 00496564 | 7.953 | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |

### 6.3.3 Experimental Data for Benchmark File

TABLE 6.31: Data for WORLD95.TXT File

| File Name: WORLD95.TXT File Size: 2988578 Bytes |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Technique of Huffman Tree Representation |  | Pass1 | Pass2 | Pass3 | Pure Huffman Compression Ratio(\%) | Repeated Huffman Compression Ratio (\%) |
|  | SD | 6.726 | 0.652 | N/A | 35.756 | 36.300 |
|  | TSIZE | 166 | 325 | N/A |  |  |
|  | CFSIZE | 1919984 | 1903815 | N/A |  |  |
|  | CRATIO | 35.756 | 0.842 | N/A |  |  |
|  | ACL | 5.139 | 7.931 | N/A |  |  |
|  | SD | 6.726 | 0.652 | N/A | 35.761 | 36.300 |
|  | TSIZE | 4 | 322 | N/A |  |  |
|  | CFSIZE | 1919822 | 1903644 | N/A |  |  |
|  | CRATIO | 35.762 | 0.843 | N/A |  |  |
|  | ACL | 5.139 | 7.931 | N/A |  |  |
|  | SD | 6.726 | 0.652 | N/A | 35.762 | 36.300 |
|  | TSIZE | 4 | 322 | N/A |  |  |
|  | CFSIZE | 1919822 | 1903644 | N/A |  |  |
|  | CRATIO | 35.762 | 0.843 | N/A |  |  |
|  | ACL | 5.139 | 7.931 | N/A |  |  |
|  | SD | 6.726 | 0.652 | N/A | 35.762 | 36.310 |
|  | TSIZE | 3 | 224 | N/A |  |  |
|  | CFSIZE | 1919821 | 1903545 | N/A |  |  |
|  | CRATIO | 35.762 | 0.848 | N/A |  |  |
|  | ACL | 5.139 | 7.931 | N/A |  |  |
|  | SD | 6.726 | 0.652 | N/A | 35.762 | 36.310 |
|  | TSIZE | 3 | 224 | N/A |  |  |
|  | CFSIZE | 1919821 | 1903545 | N/A |  |  |
|  | CRATIO | 35.762 | 0.848 | N/A |  |  |
|  | ACL | 2988578 | 7.931 | N/A |  |  |
|  | SD | 6.726 | 0.652 | N/A | 35.741 | 36.280 |
|  | TSIZE | 274 | 338 | N/A |  |  |
|  | CFSIZE | 1920423 | 1904254 | N/A |  |  |
|  | CRATIO | 35.741 | 0.842 | N/A |  |  |
|  | ACL | 5.139 | 7.931 | N/A |  |  |

ACL=Average Code Length, $\mathrm{SD}=$ Standard Deviation, $\mathrm{TSIZE}=$ Huffman tree size in Bytes, CFSIZE= Compressed File Size in Bytes, CRATIO= Compression ratio

TABLE 6.32: Data for OHS.DOC File
File Name: OHS.DOC
File Size: 4168192 Bytes

| Technique of Huffman Tree Representation |  | Pass1 | Pass2 | Pass3 | Pure Huffman Compression Ratio (\%) | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | SD | 2.878 | 0.710 | 0.188 | 15.063 | 15.954 |
|  | TSIZE | 370 | 395 | 71 |  |  |
|  | CFSIZE | 3540362 | 3504612 | 3503204 |  |  |
|  | CRATIO | 15.063 | 1.009 | 0.040 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |
|  | SD | 2.878 | 0.710 | 0.217 | 15.064 | 15.950 |
|  | TSIZE | 323 | 322 | 323 |  |  |
|  | CFSIZE | 3540315 | 3504482 | 3503437 |  |  |
|  | CRATIO | 15.064 | 1.012 | 0.030 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |
|  | SD | 2.878 | 0.710 | 0.188 | 15.064 | 15.952 |
|  | TSIZE | 317 | 322 | 322 |  |  |
|  | CFSIZE | 3540309 | 3504474 | 3503277 |  |  |
|  | CRATIO | 15.064 | 1.012 | 0.034 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |
|  | SD | 2.878 | 0.710 | 0.188 | 15.065 | 15.954 |
|  | TSIZE | 265 | 295 | 84 |  |  |
|  | CFSIZE | 3540257 | 3504392 | 3503188 |  |  |
|  | CRATIO | 15.065 | 1.013 | 0.035 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |
|  | SD | 2.878 | 0.710 | 0.188 | 15.065 | 15.954 |
|  | TSIZE | 265 | 295 | 84 |  |  |
|  | CFSIZE | 3540257 | 3504392 | 3503195 |  |  |
|  | CRATIO | 15.065 | 1.013 | 0.034 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |
|  | SD | 2.878 | 0.710 | 0.217 | 15.060 | 15.947 |
|  | TSIZE | 429 | 442 | 266 |  |  |
|  | CFSIZE | 3540421 | 3504728 | 3503473 |  |  |
|  | CRATIO | 15.060 | 1.008 | 0.036 |  |  |
|  | ACL | 6.794 | 7.918 | 7.997 |  |  |

TABLE 6.33: Data for FP.LOG File

| File Name: FP.LOG <br> File Size: 20617071 Bytes |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Technique of Huffman Tree Representation |  | Pass1 | Pass2 | Pass3 | Pure Huffman Compression Ratio (\%) | Repeated Huffman Compression Ratio (\%) |
|  | SD | 8.580 | 1.095 | 0.217 | 32.062 | $33.424$ |
|  | TSIZE | 3 | 259 | 272 |  |  |
|  | CFSIZE | 14006944 | 13730227 | 13726141 |  |  |
|  | CRATIO | 32.062 | 1.976 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.997 |  |  |
|  | SD | 8.580 | 1.095 | 0.217 | 32.062 | 33.423 |
|  | TSIZE | 4 | 323 | 322 |  |  |
|  | CFSIZE | 14006945 | 13730292 | 13726256 |  |  |
|  | CRATIO | 32.062 | 1.975 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.998 |  |  |
|  | SD | 8.580 | 1.095 | 0.217 | 32.062 | 33.423 |
|  | TSIZE | 4 | 323 | 322 |  |  |
|  | CFSIZE | 14006945 | 13730292 | 13726256 |  |  |
|  | CRATIO | 32.062 | 1.975 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.997 |  |  |
|  | SD | 8.580 | 1.096 | 0.217 | 32.062 | 33.424 |
|  | TSIZE | 3 | 146 | 285 |  |  |
|  | CFSIZE | 14006944 | 13730114 | 13726073 |  |  |
|  | CRATIO | 32.062 | 1.977 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.998 |  |  |
|  | SD | 8.580 | 1.096 | 0.217 | 32.062 | 33.424 |
|  | TSIZE | 3 | 146 | 285 |  |  |
|  | CFSIZE | 14006944 | 13730114 | 13726073 |  |  |
|  | CRATIO | 32.062 | 1.977 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.998 |  |  |
|  | SD | 8.580 | 1.096 | 0.217 | 32.0614 | 33.423 |
|  | TSIZE | 5 | 317 | 353 |  |  |
|  | CFSIZE | 14006944 | 13730276 | 13726231 |  |  |
|  | CRATIO | 32.0614 | 1.975 | 0.029 |  |  |
|  | ACL | 5.435 | 7.842 | 7.998 |  |  |

TABLE 6.34: Data for FLASHMX.PDF File
File Name: FLASHMX.PDF
File Size: 4526946 Bytes

| Technique of Huffman Tree Representation |  | Pass1 | Pass2 | Pass3 | Pure Huffman Compression Ratio (\%) | Repeated Huffman Compression Ratio (\%) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | SD | 0.735 | 0.188 | N/A | 1.928 | 1.932 |
|  | TSIZE | 236 | 290 | N/A |  |  |
|  | CFSIZE | 4439692 | 4439475 | N/A |  |  |
|  | CRATIO | 1.928 | 0.005 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |
|  | SD | 0.735 | 0.188 | N/A | 1.926 | 1.930 |
|  | TSIZE | 323 | 322 | N/A |  |  |
|  | CFSIZE | 4439779 | 4439594 | N/A |  |  |
|  | CRATIO | 1.926 | 0.004 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |
|  | SD | 0.735 | 0.188 | N/A | 1.926 | 1.930 |
|  | TSIZE | 313 | 322 | N/A |  |  |
|  | CFSIZE | 4439769 | 4439583 | N/A |  |  |
|  | CRATIO | 1.926 | 0.004 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |
|  | SD | 0.735 | 0.188 | N/A | 1.928 | 1.932 |
|  | TSIZE | 213 | 313 | N/A |  |  |
|  | CFSIZE | 4439669 | 4439474 | N/A |  |  |
|  | CRATIO | 1.928 | 0,004 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |
|  | SD | 0.735 | 0.188 | N/A | 1.928 | 1.932 |
|  | TSIZE | 213 | 313 | N/A |  |  |
|  | CFSIZE | 4439669 | 4439474 | N/A |  |  |
|  | CRATIO | 1.928 | 0.004 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |
|  | SD | 0.735 | 0.188 | N/A | 1.924 | 1.924 |
|  | TSIZE | 386 | 498 | N/A |  |  |
|  | CFSIZE | 4439842 | 4439832 | N/A |  |  |
|  | CRATIO | 1.924 | 0.0002 | N/A |  |  |
|  | ACL | 7.845 | 7.999 | N/A |  |  |

TABLE 6.35: Data for ENGLISH.DIC File


TABLE 6.36: Data for A10.JPG File
File Name: A10.JPG
File Size: 713569 Bytes


TABLE 6.37: Data for RAFALE.BMP File

| File Name: RAFALE.BMP <br> File Size: 4149414 Bytes |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Technique of Huffman Tree Representation |  | Pass1 | Pass2 | Pass3 | Pure Huffman Compression Ratio (\%) | Repeated Huffman Compression Ratio (\%) |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.434 |
|  | TSIZE | 86 | 323 | N/A |  |  |
|  | CFSIZE | 2822479 | 2803598 | N/A |  |  |
|  | CRATIO | 31.979 | 0.669 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.434 |
|  | TSIZE | 96 | 323 | N/A |  |  |
|  | CFSIZE | 2822489 | 2803606 | N/A |  |  |
|  | CRATIO | 31.979 | 0.669 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.434 |
|  | TSIZE | 95 | 319 | N/A |  |  |
|  | CFSIZE | 2822488 | 2803601 | N/A |  |  |
|  | CRATIO | 31.979 | 0.669 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.437 |
|  | TSIZE | 61 | 231 | N/A |  |  |
|  | CFSIZE | 2822454 | 2803479 | N/A |  |  |
|  | CRATIO | 31.979 | 0.672 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.437 |
|  | TSIZE | 61 | 231 | N/A |  |  |
|  | CFSIZE | 2822454 | 2803479 | N/A |  |  |
|  | CRATIO | 31.979 | 0.672 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |
|  | SD | 4.387 | 0.582 | N/A | 31.979 | 32.433 |
|  | TSIZE | 87 | 383 | N/A |  |  |
|  | CFSIZE | 2822480 | 2803658 | N/A |  |  |
|  | CRATIO | 31.979 | 0.667 | N/A |  |  |
|  | ACL | 5.442 | 7.946 | N/A |  |  |

### 6.3.4 Block Huffman Coding

This section provides experimental data such as compression ratio and tree size 'for Block Huffman coding when tree is represented by level order and its modified version.

TABLE 6.38: Compression Ratio and Tree size for Block Huffman Coding (Level Order)

| Level Order Tree Representation |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Block Size <br> (Kbytes) | Source File <br> (Bytes) | Compressed <br> File (Bytes) | Compression <br> Ratio(\%) | Tree size <br> (Bytes) |
| Test4.txt | 10 | 01209956 | 0432446 | 64.259361 | 12562 |
| Test3.doc | 10 | 03997184 | 2170875 | 45.689891 | 25634 |
| Test2.c | 10 | 01000679 | 0609746 | 39.066774 | 10582 |
| Test2.bak | 10 | 01000679 | 0609746 | 39.066774 | 10582 |
| Game.exe | 10 | 04387088 | 3686404 | 15.971505 | 28741 |
| Test7.htm | 10 | 06196553 | 4184803 | 32.465630 | 84695 |

TABLE 6.39: Compression Ratio and Tree size for Block Huffman Coding (M. Level Order)

| Modified Level Order Tree Representation |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Block Size <br> (Kbytes) | Source File <br> (Bytes) | Compressed <br> File (Bytes) | Compression <br> Ratio(\%) | Tree size <br> (Bytes) |
| Test4.txt | 10 | 01209956 | 0432400 | 64.263163 | 12516 |
| Test3.doc | 10 | 03997184 | 2168747 | 45.743128 | 23506 |
| Test2.c | 10 | 01000679 | 0609714 | 39.069971 | 10550 |
| Test2.bak | 10 | 01000679 | 0609714 | 39.069971 | 10582 |
| Game.exe | 10 | 04387088 | 3685784 | 15.985638 | 28121 |
| Test7.htm | 10 | 06196553 | 4184583 | 32.469181 | 84475 |

### 6.4 Comparisons among Experimental Results

This section shows different tables and figures to compare data between existing and proposed techniques of a Huffman tree representation. It also compares Block Huffman coding and Traditional Huffman coding.

TABLE 6.40: Compression Ratio Comparison among All Representation Methods

| File name | Original <br> Size(Bytes) | Repeated Huffman Rading Technique |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Level <br> order | Modified <br> Level <br> order | Preorder | Modified <br> Preorder | Balanced <br> Binary <br> tree | Circular <br> node <br> Tech. |  |
| Test4.txt | 01209956 | 69.500 | 69.500 | 69.524 | 69.546 | 69.511 | 69.492 |  |
| Test3.doc | 03997184 | 46.517 | 46.517 | 46.530 | 46.529 | 46.527 | 46.517 |  |
| Test2.c | 01000679 | 44.311 | 44.312 | 44.354 | 44.346 | 44.316 | 44.310 |  |
| Test2.bak | 01000679 | 44.311 | 44.312 | 44.354 | 44.346 | 44.316 | 44.310 |  |
| Test5.rtf | 07706903 | 39.870 | 39.870 | 39.874 | 39.873 | 39.872 | 39.870 |  |
| Game.exe | 04387088 | 16.851 | 16.851 | 16.853 | 16.853 | 16.850 | 16.853 |  |
| Test7.htm | 06196553 | 34.990 | 35.010 | 35.010 | 35.010 | 35.010 | 35.000 |  |
| Test8.pdf | 13300157 | 25.834 | 25.834 | 25.840 | 25.840 | 25.840 | 25.830 |  |

TABLE 6.41: Compressed File Size Comparison among All Representation Methods

| File name | Original <br> Size(Bytes) | Compressed File Size(Bytes) |  |  |  |  |  |  |  | Level <br> order | Modified <br> Level <br> order | Preorder | Modified <br> Preorder | Balanced <br> Binary <br> tree | Circular <br> node Tech. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Test4.txt | 01209956 | 0369058 | 0369082 | 0368750 | 0368484 | 0368910 | 0369132 |  |  |  |  |  |  |  |  |
| Test3.doc | 03997184 | 2137818 | 2137803 | 2137309 | 2137331 | 2137415 | 2137803 |  |  |  |  |  |  |  |  |
| Test2.c | 01000679 | 0557265 | 0557265 | 0556839 | 0556922 | 0557217 | 0557318 |  |  |  |  |  |  |  |  |
| Test2.bak | 01000679 | 0557265 | 0557265 | 0556839 | 0556922 | 0557217 | 0557318 |  |  |  |  |  |  |  |  |
| Test5.rtf | 07706903 | 4634271 | 4634198 | 4633887 | 4633919 | 4634046 | 4634266 |  |  |  |  |  |  |  |  |
| Game.exe | 04387088 | 3647806 | 3647807 | 3647734 | 3647734 | 3647891 | 3647734 |  |  |  |  |  |  |  |  |
| Test7.htm | 06196553 | 4028193 | 4027080 | 4026983 | 4027001 | 4027210 | 4027486 |  |  |  |  |  |  |  |  |
| Test8.pdf | 13300157 | 9864172 | 9864172 | 9863988 | 9864006 | 9864123 | 9864775 |  |  |  |  |  |  |  |  |

TABLE 6.42: Comparison between Pure and Repeated Huffman (Circular node Tech.)

| Circular node Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffman Coding |  | Compressed <br> File Size <br> (Bytes) | Compression <br> Ratio (\%) |
| Test4.txt | 01209956 | Compressed Huffman Coding <br> File Size <br> (Bytes) | Compression <br> Ratio (\%) |  |  |
| Test3.doc | 03997184 | 2151142 | 65.174 | 0369132 | 69.492 |
| Test2.c | 01000679 | 0599687 | 46.184 | 2137803 | 46.517 |
| Test2.bak | 01000679 | 0599687 | 40.072 | 0557318 | 44.310 |
| Test5.rtf | 07706903 | 4726620 | 38.670 | 4637318 | 44.310 |
| Game.exe | 04387088 | 3660526 | 16.561 | 3647734 | 39.870 |
| Test7.htm | 06196553 | 4101214 | 33.815 | 4027486 | 36.853 |
| Test8.pdf | 13300157 | 10002995 | 24.790 | 9864775 | 25.830 |

TABLE 6.43: Comparison between Pure and Repeated Huffman (Level Order)

| Level Order Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original Size(Bytes) | Pure Huffman Coding |  | Repeated Huffman Coding |  |
|  |  | Compressed File Size (Bytes) | Compression Ratio (\%) | Compressed File Size (Bytes) | Compression Ratio (\%) |
| Test4.txt | 01209956 | 0421356 | 65.176 | 0369058 | 69.500 |
| Test3.doc | 03997184 | 2151007 | 46.187 | 2137818 | 46.517 |
| Test2.c | 01000679 | 0599657 | 40.075 | 0557265 | 44.311 |
| Test2.bak | 01000679 | 0599657 | 40.075 | 0557265 | 44.311 |
| Test5.rtf | 07706903 | 4726590 | 38.671 | 4634271 | 39.870 |
| Game.exe | 04387088 | 3660591 | 16.560 | 3647806 | 16.851 |
| Test7.htm | 06196553 | 4101056 | 33.817 | 4028193 | 34.990 |
| Test8.pdf | 13300157 | . 10002746 | 24.792 | 9864172 | 25.834 |

TABLE 6.44: Comparison between Pure and Repeated Huffman (Modified Level Order)

| Modified Level Order Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffman Coding | Repeated Huffman Coding <br> Compressed <br> File Size <br> (Bytes) | Compression <br> Ratio (\%) | Compressed <br> File Size <br> (Bytes) |
| Test4.txt | 01209956 | 0421355 | 65.176 | 0369082 | Compression <br> Ratio (\%) |
| Test3.doc | 03997184 | 2150997 | 46.187 | 2137803 | 46.500 |
| Test2.c | 01000679 | 0599657 | 40.075 | 0557265 | 44.312 |
| Test2.bak | 01000679 | 0599657 | 40.075 | 0557265 | 44.312 |
| Test5.rtf | 07706903 | 4726590 | 38.671 | 4634198 | 39.870 |
| Game.exe | 04387088 | 3660592 | 16.560 | 3647807 | 16.851 |
| Test7.htm | 06196553 | 4101095 | 33.817 | 4027080 | 35.010 |
| Test8.pdf | 13300157 | 10002746 | 24.792 | 9864172 | 25.834 |

TABLE 6.45: Comparison between Pure and Repeated Huffman (Preorder)

| Preorder Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original Size(Bytes) | Pure Huffman Coding |  | Repeated Huffman Coding |  |
|  |  | Compressed File Size (Bytes) | $\begin{gathered} \text { Compression } \\ \text { Ratio (\%) } \end{gathered}$ | Compressed File Size (Bytes) | Compression Ratio (\%) |
| Test4.txt | 01209956 | 00421356 | 65.176 | 0368750 | 69.524 |
| Test3.doc | 03997184 | 02151006 | 46.187 | 2137309 | 46.530 |
| Test2.c | 01000679 | 00599657 | 40.075 | 0556839 | 44.354 |
| Test2.bak | 01000679 | 00599657 | 40.075 | 0556839 | 44.354 |
| Test5.rtf | 07706903 | 04726590 | 38.671 | 4633887 | 39.874 |
| Game.exe | 04387088 | 03660526 | 16.561 | 3647734 | 16.853 |
| Test7.htm | 06196553 | 04101062 | 33.817 | 4026983 | 35.010 |
| Test8.pdf | 13300157 | 10002741 | 24.792 | 9863988 | 25.840 |

TABLE 6.46: Comparison between Pure and Repeated Huffman (Modified Preorder)

| Modified Preorder Technique |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffman Coding | Compressed <br> File Size <br> (Bytes) | Compression <br> Ratio (\%) | Compressed Huffman Coding <br> File Size <br> (Bytes) |  |
| Test4.txt | 01209956 | 0421355 | 65.176 | 0368484 | Compression <br> Ratio (\%) |  |
| Test3.doc | 03997184 | 2150997 | 46.187 | 2137331 | 46.546 |  |
| Test2.c | 01000679 | 0599657 | 40.075 | 0556922 | 44.346 |  |
| Test2.bak | 01000679 | 0599657 | 40.075 | 0556922 | 44.346 |  |
| Test5.rtf | 07706903 | 4726590 | 38.671 | 4633919 | 39.873 |  |
| Game.exe | 04387088 | 3660592 | 16.561 | 3647734 | 16.853 |  |
| Test7.htm | 06196553 | 4101095 | 33.817 | 4027001 | 35.010 |  |
| Test8.pdf | 13300157 | 10002746 | 24.792 | 9864006 | 25.840 |  |

TABLE 6.47: Comparison between Pure and Repeated Huffman (Balanced Binary Tree)

| Balanced Binary Tree Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffman Coding | Compressed <br> File Size <br> (Bytes) | Compression <br> Ratio (\%) | Compressed Huffman Coding <br> File Size <br> (Bytes) |
| Test4.txt | 01209956 | 00421386 | 65.173 | 03688910 | Compression <br> Ratio (\%) |
| Test3.doc | 03997184 | 02151125 | 46.184 | 2137415 | 46.511 |
| Test2.c | 01000679 | 00599689 | 40.072 | 0557217 | 44.316 |
| Test2.bak | 01000679 | 00599689 | 40.072 | 0557217 | 44.316 |
| Test5.rtf | 07706903 | 04726622 | 38.670 | 4634046 | 39.872 |
| Game.exe | 04387088 | 03660648 | 16.560 | 3647891 | 16.850 |
| Test7.htm | 06196553 | 04101216 | 33.815 | 4027210 | 35.010 |
| Test8.pdf | 13300157 | 10002764 | 24.792 | 9864123 | 25.840 |

TABLE 6.48: Comparison between Pure and Block Huffman (Level Order)

|  | Level Order Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffan Coding |  | Block Huffman Coding <br> File Size <br> (Bytes) |  |  |
|  | Compression <br> Ratio (\%) | Compressed <br> File Size <br> (Bytes) | Compression <br> Ratio(\%) |  |  |  |
| Test4.txt | 01209956 | 0421356 | 65.176 | 0432446 | 64.259361 |  |
| Test3.doc | 03997184 | 2151007 | 46.187 | 2170875 | 45.689891 |  |
| Test2.c | 01000679 | 0599657 | 40.075 | 0609746 | 39.066774 |  |
| Test2.bak | 01000679 | 0599657 | 40.075 | 0609746 | 39.066774 |  |
| Game.exe | 04387088 | 3660591 | 16.560 | 3686404 | 15.971505 |  |
| Test7.htm | 06196553 | 4101056 | 33.817 | 4184803 | 32.465630 |  |

TABLE 6.49: Comparison between Pure and Block Huffman (Modified Level Order)

| Modified Level Order Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File name | Original <br> Size(Bytes) | Pure Huffman Coding | Compressed <br> File Size <br> (Bytes) | Compression Huffman Coding <br> Ratio (\%) | Compressed <br> File Size <br> (Bytes) |
| Test4.txt | 01209956 | 0421355 | 65.176 | 0432400 | Compression <br> Ratio (\%) |
| Test3.doc | 03997184 | 2150997 | 46.187 | 2168747 | 45.743128 |
| Test2.c | 01000679 | 0599657 | 40.075 | 0609714 | 39.069971 |
| Test2.bak | 01000679 | 0599657 | 40.075 | 0609714 | 39.069971 |
| Game.exe | 04387088 | 3660592 | 16.560 | 3685784 | 15.985638 |
| Test7.htm | 06196553 | 4101095 | 33.817 | 4184583 | 32.469181 |

TABLE 6.50: A Huffman Tree Comparison between Existing and Proposed Method (contd.)

| A Huffman Tree Size(Bytes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Original <br> Size <br> (Byte) | Existing Method <br> (Circular Leaf node Tech.) |  |  |  | Proposed Method <br> Level Order Technique) |  |  |  |
|  |  | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four |
| Test4.txt | 01209956 | 143 | 195 | 500 | N/A | 115 | 323 | 322 | N/A |
| Test3.doc | 03997184 | 458 | 262 | 258 | N/A | 323 | 323 | N/A | N/A |
| Test2.c | 01000679 | 138 | 324 | 310 | 325 | 108 | 323 | 323 | N/A |
| Test2.bak | 01000679 | 138 | 324 | 310 | 325 | 108 | 323 | 323 | N/A |
| Test5.rtf | 07706903 | 133 | 413 | 263 | N/A | 103 | 322 | 323 | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | 323 | 322 | N/A | N/A |
| Test7.htm | 06196553 | 173 | 264 | 505 | N/A | 54 | 322 | N/A | N/A |
| Test8.pdf | 13300157 | 571 | 388 | 497 | N/A | 322 | 322 | 322 | N/A |

TABLE 6.51: A Huffman Tree Comparison between Existing and Proposed Method (contd.)

| A Huffman Tree Size(Bytes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Original <br> Size <br> (Byte) | Existing Method <br> (Circular Leaf node Tech.) |  |  |  | Proposed Method <br> (Modified Level Order) |  |  |  |
|  |  | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four |
| Test4.txt | 01209956 | 143 | 195 | 500 | N/A | 114 | 323 | 322 | N/A |
| Test3.doc | 03997184 | 458 | 262 | 258 | N/A | 313 | 318 | N/A | N/A |
| Test2.c | 01000679 | 138 | 324 | 310 | 325 | 108 | 323 | 324 | N/A |
| Test2.bak | 01000679 | 138 | 324 | 310 | 325 | 108 | 323 | 324 | N/A |
| Test5.rff | 07706903 | 133 | 413 | 263 | N/A | 103 | 322 | 322 | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | 324 | 322 | N/A | N/A |
| Test7.htm | 06196553 | 173 | 264 | 505 | N/A | 54 | 322 | 322 | N/A |
| Test8.pdf | 13300157 | 571 | 388 | 497 | N/A | 322 | 322 | 322 | N/A |

TABLE 6.52: A Huffman Tree Comparison between Existing and Proposed Method (contd.)

| A Huffman Tree Size(Bytes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Original <br> Size <br> (Byte) | Existing Method <br> (Circular Leaf node Tech.) |  |  |  | Proposed Method <br> ( Preorder |  |  |  |
|  |  | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four |
| Test4.txt | 01209956 | 143 | 195 | 500 | N/A | 115 | 68 | 315 | 96 |
| Test3.doc | 03997184 | 458 | 262 | 258 | N/A | 322 | 89 | 71 | N/A |
| Test2.c | 01000679 | 138 | 324 | 310 | 325 | 108 | 197 | 122 | 142 |
| Test2.bak | 01000679 | 138 | 324 | 310 | 325 | 108 | 197 | 122 | 142 |
| Test5.rtf | 07706903 | 133 | 413 | 263 | N/A | 103 | 279 | 75 | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | 258 | 315 | N/A | N/A |
| Test7.htm | 06196553 | 173 | 264 | 505 | N/A | 21 | 136 | 319 | N/A |
| Test8.pdf | 13300157 | 571 | 388 | 497 | N/A | 317 | 241 | 312 | N/A |

TABLE 6.53: A Huffman Tree Comparison between Existing and Proposed Method (contd.)

| A Huffman Tree Size(Bytes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Original <br> Size <br> (Byte) | Existing Method <br> (Circular Leaf node Tech.) |  |  |  | Proposed Method <br> (Modified Preorder) |  |  |  |
|  |  | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four |
| Test4.txt | 01209956 | 143 | 195 | 500 | N/A | 113 | 68 | 67 | 72 |
| Test3.doc | 03997184 | 458 | 262 | 258 | N/A | 322 | 89 | 71 | N/A |
| Test2.c | 01000679 | 138 | 324 | 310 | 325 | 108 | 197 | 146 | 320 |
| Test2.bak | 01000679 | 138 | 324 | 310 | 325 | 108 | 197 | 146 | 320 |
| Test5.rtf | 07706903 | 133 | 413 | 263 | N/A | 103 | 279 | 77 | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | 258 | 315 | N/A | N/A |
| Test7.htm | 06196553 | 173 | 264 | 505 | N/A | 12 | 136 | 319 | N/A |
| Test8.pdf | 13300157 | 571 | 388 | 497 | N/A | 317 | 241 | 312 | N/A |

TABLE 6.54: A Huffman Tree Comparison between Existing and Proposed Method

| A Huffman Tree Size(Bytes) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| File Name | Original <br> Size <br> (Byte) | Existing Method <br> (Circular Leaf node Tech.) |  |  |  | Proposed Method <br> (Balanced Binary Tree) |  |  |  |
|  |  | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four | Pass <br> One | Pass <br> Two | Pass <br> Three | Pass <br> Four |
| Test4.txt | 01209956 | 143 | 195 | 500 | N/A | 145 | 177 | 308 | N/A |
| Test3.doc | 03997184 | 458 | 262 | 258 | N/A | 441 | 124 | 53 | N/A |
| Test2.c | 01000679 | 138 | 324 | 310 | 325 | 140 | 314 | 173 | N/A |
| Test2.bak | 01000679 | 138 | 324 | 310 | 325 | 140 | 314 | 173 | N/A |
| Test5.rtf | 07706903 | 133 | 413 | 263 | N/A | 135 | 395 | 59 | N/A |
| Game.exe | 04387088 | 258 | 315 | N/A | N/A | 380 | 348 | N/A | N/A |
| Test7.htm | 06196553 | 173 | 264 | 505 | N/A | 175 | 240 | 303 | N/A |
| Test8.pdf | 13300157 | 571 | 388 | 497 | N/A | 340 | 351 | 288 | N/A |

TABLE 6.55: Repetition Count Comparison among All Representation Methods

| File name | Original <br> Size(Bytes) | Repetition Count <br> Repeated Huffman Coding Technique |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Level <br> order | Modified <br> Level <br> order | Preorder | Modified <br> Preorder | Balanced <br> Binary <br> tree | Circular <br> node <br> Tech. |
| Test4.txt |  | 3 | 3 | 4 | 4 | 3 | 3 |
| Test3.doc |  | 2 | 2 | 3 | 3 | 3 | 3 |
| Test2.c |  | 3 | 3 | 4 | 4 | 3 | 4 |
| Test2.bak |  | 3 | 3 | 4 | 4 | 3 | 4 |
| Test5.rtf |  | 3 | 3 | 3 | 3 | 3 | 3 |
| Game.exe | 04387088 | 2 | 2 | 2 | 2 | 2 | 2 |
| Test7.htm | 06196553 | 2 | 3 | 3 | 3 | 3 | 3 |
| Test8.pdf | 13300157 | 3 | 3 | 3 | 3 | 3 | 3 |

TABLE 6.56: Standard Deviation vs. Compression Ratio (Contd.)

| Method | File Name | Original Size(Bytes) | Standard Deviation vs. Compression Ratio |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Pass1 | Pass2 | Pass3 | Pass4 | Repeated Huffman Compression Ratio (\%) |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.492 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.000 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.830 |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.500 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | N/A | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.815 | 0.471 | N/A | 44.311 |
|  | Test2.bak | 01000679 | 4.506 | 1.815 | 0.471 | N/A | 44.311 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.851 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | N/A | N/A | 34.990 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.834 |

TABLE 6.57: Standard Deviation vs. Compression Ratio(Contd.)

| Method | File Name | Original <br> Size(Bytes) | Standard Deviation vs. Compression Ratio |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Pass1 | Pass2 | Pass3 | Pass4 | Repeated Huffman Compression Ratio (\%) |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.492 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.000 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.830 |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.500 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | N/A | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.815 | 0.471 | N/A | 44.312 |
|  | Test2.bak | 01000679 | 4.506 | 1.815 | 0.471 | N/A | 44.312 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.851 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.010 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.834 |

TABLE 6.58: Standard Deviation vs. Compression Ratio (Contd.)

| Method | File Name | Original Size(Bytes) | Standard Deviation vs. Compression Ratio |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Pass 1 | Pass2 | Pass3 | Pass4 | Repeated Huffman Compression Ratio (\%) |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.492 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.000 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.830 |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | 0.188 | 69.524 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.530 |
|  | Test2.c | 01000679 | 4.506 | 1.815 | 0.458 | 0.153 | 44.354 |
|  | Test2.bak | 01000679 | 4.506 | 1.815 | 0.458 | 0.153 | 44.354 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.874 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.153 | N/A | 35.010 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.840 |

TABLE 6.59: Standard Deviation vs. Compression Ratio (Contd.)

| Method | File <br> Name | Original <br> Size(Bytes) | Standard Deviation vs. Compression Ratio |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Pass1 | Pass2 | Pass3 | Pass4 | Repeated Huffman Compression Ratio (\%) |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.492 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.000 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.830 |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.334 | 0.217 | 69.546 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.529 |
|  | Test2.c | 01000679 | 4.506 | 1.815 | 0.440 | 0.109 | 44.346 |
|  | Test2.bak | 01000679 | 4.506 | 1.815 | 0.440 | 0.109 | 44.346 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.108 | N/A | 39.873 |
|  | Game.exe | 04387088 | 2.707 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.187 | N/A | 35.010 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.840 |

TABLE 6.60: Standard Deviation vs. Compression Ratio

| Method | File Name | Original Size(Bytes) | Standard Deviation vs. Compression Ratio |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Pass1 | Pass2 | Pass3 | Pass4 | Repeated Huffman Compression Ratio (\%) |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.492 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.153 | N/A | 46.517 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.445 | 0.217 | 44.310 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.870 |
|  | Game.exe | 04387088 | 2.705 | 0.347 | N/A | N/A | 16.853 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.000 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.830 |
|  | Test4.txt | 01209956 | 8.228 | 1.818 | 0.473 | N/A | 69.511 |
|  | Test3.doc | 03997184 | 6.110 | 0.492 | 0.188 | N/A | 46.527 |
|  | Test2.c | 01000679 | 4.506 | 1.738 | 0.458 | N/A | 44.316 |
|  | Test2.bak | 01000679 | 4.506 | 1.738 | 0.458 | N/A | 44.316 |
|  | Test5.rtf | 07706903 | 5.989 | 0.983 | 0.153 | N/A | 39.872 |
|  | Game.exe | 04387088 | 2.707 | 0.347 | N/A | N/A | 16.850 |
|  | Test7.htm | 06196553 | 6.862 | 0.942 | 0.188 | N/A | 35.010 |
|  | Test8.pdf | 13300157 | 3.657 | 0.766 | 0.188 | N/A | 25.840 |



FIGURE 6.7: Test4.txt Compression Ratios


FIGURE 6.8: Test3.doc Compression Ratios


FIGURE 6.9: Test2.c Compression Ratios


FIGURE 6.10: Test5.rtf Compression Ratios


FIGURE 6.11: Test7.htm Compression Ratios


FIGURE 6.12: Repetition Count for C, txt, doc, htm and rtf files (Contd.)


FIGURE 6.13: Repetition Count for C, txt, doc, htm and rtf files (Contd.)


FIGURE 6.14: Repetition Count for C , txt, doc, htm and rtf files


FIGURE 6.15: Change of Compression Ratio (.txt, .doc, .C, .rtf) in preorder technique


FIGURE 6.16: Change of Compression Ratio (.bmp, .exe, .htm, .pdf,, .back) in preorder technique


FIGURE 6.17: Change of Compression Ratio (.txt, , doc, .C, rtf) in preorder technique


FIGURE 6.18: Huffman tree Size in Circular Leaf node and Level order (Test4.txt)


FIGURE 6.19: Huffman tree Size in Circular Leaf node and Modified Level order(Test4.txt)


FIGURE 6.20: Huffman tree Size in Circular Leaf node and Preorder(Test4.txt)


FIGURE 6.21: Huffman tree Size in Circular Leaf node and Modified Preorder (Test4.txt)


FIGURE 6.22: Huffman tree Size in Circular Leaf node and Balanced B. Tree (Test4.txt)

### 6.5 Analysis of Experimental Results

Table 6.40 presents compression ratios in existing (circular leaf node) and proposed methods of Huffman tree representation. Compression ratios for preorder and its modified version are higher than all other remaining methods. Table 6.42-6.47 compares Pure and Repeated Huffman with respect to compression ratios. Repeated Huffman shows better performance. Table 6.506.54 shows reduced form of Huffman tree compared to existing methods. From Table 6.55 it may be mentioned that Preorder and its modified version also increase repetition count because of memory efficient representation of a Huffman tree that is necessary for Repeated Huffman coding. Table 6.56-6.60 shows change of compression ratio with standard deviation. If change of standard deviation is slowed down, repetition count is increased. Abrupt fall of standard deviation makes Repeated Huffman coding to be degenerated.

Figure 6.7-6.11 compares compression ratios using chart. These figures consider TXT, DOC, C, RTF and HTM files for existing and proposed methods of Huffman tree representation. Figure 6.12-6.14 visualizes the repetition count for each of the proposed techniques compared to existing technique. Change of compression ratio with standard deviation is shown in Figure 6.15-6.16 for different files using preorder technique. Figure 6.17 shows change of compression ratio with respect to average code length. A Huffman tree size in every pass of Repeated Huffman in existing and proposed methods is also compared in figure 6.18-6.22. In almost all of the cases proposed methods show memory efficient tree representation.

### 6.6 Conclusion

Experimental results show that the proposed methods provide a memory-efficient representation of a Huffman tree over existing methods. Because of this efficient representation overall compression ratio is increased.

## CHAPTER

## 7

## CONCLUSION

AND
RECOMMENDATIONS

### 7.1 Conclusion

In this thesis different techniques of Huffman tree representation have been studied and implemented. Clustering of a Huffman tree is also discussed. It also concentrates on Block Huffman coding. This thesis has mainly focused on how efficiency of Huffman coding technique can be improved through the Repeated Huffman coding. Optimal cluster length regarding tree clustering is also studied. Block Huffman coding improves the performance of Pure Huffman coding with respect to speed of compression.

### 7.1.1 Huffman Tree Representation Techniques

## Level order technique

Theoretically level order technique requires less than $\lceil 5 n / 4\rceil$ memory spaces at any cases to represent a Huffman tree which is less than existing method (Circular node) that requires at most $\lceil 3 n / 2\rceil$ memory spaces for the Huffman tree of $n$ distinct symbols. Experimental results show compression ratio and tree size for the level order technique. Especially for the single side growing Huffman tree, circular node technique shows better performance than level order technique. For the fully balanced Huffman tree the performance of level order technique shows excellent performance.

## Modified Level Order Technique

Modified level order technique requires less than $\lceil 9 n / 8\rceil$ memory spaces at best case. It needs less than $\lceil 5 n / 4\rceil$ memory spaces at worst case to represent a Huffman tree, which is less than existing method (Circular node) that requires at most $\lceil 3 n / 2\rceil$ memory spaces for the Huffman tree of $n$ distinct symbols. Experimental results show compression ratio and tree size for the Modified level order technique. Especially for the single side growing Huffman tree, circular node technique shows better performance than Modified level order technique. For the fully balanced Huffman tree the performance of Modified level order technique shows excellent performance because only internal node representation is required.

## Preorder Technique

Theoretically Preorder technique requires less than $\lceil 5 n / 4\rceil$ memory spaces at any cases to represent a Huffman tree which is less than existing method (Circular node) that requires at most $\lceil 3 n / 2\rceil$ memory spaces for the Huffman tree of $n$ distinct symbols. Experimental results show compression ratio and tree size for the Preorder technique. Especially for the single side growing Huffman tree, circular node technique requires $n+1$ memory spaces. For the fully balanced Huffman tree the performance of Preorder technique shows excellent performance than existing method.

## Modified Preorder Technique

Modified Preorder technique requires less than $\lceil 9 n / 8\rceil$ memory spaces at best case. It needs less than $\lceil 5 n / 4\rceil$ memory spaces at worst case to represent a Huffman tree, which is less than existing method (Circular node) that requires at most $\lceil 3 n / 2\rceil$ memory spaces for the Huffman tree of $n$ distinct symbols. Experimental results show compression ratio and tree size for the Modified Preorder technique. Especially for the left eccentric Huffman tree, Circular Leaf node and Modified Preorder techniques show same performance. For the fully balanced Huffman tree the performance of Modified Preorder technique shows excellent performance than existing technique.

## Balanced Binary Tree Technique

Balanced binary tree technique requires $\mathrm{n}+1$ memory spaces at best case. It needs $\lfloor 3 n / 2\rfloor$ memory spaces at worst case to represent a Huffman tree which is less than existing method (Circular Leaf node) that requires at most $\lceil 3 \mathrm{n} / 2\rceil$ memory spaces for the Huffman tree of n distinct symbols. Experimental results show
compression ratio and tree size for the Modified Preorder technique. Especially for the eccentric or the fully Balanced Huffman tree, Balanced Binary tree technique shows better performance. For the fully Balanced Binary tree existing technique (Circular Leaf node) provides worse performance.

### 7.1.2 Tree Clustering Technique

Clustering of a Huffman tree is required to reduce the sparsity of a Huffman tree. It also reduces the wastage of memory and makes search process faster for a symbol. Since search time is proportional to $1+C_{L} / L$, so increasing value of $L$ (top cluster length) minimizes search time but memory wastage is increased. Therefore a decision regarding $L$ is needed. Length of top cluster can be selected as close to average code length so that in first chance most of the symbols can be found in look-up table of top cluster and wastage of memory can be kept minimal.

### 7.1.3 Block Huffman Coding

Block Huffman coding is used to speed up Pure Huffman coding in the case of large file. Block Huffman coding cannot improve the compression ratio significantly. Because of locality characteristics this method sometimes provides better compression than traditional one. Block size must be selected such a way that it does not hamper the compression ratio significantly.

### 7.2 Recommendations

In this thesis work lossless, variable length and repeated version of static Huffman coding is studied, implemented and tested for each method of Huffnan tree representation. Future works on Huffman tree representation and tree clustering algorithm can be carried on

- An optimal representation technique for a Huffman tree of $n$ distinct symbols to get more compression ratio for the Block Huffman coding using Repeated Huffman coding technique.
- An efficient decoding technique for the Repeated Huffman Coding. This decoding technique will extract the original information quickly. Less complex data structure for this technique is required.
- An effective clustering for the Huffman tree is also required. This, clustering technique makes memory mapping faster, speed up search process and reduces memory wastage.
- Repeated Huffman Coding Scheme for the Bangla text CompressionDecompression to reduce the redundancy of text. Coding and decoding time can be studied.
- Repeated Huffman Coding Scheme for image, video and audio data so that compression ratio will be higher. Embedded the Repeated Huffman Coding with Arithmetic coding to get better compression ratio.
- Huffman code optimization by biasing a Huffman tree. Reduce the external path length for each symbol. Optimized code for each symbol will provide better compression ratio.


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[^0]:    Algorithm 2.1: Shannon-Fano Tree Construction

