# Parallel Algorithm for <br> <br> Optimal Vertex-Rankings of Permutation Graphs 

 <br> <br> Optimal Vertex-Rankings of Permutation Graphs}

# Muhammad Abdul Hakim Newton 

October, 2002


Department of Computer Science and Engineering Bangladesh University of Engineering and Technology Dhaka-1000, Bangladesh

# Parallel Algorithm for <br> Optimal Vertex-Rankings of Permutation Graphs 

by

## Muhammad Abdul Hakim Newton

October, 2002

Submitted to
Department of Computer Science and Engineering
Bangladesh University of Engineering and Technology
Dhaka-1000, Bangladesh
in partial fulfillment of the requirement for the degree of M. Sc. Engineering (Computer Science and Engineering)

## PARALLEL ALGORITHM FOR

## Optimal Vertex-Rankings of Permutation Graphs

A thesis submitted by<br>Muhammad Abdul Hakim Newton<br>Student No. 040005022P<br>for the partial fulfillment of the degree of<br>M. Sc. Engineering (Computer Science and Engineering).<br>Examination held on October 8, 2002.<br>Approved as to style and contents by:



Dr. Md. Abut Kashem Mia
Associate Professor \& Head
Department of CSE, BUET, Dhaka-1000, Bangladesh.


## Dr. M. Kaykobad

Professor
Department of CSE, BUET, Dhaka-1000, Bangladesh.


Dr. Chowdhury Mofizur Rahman
Professor
Department of CSE, BUET, Dhaka-1000, Bangladesh.

Member

Member
Chairman,
Supervisor, and Ex-officio

Member
(Extemal)

## Certificate

This is to certify that this thesis work has been done by Muhammad Abdul Hakim Newton, Student No. 040005022 p, under the supervision of Dr. Md. Abul Kashem Mia, Associate Professor and Head, Department of Computer Science and Engineering, Bangladesh University of Engineering and Technology, Dhaka-1000, Bangladesh. It is also declared that neither this thesis nor any part of it has been submitted or is being submitted to anywhere else for the award of any degree or diploma.


Muhammad Abdul Hakim Newton


Dr. Md. Abul Kashem
Supervisor of the Thesis

## Contents

Abstract ..... 1
Chapter 1 Introduction ..... 2
1.1 Graph ..... 2
1.2 Vertex-Ranking ..... 2
1.3 Vertex-Ranking Problem ..... 3
1.4 Applications of Vertex-Ranking Problem ..... 4
1.5 Known Results on Vertex-Ranking Problem. ..... 4
Chapter 2 Preliminaries ..... 6
2.1 Graphs ..... 6
2.2 Subgraphs ..... 7
2.3 Connected Graphs and Separators ..... 7
2.4 Permutation Graphs ..... 8
2.4.1 Permutation ..... 8
2.4.2 Permutation Diagram ..... 8
2.4.3 Permutation Graph ..... 8
2.5 Parallel Random Access Machine (PRAM) ..... 9
Chapter 3 Vertex-Ranking of General Graphs ..... 11
3.1 Vertex-Ranking of Graphs ..... 11
3.2 Vertex-Elimination Tree ..... 12
3.3 Vertex-Separator and Vertex-Separator Tree. ..... 14
Chapter 4 Permutation Graphs ..... 17
4.1 Permutation Graphs and Permutation Diagrams ..... 17
4.2 Line Segments and Scanlines ..... 19
4.3 Pieces and Candidate Pieces. ..... 25
Chapter 5 Vertex-Ranking of Permutation Graphs ..... 29
$5.1 c$-Vertex-Ranking of Permutation Graphs: Sequential Algorithm. ..... 29
$5.2 c$-Vertex-Ranking of Permutation Graphs: Parallel Algorithm. ..... 34
Chapter 6 Conclusion ..... 44
6.1 Conclusion. ..... 44
6.2 Guideline For Further Research ..... 44
References ..... 45

## List of Figures

1.1 A graph with 4 vertices and 5 edges ..... 2
1.2 a) An invalid 1-vertex-ranking b) A valid 1-vertex-ranking. ..... 3
1.3 a) A non-optimal 1-vertex-ranking b) An optimal 1-vertex-ranking. ..... 3
2.1 A graph with 4 vertices and 5 edges. ..... 6
2.2 A subgraph of the graph in Figure 2.1 induced by $\left\{v_{1}, v_{2}, v_{3}\right\}$ ..... 7
2.3 a) A connected graph, b) A disconnected graph ..... 7
2.4 A sequence of numbers, one of its permutation and the inverse permutation. ..... 8
2.5 The permutation diagram of the permutation shown in Figure 2.4. ..... 8
2.6 The permutation graph of the permutation shown in Figure 2.4. ..... 9
2.7 Parallel Random Access Machine (PRAM) ..... 9
3.1 a) A graph, and b) one of its vertex-elimination tree. ..... 12
3.2 a) A graph, and b) one of its vertex-separator tree ..... 15
3.3 a) A vertex-elimination tree, and b) its corresponding vertex-separator tree. ..... 15
4.1 A sequence of numbers, one of its permutation and the inverse permutation. ..... 17
4.2 The permutation graph of the permutation shown in Figure 4.1 ..... 18
4.3 Permutation diagram of the permutation shown in Figure 4.1 ..... 18
4.4 Line segments and scanlines in the permutation diagram. ..... 19
4.5 Different types of scanlines. ..... 20
4.6 Scanlines associated with 4th line segment. ..... 22
5.1 Table $T$ used in Step 7 of Algorithm 5.1.1 ..... 35
5.2 Graph $D$ with $n=4$. ..... 36

## Abstract

In this thesis, we give a parallel algorithm to find the $c$-vertex-ranking of a permutation graph. A $c$-vertex-ranking of a graph $G=\langle V, E\rangle$, for a positive integer $c$, is a labeling of the vertices of $G$ such that, for any label $k$, deletion of all vertices with labels greater than $k$ leaves connected components, each having at most $c$ vertices with label $k$. A $c$-vertexranking is optimal if the number of labels used is as small as possible. The $c$-vertexranking problem is to find an optimal $c$-vertex-ranking of a given graph. A graph $G=\langle V, E\rangle$ is a permutation graph if $V=\{1,2, \ldots, n\}$ and $E=\left\{\langle i, j\rangle \mid(i-j)^{*}\left(\pi^{-1}(i)-\right.\right.$ $\left.\left.\pi^{-1}(j)\right)<0\right\}$, where $\pi=[\pi(1), \pi(2), \ldots, \pi(n)]$ is a permutation of the numbers $1,2, \ldots, n$ and $\pi^{-1}(i)$ is the position of $i$ in the permutation. Deogun et al. gave a sequential algorithm to solve the optimal 1 -vertex-ranking problem on permutation graphs using time $O\left(n^{6}\right)$, where $n$ is the number of vertices in the graph. Later, we have solved the same problem in $O\left(n^{3}\right)$ sequential time. But, there is no known parallel algorithm to solve the $c$-vertex-ranking problem for permutation graphs. In this thesis, we have devised a parallel algorithm for the same problem with any value of $c$ that runs in $O\left(\log ^{2} n\right)$ parallel time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM model.

## Chapter 1

## Introduction

This chapter introduces the vertex-ranking problem and their applications. It also highlights on the complexities of the vertex-ranking problem on several types of graphs.

### 1.1 Graph

A graph $G=\langle V, E\rangle$ is an ordered pair consisting of a set of vertices $V=\left\{\nu_{1}, \nu_{2}, \ldots, v_{n}\right\}$ and a set of edges $E=\left\{e_{1}, e_{2}, \ldots, e_{m}\right\}$. Here, $|V|=n$ and $|E|=m$. An edge $e=\langle u, v\rangle$ with $u, v \in V$ is an unordered pair and is said to be incident on the vertices $u$ and $v$. Figure 1.1 depicts a graph with four vertices and five edges. The circles represent the vertices and the lines represent the edges.


Figure 1.1 A graph with 4 vertices and 5 edges.

### 1.2 Vertex-Ranking

A $c$-vertex-ranking of a graph $G=\langle V, E\rangle$, for any positive integer $c$, is a labeling of the vertices of $G$ with integers such that, for any label $k$, deletion of all vertices with label greater than $k$ leaves connected components, each having at most $c$ vertices with label $k$ [ZNN95]. The integer label of a vertex is called its rank. The ordinary vertex-ranking of a graph $G$ is a $c$-vertex-ranking if $c=1$, and is defined altematively as a labeling of the vertices of $G$ with positive integers such that, every path between any two vertices with same label contains a vertex with a larger label [IRV88]. The 1 -vertex-ranking shown in

Figure 1.2 a ) is invalid because deletion of the vertices with label greater than 3 leaves one connected component having 2 vertices with label 3 . On the other hand, The 1 -vertex-ranking shown in Figure 1.2 b ) is valid because deletion of all vertices with label greater than any $k$ leaves connected components having at most one vertex with rank $k$.


Figure 1.2 a) An invalid 1-vertex-ranking


Figure 1.2 b) A valid 1-vertex-ranking

### 1.3 Vertex-Ranking Problem

Given a graph $G$ and an integer $c$, a $c$-vertex-ranking of $G$ that uses the minimum number of ranks is called an optimal c-vertex-ranking of $G$. The number of ranks used in an optimal $c$-vertex-ranking of $G$ is called the $c$-vertex-ranking number of $G$ and is denoted by $r_{c}(G)$. The $c$-vertex-ranking problem is to find an optimal $c$-vertex-ranking of $G$ [ZNN95]. Figure 1.3 a) depicts a non-optimal 1-vertex-ranking using 6 ranks and Figure 1.3 b ) shows an optimal 1 -vertex-ranking of a graph using 5 ranks which is minimum. There is no valid 1-vertex-ranking for the graph in Figure 1.3 b ) that uses less than 5 ranks.


Figure 1.3 a) A non-optimal 1-vertex-ranking


Figure 1.3 b) An optimal 1-vertex-ranking

### 1.4 Applications of Vertex-Ranking Problem

The vertex-ranking problem has received much attention because of the growing number of applications. For example, it plays important roles in VLSI (Very Large Scale Integration) layout design [L80, SDG92] and in scheduling the parallel assembly of a complex multi-part product from its component [IRV88]. The vertex-ranking problem has also an important application in Cholesky factorization of matrices. Let $A x=b$ be a large system of linear equations, where $A$ is an $n$-by- $n$ sparse symmetric matrix. Solving such a system via Cholesky factorization involves computing a lower triangular matrix $L$ such that $A=L L^{T}$, and then solving the systems $L^{T} x=y$ and $L y=b$. Let $A_{1}$ be a matrix obtained from $A$ by replacing each non-zero element with 1 . Let $G$ be a graph with adjacency matrix $A_{1}$. One may split $A_{1}$ into smaller matrices (which can be handled in parallel) by removing some elements on the main diagonal of $A_{1}$ together with their rows and columns. Clearly, these rows and columns correspond to a separator $S$ of $G$, where a separator is defined as a set of vertices whose removal from the graph separates some two vertices in different connected components. The same procedure is applied recursively to the connected components of $G-S$. Thus, the optimal vertex-ranking of $G$ corresponds to a Cholesky factorization of $A$ having the minimum recursive depth [DR83, L90].

### 1.5 Known Results on Vertex-Ranking Problem

The vertex-ranking problem is NP-hard in general [P88], that is, it is very unlikely to have a polynomial-time algorithm to solve the vertex-ranking problem for general graphs. Hence, graphs are classified using some characteristic properties and sometimes these
properties are found to be very helpful in vertex-ranking of that class of graphs. Using this strategy, vertex-ranking problem has been solved in polynomial-time for several classes of graph. For example, Iyer et al. presented an $O(n \log n)$ time sequential algorithm to solve the vertex-ranking problem for trees [IRV88], where $n$ is the number of vertices of the given tree. Then Schäffer obtained a linear time sequential algorithm by refining their algorithm and its analysis [S89]. Later, Rahman et al. presented an $O(\log n)$ time parallel algorithm using $O(n / \log n)$ processors on the EREW PRAM model [RK01]. There is a polynomial-time sequential algorithm and an $O(\log n)$ time polynomial processor parallel algorithm presented by Kashem et al. which solves the vertex-ranking problem for partial $k$-trees, that is, graphs of treewidth bounded by a fixed integer $k$ [KZN00]. Recently Deogun et al. gave sequential algorithms to solve the vertex-ranking problem for interval graphs in $O\left(n^{3}\right)$ time and for permutation graphs in $O\left(n^{6}\right)$ time [DKKM94]. Later, we have solved the ordinary vertex-ranking problem for permutation graphs in $\mathrm{O}\left(n^{3}\right)$ sequential time [NK99].

There is no known parallel algorithm to solve the $c$-vertex-ranking problem for permutation graphs. In this thesis, we devised a parallel algorithm for the same problem based on our sequential algorithm and it runs in $O\left(\log ^{2} n\right)$ time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM (Concurrent Read Exclusive Write Parallel Random Access Machine) model.

| Graph classes | Algorithm | Complexity | Reference |
| :---: | :---: | :---: | :---: |
| Trees | Sequential | $O(n)$ time | [S89] |
|  | Parallel | $O(\log n)$ time using $O(\mathrm{n} / \log \mathrm{n})$ processor on the EREW PRAM | [RK01] |
| Partial $k$-trees | Sequential | Polynomial time | [KZN00] |
|  | Parallel | $O(\log n)$ time polynomial processor on the PRAM | [KZN00] |
| Interval graphs | Sequential | $O\left(n^{3}\right)$ time | [DKKM94] |
| Permutation graphs | Sequential | $O\left(n^{6}\right)$ time | [DKKM94] |
|  | Sequential | $O\left(n^{3}\right)$ time | [NK99] |
|  | Parallel | $O\left(\log ^{2} n\right)$ time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM | Ours |

Table 1.1 Known results on vertex-ranking problem

## Chapter 2

## Preliminaries

This chapter defines some basic terminology of graphs, subgraphs, connected components and separators, permutations and permutation graphs, parallel random access machine etc.

### 2.1 Graphs

A graph $G=\langle V, E\rangle$ is an ordered pair consisting of a set of vertices $V=\left\{v_{1}, v_{2}, \ldots, v_{n}\right\}$ and a set of edges $E=\left\{e_{1}, e_{2}, \ldots, e_{m}\right\}$. Here, $|\eta|=n$ and $|E|=m$. An edge $e=\langle u, v\rangle$ with $u, v \in V$ is an unordered pair and is said to be incident on the vertices $u$ and $v$. The vertices $u$ and $v$ are said to be adjacent to each other. We also say $u$ and $v$ are neighbor of each other. The degree of a vertex $v$ in a graph $G$ is the number of edges incident to the vertex $v$. A vertex with degree 0 is called an isolated vertex. A path $P=\left\langle w_{1}, w_{2}, \ldots, w_{k}\right\rangle$ from $w_{1}$ to $w_{k}$ in $G$ is a sequence of vertices such that every edge $\left\langle w_{i}, w_{i+1}\right\rangle \in E$ where 1 $\leq i<k$. Figure 2.1 depicts a graph with four vertices and five edges. The circles represent the vertices and the lines represent the edges. Here, $e_{1}=\left\langle v_{1}, v_{2}\right\rangle$ is an edge joining the vertices $\nu_{1}$ and $\nu_{2}$ and hence $v_{1}$ and $\nu_{2}$ are adjacent and also, neighbor to each other. Moreover, the vertex $v_{1}$ has degree 3 .


Figure 2.1 A graph with 4 vertices and 5 edges.

### 2.2 Subgraphs

A graph $G_{1}=\left\langle V_{1}, E_{1}\right\rangle$ is a subgraph of a graph $G=\langle V, E\rangle$ if $V_{1} \subseteq V$ and $E_{1} \subseteq E$; we denote this by $G_{1} \subseteq G$. If $E_{1}=E \cap\left(V_{1} \times V_{1}\right)$ then $G_{1}$ is said to be a subgraph of $G$ induced by $V_{1}$ and is denoted by $G\left[V_{1}\right]$. Here, $G\left[V_{1}\right]$ is obtained by deleting the vertices not in $V_{1}$ along with all the edges incident on them. If we eliminate vertex $v_{3}$ along with every edge incident on it from the graph shown in Figure 2.1, we get a subgraph induced by the set $\left\{v_{1}, v_{2}, v_{4}\right\}$ which is shown in Figure 2.2.


Figure 2.2 A subgraph of the graph in Figure 2.1 induced by $\left\{v_{1}, v_{2}, v_{3}\right\}$.

### 2.3 Connected Graphs and Separators

A graph $G$ is connected if there is a path between any pair of distinct vertices of the graph; otherwise it is disconnected. A connected component of a graph is a maximal connected subgraph. A separator of a connected graph is a set of vertices whose deletion makes $G$ disconnected.


Figure 2.3 a) A connected graph


Figure 2.3 b) A disconnected graph

Figure 2.3 a) and b) shows a connected graph and a disconnected graph respectively. The hollow vertices in Figure 2.3 a) are in a separator because deleting the vertices we get a disconnected graph but the shaded vertex is not in a separator since its deletion does not disconnect the graph.

### 2.4 Permutation Graphs

### 2.4.1 Permutation

A permutation is a rearrangement of the positions of a sequence of numbers. We think a permutation of the numbers $1,2, \ldots, n$ as the sequence $\pi=[\pi(1), \pi(2), \ldots, \pi(n)]$. Moreover, $\pi^{-1}=\left[\pi^{-1}(1), \pi^{-1}(2), \ldots, \pi^{-1}(n)\right]$ is also a sequence called Inverse Permutation where $\pi^{-1}(k)$ denotes the position of $k$ in $\pi$.

$$
\begin{array}{rllllllll}
k & =1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\
\pi & =2 & 6 & 4 & 3 & 8 & 1 & 5 & 7 \\
\pi^{-1} & =6 & 1 & 4 & 3 & 7 & 2 & 8 & 5
\end{array}
$$

Figure 2.4 A sequence of numbers, one of its permutation and the inverse permutation

### 2.4.2 Permutation Diagram

Let $\pi$ be a permutation of $1,2, \ldots, n$. Write the numbers $1,2, \ldots, n$ horizontally from left to right. This is the top row. Underneath the top row, write the numbers $\pi(1)$, $\pi(2), \ldots, \quad \pi(n)$, also, horizontally from left to right. And, this is the bottom row. Draw straight-line segments, joining the two 1 s , the two 2 s , etc. The diagram obtained is called the permutation diagram of the given permutation.


Figure 2.5 The permutation diagram of the permutation shown in Figure 2.4

### 2.4.3 Permutation Graph

Let $\pi$ be a permutation of the numbers $1,2, \ldots, n$. We can construct a graph $G_{\pi}=$ $\langle V, E\rangle$, where $V=\{1,2, \ldots, n\}$ and $E=\left\{\langle i, j\rangle \mid(i-j)^{*}\left(\pi^{-1}(i)-\pi^{-1}(j)\right)<0\right\}$. A graph $G$ is a permutation graph if there is a permutation $\pi$ such that, $G \cong G_{\pi}$. Figure 2.5 shows the permutation graph of the permutation shown in Figure 2.4. Notice that, any two vertices $i$, $j$ of $G_{\pi}$ are adjacent if and only if the line joining the two $i$ intersects the line joining two $j$
in the permutation diagram. Hence, the permutation diagram can illustrate a permutation graph. The mapping from permutation diagrams to permutation graphs is a bijection.


Figure 2.6 The permutation graph of the permutation shown in Figure 2.4

### 2.5 Parallel Random Access Machine (PRAM)

There are several parallel computation models. Considering the relevance with this thesis, we discuss only the Synchronous Shared Memory Model, also called the Parallel Random Access Machine (PRAM) model.


Figure 2.7 Parallel Random Access Machine (PRAM)

Parallel Random Access Machine consists of a number of processors, each of which has its own local memory and can execute its own local program with its own data. All the processors operate synchronously under the control of a common clock and all of them communicate with each other by exchanging data through a shared memory unit referred to as the global memory. Each processor is uniquely identified by an index, called the processor number or processor id, which is available locally and hence, can be referred to in the processor's program.

There are several variations of the PRAM model based on simultaneous access of several processors to the same location of the global memory. The Exclusive Read Exclusive Write (EREW) PRAM does not allow any simultaneous access to a single memory location. The Concurrent Read Exclusive Write (CREW) PRAM allows simultaneous access for read instructions only. Access to a location for both read and write instructions is allowed in the Concurrent Read Concurrent Write (CRCW) PRAM. The three principal varieties of CRCW PRAMs are differentiated by how concurrent writes are handled. The common CRCW PRAM allows concurrent writes only when all processors are attempting to write the same value. The arbitrary CRCW PRAM allows an arbitrary processor to succeed. The priority CRCW PRAM assumes that the indices of the processors are linearly ordered and allows the one with minimum index to succeed.

## Chapter 3

This chapter dicusses on vertex-ranking of general graphs. Section 3.1 presents some definitions and easy lemmas on vertex-ranking of general graphs. The vertex-separator tree and its association with the vertex-ranking problems are illustrated in Section 3.2. The minimal separator concept and a generalized vertex-ranking procedure based on minimal separators along with its proof of correctness are devised in Section 3.3.

### 3.1 Vertex-Ranking of Graphs

Though in Chapter 1, we have discussed vertex-ranking and vertex-ranking problem but for the sake of completeness of this chapter they are more formally defined as follows.

Definition 3.1.1 Given a graph $G=\langle V, E\rangle$, a $c$-vertex-ranking of $G$, for any positive integer $c$, is a labeling $\varphi$ of the vertices of $G$ with integers such that, for any label $k$, deletion of all vertices with labels greater than $k$ leaves connected components, each having at most $c$ vertices with label $k$ [ZNN95]. The integer label of a vertex $v$ is called its rank and is denoted by $\varphi(v)$. The ordinary vertex-ranking of a graph $G$ is a $c$-vertexranking if $c=1$, and is defined alternatively as a labeling of the vertices of $G$ with positive integers, such that, every path between any two vertices with the same label contains a vertex with a larger label [IRV88]. A $c$-vertex-ranking of $G$ that uses the minimum number of ranks is called an optimal c-vertex-ranking of $G$. The number of ranks used in an optimal $c$-vertex-ranking of $G$ is called the $c$-vertex-ranking number of $G$ and is denoted by $r_{c}(G)$. The $c$-vertex-ranking problem is to find an optimal $c$-vertexranking of $G$.

Remark 3.1.2 For a complete graph $K_{n}$ with $n$ vertices, the $c$-vertex-ranking number $r_{c}\left(K_{n}\right)=\lceil n / c\rceil$ and the $c$-vertex-ranking number of a disconnected graph is equal to the maximum $c$-vertex-ranking number of its components.

### 3.2 Vertex-Elimination Tree

The concept of vertex-elimination tree is very important for the vertex-ranking problem.

Definition 3.2.1 Let $G=\langle V, E\rangle$ be a connected graph. A vertex-elimination tree for $G$ is a rooted tree $T$ with vertex set $V$ defined recursively as follows. If $V=\{v\}$ then $T$ is the rooted tree containing only one vertex $v$. Otherwise, choose a vertex $r_{T} \in V$ as the root of $T$. Let $C_{1}, C_{2}, \ldots, C_{q}$ be the connected components of $G\left[V \backslash\left\{r_{T}\right\}\right]$. For each component $C_{i}$, let $T_{i}$ be a vertex-elimination tree. $T$ is defined by making each root $r_{T_{i}}$ of $T_{i}$ adjacent to $r_{T}$. The height of the rooted tree $T$ is the maximal length of a path from the root to a leaf.

a)

b)

Figure 3.1 a) A graph, and b) one of its vertex-elimination tree

Definition 3.2.2 A path $P=\left\langle w_{1}, w_{2}, \ldots, w_{k}\right\rangle$ in a given tree $T$ is a linear descending path from $w_{1}$ to $w_{k}$ if each $w_{l}(1 \leq l<k)$ has only one child $w_{l+1}$. A path containg a single vertex is vacuously linear descending. $P$ is a maximal linear descending path if no linear descending path $Q \neq P$ contains $P$.

The following procedure describes a method to assign ranks to the vertices of a graph using a vertex-elimination tree of the graph.

Procedure 3.2.3 Let $T$ be a vertex-elimination tree of a given graph $G$ and $T_{i}(1 \leq i \leq q)$ be one of the $q$ subtrees found by deleting the vertex $v$ from the subtree of $T$ rooted at $v$. If $c_{k, i}$ denotes the frequency of rank $k$ in the subtree $T_{i}$ and $k_{i}$ denotes the maximum rank
used in the tree $T_{i}$ and $\max _{1 \leq i \leq q} k_{i}$ is denoted by $k$ then a $c$-vertex-ranking $\varphi$ can be obtained by the following bottom-up scheme-

$$
\varphi(v)=\left\{\begin{array}{l}
1, \text { if } v \text { is a leaf vertex of } T \text { or } n=1 \\
k, \text { if } v \text { is an internal vertex of } T \text { and } \sum_{\text {isisq }} c_{k, i}<c \\
k+1, \text { if } v \text { is an internal vertex of } T \text { and } \sum_{1 \leq i \leq q} c_{k, i} \geq c
\end{array}\right.
$$

Remark 3.2.4: Since the rank assignment is a monotonically increasing bottom-up function, the root of any subtree gets the maximum rank used in that subtree. Besides frequency of no rank less than the maximum rank is less than $c$.

0

It needs to prove that Procedure 3.2.3 assigns valid ranks to the vertices of the graph and Lemma 3.2.5 does this.

Lemma 3.2.5 For any $c \in N$, Procedure 3.2.3 leads to a valid $c$-vertex-ranking of a given graph $G$.

Proof: The proof is very simple and straightforward. A vertex on a path from any other vertex to the root gets larger rank if it is closer to the root. So, deletion of a set of vertices with ranks greater than $k$ always deletes all the nodes on the path from every $v$ with $\varphi(v)=$ $k+1$ to the root. Lastly, the assignment process ensures that no subtree gets a rank more than $c$ times.

We found a way to assign valid ranks. Now, how this can be done optimally. The following lemma shows that applying Procedure 3.2 .3 on a minimum-height vertexelimination tree of $G$ results into an optimal $c$-vertex-ranking.

Lemma 3.2.6 Let $T$ be a vertex-elimination tree with minimum height of a connected graph G. Then, for any $c \in N$, applying Procedure 3.2.3 on $T$ leads to the optimal $c$ -vertex-ranking of $G$ and $r_{c}(G)=\varphi(r)$ where $r$ is the root of $T$.

Proof: The proof is by induction on the height of the tree $h$. For $h=0$, the assertion is trivially true. By induction hypothesis, assume that the assertion is true for any graph $G$
having a vertex-elimination tree with minimum height less than $h$. In induction step, delete the root $r_{T}$ from $T$ resulting into $q$ subtrees $T_{1}, T_{2}, \ldots, T_{q}$. Also delete $r_{T}$ from $G$ resulting into $q$ connected components $G_{1}, G_{2}, \ldots, G_{\mathrm{q}}$. By definition $T_{i}(1 \leq i \leq q)$ is the vertex-elimination tree of $G_{i}$ with height less than $h$. By optimality principle, any subtree of a tree with minimum height is also of minimum height. So, each $G_{i}$ can be ranked optimally by Procedure 3.2.3. Let $k_{i}$ be the maximum rank used in $T_{i}$ and the frequency of $k$ in $T_{i}$ is $c_{k, i}$. Clearly, $r_{c}\left(G_{i}\right)=k_{i}=\varphi\left(r_{T_{i}}\right)$, where $r_{T_{i}}$ is the root of $T_{i}$. Vertex $r_{T}$ can not get a rank $k<k_{m}=\max _{1 \leq i \leq q} k_{i}$. This is because $r_{T}$ is adjacent to some vertices of each $T_{i}$ and so to those vertices in $G_{i}$, and deletion of the vertices with rank greater than $k$ in $G$ would leave more than $c$ vertices with rank $k$ (see Remark 3.2.4). Therefore, assign a rank $k_{m}$ to $r_{T}$ if $\sum_{1 \leq i \leq q} c_{k_{m}, i}<c$; otherwise assign $k_{m}+1$ because the vertices in $T$ are in a single component of $G$. Hence, $r_{T}$ is ranked with the minimum rank possible.

### 3.3 Vertex-Separator and Vertex-Separator Tree

Let us first see the definition of vertex-separator, which is also discussed in chapter 2 . Then, we find a close relation between the vertex-elimination tree and the vertexseparator tree.

Definition 3.3.1 A subset $S \subseteq V$ is a ( $u, v$ ) separator (or in general vertex-separator) for two nonadjacent vertices $u$ and $v$ of a connected graph $G$, if the removal of $S$ separates $u$ and $v$ into two distinct connected components. If $S$ is a ( $u, v$ ) separator and no proper subset of $S$ is a $(u, v)$ separator then $S$ is a minimal $(u, v)$ separator (or in general minimal vertex-separator). A minimal vertex-separator that is not properly contained in any other minimal vertex-separator is called an inclusion minimal vertex-separator.

Definition 3.3.2 Let $G$ be a connected graph. A vertex-separator tree for $G$ is a rooted tree $T$ with the vertex set $V$ defined recursively as follows. Choose a separator $S$ as the root of $T$. If $S \neq V$ then assume $G_{1}, G_{2}, \ldots, G_{q}$ be the connected components of $G[V \backslash S]$. For each such connected component $G_{i}(1 \leq i \leq q)$, let $T_{i}$ be a vertex-separator tree. Construct $T$ by making each root $S_{i}$ of $T_{i}$ adjacent to $S$.
a)


b)

Figure 3.2 a) A graph, and b) one of its vertex-separator tree

The following observation shows the correspondence between vertex-elimination tree and vertex-separator-tree.

Observation 3.3.3 Vertices of any maximal linear descending path in a vertexelimination tree of a graph $G$ is a vertex-separator of $G$. So by merging the vertices of the maximal linear descending path into a single node converts a vertex-elimination tree into a vertex-separator tree. On the contrary, expanding a vertex-separator into a linear descending path converts a vertex-separator tree into a vertex-elimination tree.



b)

Figure 3.3 a) A vertex-elimination tree, and b) its corresponding vertex-separator tree

The following observation is very important for ranking the vertices of a graph using a vertex-separator tree.

Observation 3.3.4 In order to obtain an optimal $c$-vertex-ranking of a graph $G$ by Procedure 3.2.3, we need a vertex-elimination tree with minimum height. The height of a
vertex-elimination tree can be reduced if the lengths of the linear descending paths are shorten. This is possible if we use minimal vertex-separators to construct a vertexseparator tree. Hence, we conclude that a vertex-elimination tree with minimum height can be obtained from the vertex-separator trees constructed dynamically and only with minimal separators.

Procedure 3.3.5 A straightforward conversion of Procedure 3.2.3 leads to a vertexranking scheme based on vertex-separator tree.
i) If $S$ is a leaf node in the vertex-separator tree, then rank the first $c$ vertices of $S$ with 1 , then, next $c$ vertices with 2 and so on.
ii) If $S$ is a nonleaf node then let $k$ be the maximum rank used in the descendent subtrees of $S$ and $c_{k}$ is the sum of the frequencies of rank $k$ in those subtrees. Rank $c-c_{k}$ vertices with $k$ if $c>c_{k}$, then rank $c$ vertices with $k+1$, next $c$ vertices with $k+2$ and so on.

The following theorem is the main tool of our algorithm. It is a variation of a similar theorem found in [DKKM94].

Theorem 3.3.6 Let $G=\langle V, E\rangle$ be a graph both connected and not complete. Assume that $k=\max _{1 \leq i \leq q} r_{c}\left(G_{i}\right), c_{k}=\sum_{1 \leq i \leq q} c_{k, i}$ and $k^{\prime}=\lceil|S| / c\rceil$ if $c_{k}>c, k^{\prime}=\left\lceil\left(|S|-c+c_{k}\right) / c\right\rceil$ if $|S|+c_{k}>c$, otherwise $k^{\prime}=0$, where $S$ is a minimal separator in $G$, each $G_{i}(1 \leq i \leq q)$ is a subgraph of $G[V \backslash S]$ and $c_{k, i}$ is frequency of rank $k$ in $G_{i}$. Then, $r_{c}(G)=\min _{S}\left(k+k^{\prime}\right)$.

Proof: This theorem directly follows from Procedure 3.2.3, 3.3.5, Lemma 3.2.6 and Observation 3.3.3.

## Chapter 4

## Permutation Graphs

This chapter thoroughly discusses permutation graphs. Section 4.1 defines the permutation graphs and the permutation diagrams. Section 4.2 illustrates the properties of the line segments and the scanlines and introduces the candidate scanlines. Section 4.3 discusses the pieces and lastly introduces the candidate pieces.

### 4.1 Permutation Graphs and Permutation Diagrams

Permutations and permutation graphs are already defined in Chapter 2. Still, for the sake of completeness of this chapter they are discussed here.

Definition 4.1.1 A permutation is a rearrangement of the positions of a sequence of numbers. We think a permutation of the numbers $1,2, \ldots, n$ as the sequence $\pi=[\pi(1)$, $\pi(2), \ldots, \pi(n)]$. Moreover, $\pi^{-1}=\left[\pi^{-1}(1), \pi^{-1}(2), \ldots, \pi^{-1}(n)\right]$ is also a sequence called Inverse Permutation where $\pi^{-1}(k)$ denotes the position of $k$ in $\pi$.

$$
\begin{array}{rllllllll}
k & =1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\
\pi & =2 & 6 & 4 & 3 & 8 & 1 & 5 & 7 \\
\pi^{-1} & =6 & 1 & 4 & 3 & 7 & 2 & 8 & 5
\end{array}
$$

Figure 4.1 A sequence of numbers, one of its permutation and the inverse permutation

Definition 4.1.2 Given a permutation $\pi=[\pi(1), \pi(2), \ldots, \pi(n)]$, we can construct a graph $G_{\pi}=\langle V, E\rangle$ where $V=\{1,2, \ldots, n\}$ and the $E=\left\{\langle i, j\rangle \mid(i-j)^{*}\left(\pi^{-1}(i)-\pi^{-1}(j)\right)<0\right\}$. An undirected graph $G$ is a permutation graph if there is a permutation $\pi$ such that $G \cong$ $G_{\pi}$. The graph $G_{\pi}$ is sometimes called the inversion graph of $\pi$.


Figure 4.2 The permutation graph of the permutation shown in Figure 4.1

Corollary 4.1.3 Given a permutation $\pi$, a permutation graph $G_{\pi}$ can be computed in $O\left(n^{2}\right)$ time. Conversely, given a permutation graph $G$, a permutation $\pi$ with $G_{\pi} \cong G$ can also be computed in $O\left(n^{2}\right)$ time.

In this thesis, we assume that the permutation $\pi$ is given and we identify the permutation graph with the inversion graph $G_{\pi}$.

Definition 4.1.4 Let $\pi$ be a permutation of $1,2, \ldots, n$. Write the numbers $1,2, \ldots, n$ horizontally from left to right. This is the top row. Underneath the top row, write the numbers $\pi(1), \pi(2), \ldots, \pi(n)$ also horizontally from left to right. And this is the bottom row. Draw straight-line segments, joining the two 1 s , the two 2 s , etc. The diagram obtained is called the permutation diagram of the given permutation.


Figure 4.3 Permutation diagram of the permutation shown in Figure 4.1

Remark 4.1.5 Any two vertices $i, j$ of $G_{\pi}$ are adjacent if and only if the line joining the two $i$ intersects the line joining two $j$ in the permutation diagram. Hence, a permutation graph is an intersection graph, which is illustrated by the permutation diagram. The mapping from permutation diagrams to permutation graphs is a bijection.

From this point, we concentrate on permutation diagrams. It is the permutation diagrams not the permutation graphs, which characterize the features of a permutation. We need to explore the permutation diagram thoroughly to find any kind of useful properties that may be help in the vertex-ranking of permutation graphs.

### 4.2 Line Segments and Scanlines

Besides the visible straight lines joining some two ks , we can imagine some invisible lines in the permutation diagram. These invisible lines join points from the top row with some point in the bottom row.

Definition 4.2.1 A straight-line joining $i$ from the top row and $\pi(j)$ from the bottom row is a line segment if $i=\pi(j)$ and is denoted by ith line segment. If $i \neq \pi(j)$ then it is a scanline denoted by scanline $(i, j)$. In the permutation diagram, the lines segments are visible and the scanlines are invisible. In Figure 4.4, the solid straight line joining 2 from the top row and $2=\pi(1)$ from the bottom row is the 2 nd line segment and the dashed straight line joining 3 from the top row and $6=\pi(2)$ from the bottom row is the $(3,2)$ scanline.


Figure 4.4 Line segments and scanlines in the permutation diagram

Corollary 4.2.2 Number of line segment in a permutation diagram is $n$ and number of scanline is exactly $n^{2}-n$, where $n$ is the number of vertices in the permutation graph.

Observation 4.2.3 Let $s(i, j)$ be a scanline such that $\pi(j)=k$. If the $i$ th and $k$ th line segment do not intersect each other then the set of line segments intersecting $s$ is the ( $i, k$ ) separator and is denoted by $S$. Notice that, $(i, k)$ and $(k, i)$ separators as we found from the scanlines $\left(i, \pi^{-1}(k)\right)$ and $\left(k, \pi^{-1}(i)\right)$ respectively may not be identical. If the $i$ th and $k$ th
line segment intersect each other then, $s$ does not correspond to any separator since $i$ and $k$ are adjacent vertices and hence can not be separated.

Definition 4.2.4 A line segment or a scanline is to the left (or right) of another line segment or another scanline if both the top and the bottom of the former is to the left (or right) of those of the later respectively. A line segment or a scanline is in between two line segments or two scanlines if both the top and the bottom of the former is in between those of the later two respectively.

Definition 4.2.5 Two scanlines $s_{1}$ and $s_{2}$ are equivalent and denoted by $s_{1} \equiv s_{2}$, if $S_{1}$ and $S_{2}$ are equal and the set of line segments those are to the left (or right) of the scanline $s_{1}$ is equal to the set of line segments those are also to the left (or right) of the scanline $s_{2}$.


Figure 4.5 Different types of scanlines
Definition 4.2.6 A scanline $s\left(i, \pi^{-1}(j)\right)$ is a separating scanline if the $i$ th and $j$ th line segments do not intersect each other; otherwise it is a nonseparating scanline. For each separating scanline $s$, there is a separator $S$. A separating scanline is an $(i, j)$ separating scanline if $S$ is an $(i, j)$ separator.

Observation 4.2.7 If we delete the vertices of $S$ found from a separating scanline $s(i, j)$ with $\pi(j)=k$, the permutation diagram and hence, the permutation graph splits into two separate subgraphs- left subgraph and right subgraph. The vertices whose corresponding line segments are to the left (or right) of the scanline $s$ are in the left (or right) subgraph. We do not need all the scanlines, we need only the separating scanlines.

Lemma 4.2.8 Let ith line segment is to the left of jth line segment. All separating scanlines lying in between these line segments are ( $i, j$ ) separating scanlines. Moreover, all $(i, j)$ separating scanlines are in between the ith and jth line segment.

Proof. Let $s(x, y)$ be a separating scanlines lying in between the $i$ th and $j$ th line segments and $\pi(y)=z$. Clearly, $i$ th and $j$ th line segments are to the left and right of the scanline $s$ respectively. On the other hand, deletion of the vertices in $S$ separates $x$ and $z$. From Observation 4.2.7, we see that $x$ is in the left subgraph along with $i$ and $z$ is in the right subgraph along with $j$. Since the left and the right subgraphs are separate, $i$ and $j$ are also separated.

Say, a scanline $s$ is not in between $i$ th and $j$ th line segment. If $s$ intersects one or both of the two given line segments, then $i$ or $j$ or both are also in separator $S$ and clearly $S$ is not an (i,j) separator. If both $i$ th and $j$ th line segments are to the left (or right) of the scanline $s$, then both the vertices are in left (or right) subgraph. And, if $i$ and $j$ are in the same connected component, then after deletion all the vertices in $S, i$ and $j$ will be in the same component. Hence $S$ is not an $(i, j)$ separator.

Our ultimate goal is to identify minimal separators using the scanlines. The following lemma ensures that there is always a scanline for each minimal separator.

Lemma 4.2.9 [K93] Let $G$ be a permutation graph, and let $x$ and $y$ be nonadjacent vertices in G. For every minimal $(x, y)$ separator $S_{x y}$, there is a scanline $s$, which lies in between the line segments corresponding to $x$ and $y$, such that $S=S_{x y}$.

Proof: Let $S_{x y}$ be a minimal $(x, y)$ separator and $G_{x}, G_{y}$ be the subgraphs of $G\left[V \backslash S_{x y}\right]$ containing $x$ and $y$ respectively. Without loss of generality, we may assume that $G_{x}$ is completely to the left of $G_{y}$. Every vertex of $S$ is adjacent to some vertex in $G_{x}$ and to some vertex of $G_{y}$. Notice that, we can choose a scanline $s$ lying in between $x$ th and $y$ th line segment and crossing no line segments of $G\left[V \backslash S_{x y}\right]$. We know, all line segments crossing the scanline $s$ must be in $S$. But, for each element of $S$, the corresponding line segments must cross $s$, since it is intersecting a line segment of $G_{x}$, which is to the left of $s$ and with a line segment of $G_{y}$, which is to the right of $s$.

Definition 4.2.10 A separating scanlines $s$ is a candidate scanline if $S$ is a minimal separator.

We now devise some procedures to find the candidate scanlines associated with a vertex that is, for a given vertex $x$, we will find all possible $(x, y)$ or $(y, x)$ separating candidate scanlines, where $y$ is any vertex other than $x$.

Definition 4.2.11 For any line segment $i$, we define four types of scanlines though all of them may not exist. Assume that $\pi^{-1}(i)=j$.


Figure 4.6 Scanlines associated with 4th line segment

Down Left Scanset of the $i$ th line segment, denoted by DLS( $i$, consists of all scanlines ( $k_{d l}, l$ ) where $k_{d l}$ is the maximum $k$ satisfying $i \geq k>1$ and $\pi^{-1}(k-1)<j$; and $l$ satisfies $j$ $-1 \geq l \geq \pi^{-1}\left(k_{d l}-1\right)$ and $\pi(l)<i$ and $\pi(l+1) \geq i$.

Up Left Scanset of the $i$ th line segment, denoted by ULS $(i)$, consists of all scanlines $\left(k, l_{u l}\right)$ where $l_{u l}$ is the maximum $l$ satisfying $j \geq l>1$ and $\pi(l-1)<i$; and $k$ satisfies $i-1 \geq k \geq l_{u l}$ -1 and $\pi^{-1}(k)<j$ and $\pi^{-1}(k+1) \geq j$.

Down Right Scanset of the $i$ th line segment, denoted by DRS( $i$, consists of all scanlines ( $k_{d r}, l$ ) where $k_{d r}$ is the minimum $k$ satisfying $i \leq k<n$ and $\pi^{-1}(k+1)>j$; and $l$ satisfies $j$ $+1 \leq l \leq \pi^{-1}\left(k_{d r}+1\right)$ and $\pi(l)>i$ and $\pi(l-1) \leq i$.

Up Right Scanset of the $i$ th line segment, denoted by URS $(i)$, consists of all scanlines ( $k$, $l_{u r}$ ) where $l_{u r}$ is the minimum $l$ satisfying $j \leq l<n$ and $\pi(l+1)>i$; and $k$ satisfies $i+1 \leq k$ $\leq l_{u l}+1$ and $\pi^{-1}(k)>j$ and $\pi^{-1}(k-1) \leq j$.

The following lemma proves that the four scanlines associated with a vertex as defined in Definition 4.2.11 are indeed candidate scanlines.

Lemma 4.2.12 All the four types of scanlines associated with a line segment as defined in Definition 4.2.11 are candidate scanlines, i.e., they correspond to some minimal separators.

Proof: Assume, a scanline $\left(k_{d l}, l\right)$ is in the down left scanset of $i$ th line segment. Also, assume $\pi^{-1}(i)=j$. We show that, scanline ( $k_{d l}, l$ ) is a candidate scanline. Let $S_{k l}$ denotes the separator associated with the scanline $(k, l)$. In Deifinition 4.2.11, $l$ satisfies $j-1 \geq l$ and $\pi(l)<i$ implying that, $\pi(l)$ th line segment is to the left of $i$ th line segment and do not intersect each other; hence there is some separating scanline keeping $i$ and $\pi(l)$ in the right and left subgraph respectively. Again, $k_{d l}$ is the maximum $k$ satisfying $i \geq k \geq 1$ and $\pi^{-1}(k$ $-1)<j$. Therefore, for all $k \geq k_{d l}$, scanline ( $k, l$ ) is a $(i, l)$ separating scanline and for all $k$ $>k_{d l}, S_{k l} \supset S_{k_{d l} l}$ because $S_{k l} \backslash S_{k_{d l} l}=\left\{x: k_{d l} \leq x<k\right\}$. Scanline $\left(k_{d l}, l\right)$ also satisfies $\pi(l+$ $1) \geq i$ because if a scanline $\left(k_{d l}, l^{\prime}\right)$ where $l^{\prime}>l$ (if there is any) is also in the down left scanset of $i$ th line segment then $S_{k_{d l}} \backslash S_{k_{d l} l^{\prime}} \supset\left\{l^{\prime}\right\}$ and $S_{k_{d l}} l^{\prime} \backslash S_{k_{d l} l} \supset\{(l+1)\}$; moreover, if $\pi(l+1)<i$ then $S_{k_{d l} l} \supset S_{k_{d l}(l+1)}$ and $S_{k_{d l} l} \backslash S_{k_{d l}(l+1)}=\{(l+1)\}$; and lastly, $l \geq \pi^{-1}\left(k_{d l}-1\right)=l^{\prime}$ (say) because, if $l<l^{\prime}$ then separator $S_{k_{d l} l} \supset S_{k_{d l} l^{\prime}}$. Hence, $S_{k_{d l} l}$ is a minimal ( $i, l$ ) separator and $\left(k_{d l}, l\right)$ is a candidate scanline. Similarly, we can show that any scanline which is in the up left or the down right or the up right scanset is also a candidate scanline.

Lemma 4.2.13 If there exists down left scanset for a given line segment then its up left scanset also exists. The converse of this statement is also true. And similar assertions also hold for the down right and up right scansets.

Proof: Since there exists down left scanset of a given line segment, there is at least one vertex which can be separated from the given vertex so that it will be in the left subgraph. And this is the condition for the up left scanset to exist. The converse statement and the similar assertions can be easily proved in this way.

Lemma 4.2.14 If ith line segment intersects $(i+1)$ th line segment then the down right scanset of ith line segment is a subset of the down right scanset of $(i+1)$ th line segment. Similar assertions hold for down left, up left and up right scansets.

Proof: From Definition 4.2.11, notice that finding the down right scanset of $i$ th line segment, we need a minimum $k$ satisfying $i \leq k<n$ and $\pi^{-1}(k+1)>j$ where $\pi^{-1}(i)=j$. If $i$ th line segment intersects $(i+1)$ th line segment i.e. $\pi^{-1}(i+1)<\pi^{-1}(i)$ then the same $k$ will be found for both $i$ th and $(i+1)$ th line segment. Again, for any $l$, if $\pi(l)>i+1$ then $\pi(l)>i$ and if $\pi(l-1) \leq i$ then $\pi(l-1) \leq i+1$. Hence, all scanlines in the down right scanset of $i$ th line segment are also in the down right scanset of $(i+1)$ th line segment. Similarly the other assertions can be proved.

Lemma 4.2.15 There are $O(n)$ unique scanlines in the collection of down right scansets of all line segment. Similar assertions hold for down left, up left and up right scanlines.

Proof: We shall prove the first assertion. According to Lemma 4.2.14, if we take the union of the down right scansets of all $i$ th line segment that does not intersect $(i+1)$ th line segment, then we have the collection mentioned in the assertion.

The proof is by induction on $n$, the number of line segments in the permutation diagram. The assertion is true for $n=1$ because there is no scanline. Let it holds for $n-1$. We know that, starting from the permutations of $n-1$ numbers and placing $n$ to the left or right of all numbers or in between each pair of numbers in consecutive positions would generate the permutations of $n$ numbers. In any of these cases, at most one new scanline will be added in the down right scanset of $k$ th line segment where $k$ is the maximum $j$ such that $j$ th line segment does not intersect the newly added $n$th line segement. Clearly, such $k$ may not be found. Therefore, the number of scalines in the collection is increased at most by one. Hence, the assertion also holds for $n$. The similar assertions can also be proved in the same technique.

Lemma 4.2.16 If $\left(k_{d}, l_{d}\right)$ is in the down left scanset of ith line segment then scanline $\left(k_{d}-\right.$ $1, l_{d}+l$ ) is in the up left scanset of the same line segment and these two are equivalent. Converse of this assertion also holds. Similarly, if $\left(k_{d}, l_{d}\right)$ is in the down right scanset of
ith line segment then scanline $\left(k_{d}+1, l_{d}-1\right)$ is in the up right scanset of the same line segment. Converse of this assertion also holds.

Proof: We shall prove the first assertion. From Definition 4.2.11, we can easily verify that scanline $\left(k_{d}-1, l_{d}+1\right) l_{d}$ satisfies the conditions of up left scanset. Let. $l_{u}=l_{d}+1$ and $k_{d}=k_{u}+1$. Furthermore $k_{u} \neq \pi\left(l_{u}\right)$ and $k_{d} \neq \pi\left(l_{d}\right)$ since $\left(k_{u}, l_{u}\right)$ and $\left(k_{d}, l_{d}\right)$ are scanlines, not line segments. We want to show that scanlines $\left(k_{u}, l_{u}\right)$ and $\left(k_{d}, l_{d}\right)$ are equivalent. For any $j$, if $j \leq k_{d}$ and $\pi^{-1}(j) \leq l_{d}$ then $j \leq k_{u}$ and $\pi^{-1}(j) \leq l_{u}$. Similarly, for any $j$, if $k_{d} \leq j$ and $l_{d} \leq \pi^{-1}(j)$ then $k_{u} \leq j$ and $l_{u} \leq \pi^{-1}(j)$. So, the set of line segments that are to the left (or right) of $\left(k_{d}, l_{d}\right)$ is equal to the set of line segments that are to the left (or right) of ( $k_{u}, l_{u}$ ). Hence, we can say that the remaining line segments that intersect the scanline $\left(k_{d}, l_{d}\right)$ also intersect the scanline ( $k_{u}, l_{u}$ ) and so the separators found from them are equal. Therefore, from Definition 4.2 .5 we can conclude that $\left(k_{d}, l_{d}\right)$ and ( $k_{u}, l_{u}$ ) are equivalent scanlines. Similarly, we can prove other assertions.

Lemma 4.2.17 If we take all four types of scanlines associated with all the line segments then there will be $O(n)$ candidate scanlines. There is no candidate scanlines other than these.

Proof: The first portion of this lemma is a straightforward implication of Lemma 4.2.15. Lemma 4.2.12 along with its proof shows that we have considered all possible scanlines implicitly and explicitly and only those four types of scanlines are found to be candidate scanlines.

Corollary 4.2.18 The number of minimal separators of a permutation graph with $n$ vertices is $O(n)$.

### 4.3 Pieces and Candidate Pieces

Now, we are going to define the main concept of designing the vertex-ranking algorithm for permutation graphs using the permutation diagram via minimal separators and candidate scanlines. Since we need optimal ranking, we must satisfy the optimality
principle, which says that for a optimal solution each part of the solution itself will be optimal. In case of optimal vertex-ranking of a permutation graph, we need to ensure that each subgraph leading to the optimal ranking of the whole graph is also optimally ranked. At this point, we need some mechanism to represent a subgraph in the permutation diagram. Pieces obviously support this necessity.

Definition 4.3.1 The piece $P=P\left(s_{l}, s_{r}\right)$ where $s_{l}$ is to the left of $s_{r}$ in the permutation diagram is defined by the scanlines $s_{l}$ and $s_{r}$ and all the line segments in between the two scanlines. We denote by $V(P)$ the set of vertices in $P$ and by $\left|P\left(s_{l}, s_{r}\right)\right|$ the number of line segments in the piece. $P[U]$ denotes the subpiece of $P$ induced by $U \subset V(P)$. Each piece represents some subgraph of the permutation graph.

Corollary 4.3.2 The number of pieces in a permutation graph is bounded by $n^{4}$ where $n$ is the number of vertices in the graph.

For computing the vertex-ranking number of a given graph $G$, we want to use Procedure 3.3.5 and Theorem 3.3.6. We shall compute the vertex-ranking number of all the pieces using dynamic programming technique.

Definition 4.3.3 A scanline $s$ is a cutting scanline for $P\left(s_{l}, s_{r}\right)$ if $s$ is in between $s_{1}$ and $s_{2}$.

Lemma 4.3.4 [DKKM94] Let $S$ be a minimal separator of $P\left(s_{l}, s_{r}\right)$. Then, there is a cutting scanline $s$ for $P$ such that $S$ is exactly the set of those line segments of $P$ crossing $s$.

Proof: Consider the permutation diagram of $P\left(s_{l}, s_{r}\right)$. Let $G_{l}$ and $G_{r}$ be subgraphs of $P[V(P) \backslash S]$ such that, every vertex $v \in S$ has a neighbor in $G_{l}$ and a neighbor in $G_{r}$. Then there is a scanline $s$ such that, it does not cross any line segment corresponding to a vertex of $V(P) \backslash S$ and $s$ is in between $G_{l}$ and $G_{r}$ i.e., $s$ is in between any two line segment $i$ and $j$, where $i$ is in $G_{l}$ and $j$ is in $G_{r}$. Thus $s$ is a cutting scanline and $S$ is exactly the set of line segments crossing $s$.

Corollary 4.3.5 Let $s$ be a cutting scanline of a piece $P\left(s_{l}, s_{r}\right)$. Then $\left|P\left(s_{l}, s_{r}\right)\right|-\left|P\left(s_{l}, s\right)\right|-$ $\left|P\left(s, s_{r}\right)\right|=\left|S \backslash\left(S_{l} \cup S_{r}\right)\right|$, because both the expressions find the number of line segments in $P$ which cross s.

Theorem 4.3.6 [DKKM94] Let $P\left(s_{l}, s_{r}\right)$ be a piece of a permutation graph $G=\langle V, E\rangle$ and let $P$ have at least one cutting scanline. Further more, $k=$ $\max \left\{r_{c}\left(P\left(s_{l}, \dot{s}\right)\right), r_{c}\left(P\left(s, s_{r}\right)\right)\right\}, c_{k}=c_{k, P\left(s_{l}, s\right)}+c_{k, P\left(s, s_{r}\right), S^{\prime}=S \backslash\left(S_{l} \cup S_{r}\right), k^{\prime}}$ $=\left\lceil\left(|S|-c+c_{k}\right) / c\right\rceil$ if $\left|S^{\prime}\right|+c_{k}>c, \quad k^{\prime}=\lceil|S| / c\rceil$ if $c_{k}>c$, othersise $k^{\prime}=0$, where $S$ is a minimal separator in $P$ and $c_{k, p}$ is frequency of rank $k$ in $P$. Then, $r_{c}(G)=\min _{S}\left(k+k^{\prime}\right)$, where the minimum is taken over all the candidate and cutting scanlines $s$ for $P$.

Proof: This theorem directly follows from Theorem 3.3.6, Definition 4.3.1, Definition 4.3.2 and Lemma 4.3.4.

Definition 4.3.7 A piece $P\left(s_{l,} s_{r}\right)$ is a candidate piece if $s_{l}$ and $s_{r}$ are either candidate scanlines or boundary scanlines. The scanline $(1,1)$ and $(n, n)$ are the two boundary scanlines in a permutation diagram.

Corollary 4.3.8 Number of candidate pieces of a permutation graph is $O\left(n^{2}\right)$, where $n$ is the number of vertices of the graph.

Since we are considering only minimal separators, it is sufficient to consider only the candidate scanlines and candidate pieces.

Observation 4.3.9 The candidate scanline $(i, j)$ s will be sorted from left to right if sorted in ascending order of $i+j$ and $i$,

Definition 4.3.10 A piece $P\left(s_{l}, s_{r}\right)$ is a fundamental candidate piece if it has no cutting candidate scanline.

Lemma 4.3.11 The subgraph induced by the set of vertices in a fundamental candidate piece is always completely connected.

Proof: Say, the assertion is not true. So, there is at least a pair of vertices, which are not adjacent. Clearly, there is a separator for this pair and hence, a minimal separator, too, exists. Lemma 4.2.9 says that, for this minimal separator there must be a candidate scanline, which will also be a cutting scanline for the given piece. Notice that, this candidate scanline is not only excluded according to Lemma 4.2 .17 but also indicates that the given candidate piece will not be fundamental if it is further included. Clearly, these are contradictions.

## Chapter 5

## Vertex-Ranking of Permutation Graphs

Section 5.1 describes a sequential algorithm that solves the $c$-vertex-ranking problem for permutation graphs having time complexity $O\left(n^{3}\right)$. Section 5.2 presents the parallel algorithm for the same problem based on the sequential algorithm described in Section 5.1.

## $5.1 c$-Vertex-Ranking of Permutation Graphs: Sequential Algorithm

In this section, we describe an efficient sequential algorithm having time complexity $O\left(n^{3}\right)$ to compute the optimal $c$-vertex-ranking number of a permutation graph and to rank its vertices accordingly. Here, almost the same approach is used as in [DKKM94] and [NK99]. The algorithm in [DKKM94] solves the ordinary vertex-ranking problem (i.e. $c$ $=1$ ) for permutation graphs in $O\left(n^{6}\right)$ time using all the scanlines regardless of it being separating, nonseparating or candidate scanlines and the algorithm in [NK99] solves in $O\left(n^{3}\right)$ time using just the candidate scanlines since only they correspond to the minimal separators.

Algorithm 5.1.1 This algorithm has two procedures- PERM_RANK is the main procedure that computes the optimal $c$-vertex-ranking number and procedure RANK assigns ranks to each vertex of the graph.
procedure PERM_RANK(c, n, Perm, Ranks, MaxR, MaxC)
$/ / n, c$ - number of vertices in the permutation graph and value of $c$ respectively.
// Perm[1:n]- given permutation.
// Ranks $[1: n]$ - output ranks of the vertices.
// MaxR - output vertex-ranking number.
// MaxC - output count of the maximum rank used.
// InvPerm [1: $n$ ] - the inverse of the given permutation.
// CScanLine $[1: 4 n]$ - the sorted list of candidate scanlines.
// NCScanLine - number of candidate scanlines.
//Separator $[1: 4 n, 1: n]$ - the separator associated with the candidate scanlines.
// CPiece[1:4n, 1:4n] - size of the candidate pieces.
// MaxRank[1:n, 1:n]-maximum ranks of the pieces.
// MaxCount $[1: n, 1: n]$ - frequencies of max ranks of the pieces.
// Splitter[1:n, 1:n] - the cutting scanline which led to the optimal ranking of the piece.
// $M R, M C, S, i, j, k, l$ - temporary integer variables.

1. // Compute the Inverse Permutation InvPerm of the given permutation.
for $1 \leq i \leq n d o$

$$
\operatorname{InvPerm}[\operatorname{Perm}[i]]=i
$$

2. // Find all the four types of scanlines associated with all line segment.
// From equivalent pairs take those having less top index.
// Take also scanlines $(1,1)$ and $(n, n)$.
for $1 \leq i \leq n d o$
begin

$$
\begin{aligned}
& j=\operatorname{InvPerm}[i] \\
& / / \text { Down Left Scanset } \\
& \text { Find maximum } k \text { such that } i \geq k>1 \text { and } \operatorname{InvPerm}[k-1]<j . \\
& \text { for } j-1 \geq l \geq \operatorname{InvPerm}[k-1] \\
& \text { if Perm }[l]<i \text { and Perm }[l+1] \geq i \text { then } \\
& \qquad(k, l) \text { is in the down left scanset of } i \text { th line segment } \\
& \text { endif. } \\
& / / \text { Up Left Scanset } \\
& \text { Find maximum } l \text { such that } j \geq l>1 \text { and Perm }[l-1]<i . \\
& \text { for } i-1 \geq k \geq l-1 \\
& \quad \text { if InvPerm }[k]<j \text { and } \operatorname{InvPerm}[k+1] \geq j \text { then } \\
& \qquad(k, l) \text { is in the up left scanset of } i \text { th line segment } \\
& \text { endif. }
\end{aligned}
$$

Take the up left scanset only, because any scanline in the down left scan set is equivalent to some scanline in the up left scan set.

## //. Down Right Scanset

Find minimum $k$ such that $i \leq k<n$ and $\operatorname{InvPerm}[k+1]>j$.

$$
\begin{aligned}
& \text { for } j+1 \leq l \leq \operatorname{InvPerm}[k+1] \\
& \quad \text { if Perm }[l]>i \text { and Perm }[l-1] \leq i \text { then } \\
& \quad(k, l) \text { is in the down right scanset of } i \text { th line segment } \\
& \text { endif. } \\
& \text { // Up Right Scanset } \\
& \text { Find minimum } l \text { such that } j \leq l<n \text { and Perm }[l+1]>i . \\
& \text { for } i+1 \leq k \leq l+1 \\
& \quad \text { if InvPerm }[k]>j \text { and } \operatorname{InvPerm}[k-1] \leq j \text { then } \\
& \quad(k, l) \text { is in the up right scanset of } i \text { th line segment } \\
& \text { endif. }
\end{aligned}
$$

Take the down right scanset only, because any scanline in the up right scan set is equivalent to some scanline in the down right scan set.
end
Take also scanlines - $(1,1)$ and $(n, n)$.
3. Sort all the scanlines found from Step 2 in ascending order of (top + bottom) index. In case of equality, use ascending order of the top index. In the sorted list, the duplicate scanlines are in consecutive position. Eliminate them. The remaining are all unique scanlines.

NCScanLine $=$ number of the unique candidate scanlines.
$\operatorname{CScanLine}(k)$ is the $k$ th candidate scanline where $1 \leq k \leq N C S c a n L i n e$.
4. // Find the separators associated with each of the scanlines.
for $1 \leq k \leq N C S c a n L i n e ~ d o$
for $1 \leq j \leq n d o$
if CScanLine $[k]$ intersects $j$ th line segment then
Separator $[k, j]=1$
else
Separator $[k, j]=0$
endif
5. // Find the size of the pieces
for $1 \leq i \leq N C S c a n L i n e-1$ do

```
for i+1\leqj\leqNCScanLine do
    begin
        CPiece[i,j]=0
        for 1\leqk\leqndo
        if kth line segment is in between CScanLine[i] and CScanLine[j] then.
            CPiece[i,j]= CPiece[i,j]+1
        endif
```

        end.
    6. // Initialize the first diagonal of the table used in dynamic programming.
for $1 \leq k \leq$ NCScanLine -1 do begin
$\operatorname{MaxRank}[k, k+1]=\operatorname{ceil}($ CPiece $[k, k+1] / c)$
MaxCount $[k, k+1]=$ CPiece $[k, k+1] \bmod c$
Splitter $[k, k+1]=$ NULL;
end.
7. // Compute vertex-ranking numbers using dynamic programming for $2 \leq l \leq$ NScanLine -1 do
for $1 \leq i \leq N C S c a n L i n e-l d o$
begin

$$
\begin{aligned}
& k=i+l \\
& \text { MaxRank }[i, k]=\operatorname{ceil}(\text { CPiece }[i, k] / c) \\
& \text { MaxCount }[i, k]=\text { CPiece }[i, k] \bmod c \\
& \text { Splitter }[i, k]=\mathrm{NULL} \\
& \text { for } i+1 \leq j \leq k-1 \\
& \quad \text { begin } \\
& \quad M R=\max (\operatorname{MaxRank}[i, j], \operatorname{MaxRank}[j, k]) \\
& \quad M C=0 \\
& \quad \text { if } M R=M a x R a n k[i, j] \text { then } \\
& \quad M C=M C+M a x \operatorname{Count}[i, j] \\
& \text { endif } \\
& \text { if } M R=M a x R a n k[j, k] \text { then } \\
& \quad M C=M C+M a x \operatorname{Count}[j, k] \\
& \text { endif }
\end{aligned}
$$

```
    \(S=\) CPiece \([i, k]-\) CPiece \([i, j]-\) CPiece \([j, k]\)
    if \(M C>c\) then
    \(M R=M R+\operatorname{ceil}(S / c)\)
    \(M C=S \bmod c\)
    elseif \(S+M C>c\) then
        \(M R=M R+\operatorname{ceil}((S+M C-c) / c)\)
        \(M C=(S+M C-c) \bmod c\)
        else
            \(M C=M C+S\)
        endif
        if MaxRank \([i, k]>M R\) or
        \((\operatorname{MaxRank}[i, k]=M R\) and MaxCount \([i, k]>M C)\) then
        \(\operatorname{MaxRank}[i, k]=M R\)
        MaxCount \([i, k]=M C\)
        Splitter \([i, k]=j\);
        endif
        end
    end
8. call RANK(Ranks, 1, Splitter[1, NCScanLine], NCScanLine)
    \(\operatorname{MaxR}=\) MaxRank[1, NCScanLine \(]\)
    MaxC \(=\) MaxCount \([1\), NCScanLine \(]\)
end PERM_RANK
```

procedure RANK(Ranks, $i, j, k$ )
if $i=k+1$ then

Rank first $c$ vertices whose line segments lie in between scanlines CScanLine $[i]$ and CScanLine $[k]$ with rank 1, then next such $c$ vertices with rank 2, and so on;
return
endif
if $j$ is NULL then
Rank first MaxCount $[i, k]$ vertices whose line segments lie in between scanlines CScanLine $[i]$ and CScanLine $[k]$ with rank MaxRank $[i, k]$, then next such $c$ vertices with $\operatorname{MaxRank}[i, k]-1$, and so on.
return
endif;
call RANK(Ranks, $i$, Splitter[i,j], $j$ );
call RANK(Ranks, $j, \operatorname{Splitter}[j, k], k)$;
Rank first MaxCount $[i, k]$ vertices of Separtor $[j]$ whose line segments lie in between scanlines CScanLine $[i]$ and CScanLine $[k]$ with ranks MaxRank $[i, k]$, next such $c$ vertices with MaxRank $[i, k]-1$, and so on.
return;
end RANK

The complexity analysis of Algorithm 5.1.1 is shown in the following theorem.

Theorem 5.1.2 Optimal c-vertex-ranking of a permutation graph can be found in $O\left(n^{3}\right)$ sequential time.

Proof: Let us analyze step wise. Step 1 needs $O(n)$ time. Step 2 needs $O\left(n^{2}\right)$ time since finding $\operatorname{DLS}(i), \operatorname{ULS}(i), \operatorname{DRS}(i), \operatorname{URS}(i)$ each need $O(n)$ time. Step 3 needs $O\left(n^{2}\right)$ time since number of scanlines found in Step 2 is $O(n)$. Step 4 needs $O\left(n^{2}\right)$ time. Step 5 has a dominating time complexity of $O\left(n^{3}\right)$ while Step 6 takes just $O(n)$ time. Step 7 is clearly another most time consuming part of the algorithm and it runs in $O\left(n^{3}\right)$ time. At last Step 8 assigns the ranks to the vertices recursively in $O\left(n^{2}\right)$ time because determining the line segments in between two given scanlines requires $O(n)$ time. So, it is obvious that the sequential time complexity of Algorithm 5.1.1 is $O\left(n^{3}\right)$.

## $5.2 c$-Vertex-Ranking of Permutation Graphs: Parallel Algorithm

In this section, we describe a parallel algorithm based on the sequential algorithm presented in Section 5.1 that computes the optimal $c$-vertex-ranking number of a permutation graph and to rank its vertices accordingly. This algorithm runs in $O\left(\log ^{2} n\right)$ parallel time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM.

Step 7 of Algorithm 5.1.1 is the most critical part from the point of view of parallel implementation. Straightforward implementation of this step would result into nonpolylogrithmic complexity. In this step a two-dimensional table as shown in Figure 5.1 is used. If $s_{i}, s_{j}$ are the $i$ th and $j$ th scanline in the sorted list of nonequivalent candidate scanlines found in Step 3 of Algorithm 5.1.1, then cell $(i, j)$ contains the maximum rank used, count of the maximum rank and the splitter of this ranking of the piece $P\left(s_{i}, s_{j}\right)$. Cells in each diagonal can be computed in parallel whereas cells in different diagonals can not be.


Figure 5.1 Table $T$ used in Step 7 of Algorithm 5.1.1

Let us first define a graph that resembles the appearance of the above table. Then we map the dynamic programming problems into shortest path problems.

Definition 5.2.1 $\mathrm{D}=\langle V, E\rangle$ is a directed and weighted graph defined as follows:
The set of vertices $V=\{(i, j): 1 \leq i<j \leq n\} \cup\{(0,0)\}$
The set of edges $E=\{\langle(i, j) \rightarrow(i, k)\rangle: 1 \leq i<j<k \leq n\} \cup$

$$
\begin{aligned}
& \{\langle(i, j) \rightarrow(k, j)\rangle: 1 \leq k<i<j \leq n\} \cup \\
& \{\langle(0,0) \rightarrow(i, i+1)\rangle: 1 \leq i<n\}
\end{aligned}
$$

The cost function $w(\langle(i, j) \rightarrow(i, k)\rangle)=\langle R(j, k), C(j, k), S(i, j, k)\rangle$ if $1 \leq i<j<k \leq n$,

$$
\begin{aligned}
& w(\langle(i, j) \rightarrow(k, j)\rangle)=\langle R(i, k), C(i, k), S(k, i, j)\rangle \text { if } 1 \leq k<i<j \leq n, \\
& w(\langle(0,0) \rightarrow(i, i+1)\rangle)=\langle R(i, i+1), C(i, i+1), 0\rangle \text { if } 1 \leq i<n
\end{aligned}
$$

where $s_{k}$ is the $k$ th scanline,

$$
\begin{aligned}
& R(i, j)=r_{c}\left(P\left(s_{i}, s_{j}\right)\right) \\
& C(i, j)=\text { count of the rank of } R(i, j) \text { in } P\left(s_{i}, s_{j}\right) \\
& S(i, j, k)=\left|P\left(s_{i}, s_{k}\right)\right|-\left|P\left(s_{i}, s_{j}\right)\right|-\left|P\left(s_{j}, s_{k}\right)\right|
\end{aligned}
$$



Figure 5.2: Graph $D$ with $n=4$.

Definition 5.2.2 Let the shortest path estimates in $D$ from vertex $(i, j)$ to $(k, l)$ is $W(\langle(i, j) \Rightarrow(k, l)\rangle)=\langle R, C, S, P\rangle$, from vertex $(i, j)$ to $(p, q)$ is $W(\langle(i, j) \Rightarrow(p, q)\rangle)=$ $\left\langle R_{1}, C_{1}, S_{1}, P_{1}\right\rangle$ and from vertex $(p, q)$ to $(k, l)$ costs $W(\langle(p, q) \Rightarrow(k, l)\rangle)=$ $\left\langle R_{2}, C_{2}, S_{2}, P_{2}\right\rangle$ where $R$ is the maximum rank used, $C$ is its count, $S$ is the number of scanlines in the separator set and $P$ is the predecessor. Then, executing the following program segment that updates the estimate $W(\langle(i, j) \Rightarrow(k, l)\rangle)$ is called path relaxation.

$$
\begin{aligned}
& R_{3}=\max \left(R_{1}, R_{2}\right) \quad \text { // Compute the maximum } \\
& C_{3}=0 \\
& \text { If } R_{3}=R_{1} \text { then } C_{3}=C_{3}+C_{1} \text { endif } \quad \text { // Compute the count of the maximum rank } \\
& \text { If } R_{3}=R_{2} \text { then } C_{3}=C_{3}+C_{2} \text { endif } \\
& \text { If } C_{3}>c \text { then } \\
& \qquad \begin{array}{l}
R_{3}=R_{3}+\operatorname{ceil}\left(S_{2} / c\right) \\
C_{2}=S_{2} \bmod c \\
\text { elseif } S_{2}+C_{3}>c \text { then } \\
R_{3}=R_{3}+\operatorname{ceil}\left(\left(S_{2}+C_{3}-c\right) / c\right) \\
C_{3}=\left(S_{2}+C_{3}-c\right) \bmod c
\end{array}
\end{aligned}
$$

else

$$
C_{3}=C_{3}+S_{2}
$$

endif
If $\left(R>R_{3}\right.$ or $\left(R=R_{3}\right.$ and $\left.\left.C>C_{3}\right)\right)$ then $\quad / /$ Relax this with previous estimate

$$
R=R_{3}, C=C_{3}, S=S_{1}, P=P_{2}
$$

endif

Definition 5.2.3 Length of edges $\langle(i, j) \rightarrow(i, k)\rangle,\langle(j, k) \rightarrow(i, k)\rangle$ and $\langle(0,0) \rightarrow(i, i+1)\rangle$ in $D$ are $(k-j),(j-i)$ and 1 respectively. A vertex $(k, l)$ is $(l-k)$ unit apart from vertex $(0,0)$ and $|(i-k)|+|(j-l)|$ unit apart from vertex $(i, j)$. A vertex $(k, l)$ is on $(l-k)$ th diagonal. There are $k+1$ vertices on $k$ th diagonal. A vertex $(i, i+k)$ on $k$ th diagonal is $\mid k$ $-l \mid$ diagonal apart from another vertex $(j, j+l)$ on $l$ th diagonal and there are $|k-l|+1$ vertices on $l$ th diagonal that are $|k-l|$ unit apart from $(i, i+k)$.

Theorem 5.2.4 [B92] Finding a shortest path from $(0,0)$ to $(1, n)$ in D. computes Cell(1, $n$ ) of the table $T$ used in Step 7 of Algorithm 5.1.1 where $n$ is the number of scanlines found in Step 3 of the same and path relaxation is as defined in Definition 5.2.2.

Proof: The proof is by induction on diagonals of $T$. For $1 \leq i<n$, each vertex $(i, i+1)$ is on the first diagonal and the shortest path from vertex $(0,0)$ is $w(\langle(0,0) \rightarrow(i, i+1)\rangle)$. This is the initialization of the first diagonal of the table $T$. We know that, a vertex $(i, j)$ in $D$ corresponds to $\operatorname{Cell}(i, j)$ in $T$. Assume that, shortest paths from $(0,0)$ to all vertices $(i, j)$ which are on any of the first $(k-1)$ th diagonals are available. From the defintion of cost function as in Definition 5.2.1, all edges of length up to $k-1$ are available. Relaxing all the incoming edges, the shortest path from $(0,0)$ to the vertices $(i, j)$, which are on $k$ th diagonal can be computed in the same fashion that is used in computation of table cells. So, by induction the assertion holds.

Construction of the graph $D$ is not straightforward. Weight of the edges of length greater than one cannot be available because the $R$ and $C$ component of the weight function is dependent on shortest path length of some other vertex from $(0,0)$. Hence, the edges are constructed and relaxed whenever the weight function is available.

Theorem 5.2.5 [B92] If a shortest path from ( 0,0 ) to $(i, k)$ in $D$ contains an edge $\langle(i, j) \rightarrow(i, k)\rangle$ then there is a dual shortest path containing edge $\langle(j, k) \rightarrow(i, k)\rangle$. The converse is also true.

Proof: Let the edge $\langle(i, j) \rightarrow(i, k)\rangle$ is in a shortest path from ( 0,0 ) to $(i, k)$. From Definition 5.2.2, $R(i, k)=$ relaxation of the shortest path $\langle(0,0) \Rightarrow(i, j)\rangle$ and the edge
$\langle(i, j) \rightarrow(i, k)\rangle=\max (\mathrm{R}(i, j), \mathrm{R}(j, k))+f(C(i, j), C(j, k), S(i, j, k))$ where $f$ is a function that computes additional ranks used for $S$. Similarly, if the edge $\langle(j, k) \rightarrow(i, k)\rangle$ is in a shortest path from $(0,0)$ to $(i, k)$ then $R(i, k)=$ relaxation of the shortest path $\langle(0,0) \Rightarrow(j, k)\rangle$ and the edge $\langle(j, k) \rightarrow(i, k)\rangle=\max (\mathrm{R}(i, j), \mathrm{R}(j, k))+f(C(i, j), C(j, k)$, $S(i, j, k))$. So, we can compute the shortest path from $(0,0)$ to $(i, k)$ in either way.

Lemma 5.2.6 [B92] For all vertices $(i, k)$ in $D, R(i, k)$ and $C(i, k)$ can be computed by a path having edges of length no longer than $\lceil(k-i) / 2\rceil$.

Proof: The proof is by induction on edge length. According to Theorem 5.2.5, if the edge $\langle(i, j) \rightarrow(i, k)\rangle$ is in a shortest path $\langle(0,0) \Rightarrow(i, k)\rangle$ then the edge $\langle(j, k) \rightarrow(i, k)\rangle$ is also in a shortest path $\langle(0,0) \Rightarrow(i, k)\rangle$. The length of the edges $\langle(i, j) \rightarrow(i, k)\rangle$ and $\langle(j, k) \rightarrow(i, k)\rangle$ are $k-j$ and $j-i$ respectively. If the edge $\langle(i, j) \rightarrow(i, k)\rangle$ is longer than $\lceil(k-i) / 2\rceil$ i.e. $(k-j)>\lceil(k-i) / 2\rceil$ then $(j-i) \leq\lceil(k-i) / 2\rceil$ because $(k-j)+(j-i)=(k-$ i) implying edge $\langle(j, k) \rightarrow(i, k)\rangle$ is no longer than $\lceil(k-i) / 2\rceil$. Again, by induction, a shortest path $\langle(0,0) \Rightarrow(j, k)\rangle$ cannot contain any edge of length greater than $\lceil(k-j) / 2\rceil$. Hence, the shortest path can be computed using the smaller edge.

Lemma 5.2.7: Assume all shortest paths have been computed between each pair of vertices up to $2^{r-1}$ unit apart. Then, one ( $\min$, max) matrix multiplication computes the shortest path for all pairs of vertices up to $2^{r}$ unit apart.

Proof: All shortest paths between each pair of vertices up to $2^{r-1}$ unit apart are available. This includes the shortest paths from $(0,0)$ to the vertices up to the $\left(2^{r-1}\right)$ th diagonal because a vertex on $\left(2^{r-1}\right)$ th dignonal is $\left(2^{r-1}\right)$ unit apart from $(0,0)$. Using these values every edge of length $2^{r-1}$ or smaller can be computed. By Lemma 5.2.6, placing and relaxing these edges in $D$ and then performing one ( $\min , \max$ ) matrix multiplication computes the shortest paths from $(0,0)$ to all vertices up to $2^{r}$ th diagonal.

Lemma 5.2.8 There are $O(d)$ vertices that are d/2 distances apart from both the vertices of a given pair of vertices and finalizing the shortest path between this pair requires $O(d)$ path relaxations.

Proof: According to Lemma 5.2.7, computing the shortest path between two vertices $2^{r}$ unit apart requires the shortest path of pairs up to $2^{r-1}$ unit apart. Form the graph $D$, observe that for any pair of vertices $2^{r}$ unit apart there are $O\left(2^{r-1}\right)$ vertices which are $2^{r-1}$ unit apart from both of them. Path relaxation cannot be done via a vertex that is less than $2^{r-1}$ units apart from either vertex and hence more than $2^{r-1}$ units apart from the other vertex. So to finalize the shortest path between a given pair the path relaxation is done $O\left(2^{r-1}\right)$ times. In fact, by Lemma 5.2.6, this implication can easily be generalized for shortest path of any pair of vertices $d$ unit apart.

Lemma 5.2.9 Computing the shortest path from $(0,0)$ to $(1, n)$ requires $O\left(\log ^{2} n\right)$ parallel time on the CREW PRAM.

Proof: According to Lemma 5.2.7, $O(\log n)$ iterations is needed to compute the shortest path from $(0,0)$ to $(1, n)$ and by Lemma 5.2.8, it needs $O(n)$ path relaxations taking $O(\log n)$ time. So, the time complexity beconves $O\left(\log ^{2} n\right)$. CREW PRAM is needed because in any iteration, shortest paths computed in previous iterations are concurrently read but finally only one processor writes the shortest path of a pair.

Lemma 5.2.10 Computing the shortest path from $(0,0)$ to $(1, n)$ where $n=2^{r}+1$ requires computing shortest path of some other $O\left(n^{2} \log n\right)$ pair of vertices in $D$.

Proof: According to Lemma 5.2.7, computing the shortest paths between a pair $2^{r}$ diagonal apart requires shortest paths of the vertices $2^{r-1}$ diagonal apart. Like divide and conquer strategy, these shortest paths can also be broken down into shortest paths of the vertices $2^{r-2}$ diagonal apart, and so on. But the shortest paths will be connputed in a bottom up fashion. In general, in iteration $l$, we conipute for all $0 \leq k \leq\left(n / 2^{l}\right)$, shortest paths from each of the $\left(k 2^{l-1}+1\right)$ vertices on $\left(k 2^{l-1}\right)$ th diagonal to all the $\left(2^{l-1}+1\right)$ vertices that are $2^{l-1}$ unit apart from it and on $\left((k+1) 2^{l-1}\right)$ th diagonal. There are $\log n$
iterations. Hence, shortest paths of $\sum_{0 \leq l \leq \log n} \sum_{0 \leq k \leq\left(n / 2^{l}\right)}\left(k 2^{l-1}+1\right)\left(2^{l-1}+1\right)=$ $\mathrm{O}\left(n^{2} \log n\right)$ pair of vertices need to be computed in total.

Lemma 5.2.11 Computing the shortest path from $(0,0)$ to $(1, n)$ where $n=2^{r}+1$ costs $O\left(n^{3} \log n\right)$ operations.

Proof: According to Lemma 5.2.10, shortest paths of $O\left(n^{2} \log n\right)$ pair of vertices need to be computed and for each such pair requires $O(n)$ operations by Lemma 5.2.8. So, the operation complexity becomes $O\left(n^{3} \log n\right)$.

Remark 5.2:12 If $n \neq 2^{r}+1$ then we can add some additional vertices along with edges of cost $\langle 0,0,0\rangle$ in the graph so that for the new graph $n$ become equal to $2^{r}+1$.

Algorithm 5.2.13 This algorithm is actually the parallel implementation of Algorithm 5.1.1 and hence a stepwise direct correspondence is easily revealed.
procedure PERM_RANK(c, n, Perm, Ranks, MaxR, MaxC)
$/ / n, c$ - number of vertices in the permutation graph and value of $c$ respectively.
// Perm[1:n]-given permutation.
// Ranks[1:n] - output ranks of the vertices.
// MaxR - output vertex-ranking number.
// MaxC - output count of the maximum rank used.
// InvPerm [1:n] - the inverse of the given permutation.
// CScanLine $[1: 4 n]$ - the sorted list of candidate scanlines.
// NCScanLine - number of candidate scanlines.
$/ /$ Separator $[1: 4 n, 1: n]$ - the separator associated with the candidate scanlines.
// CPiece[1:4n, 1:4n]-size of the candidate pieces.
$/ / R[0: n, 0: n, 0: n, 0: n]$ - maximum rank component of $W$.
// C[0:n, 0:n, 0:n, 0:n] - rank count component of $W$.
// S[0:n, 0:n, 0:n, 0:n] - separator component of $W$.
// P[0:n, 0:n, 0:n, 0:n]-predecessor component of $W$.
// Piece[1:n], MR, Sep, MC, $i, j, k, l-$ temporary variables.

1. // Compute the Inverse Permutation InvPerm of the given permutation. for $1 \leq i \leq n$ pardo

$$
\operatorname{InvPerm}[\operatorname{Perm}[i]]=i
$$

2. // Find all the four types of scanlines associated with all linesegments.
// From equivalent pairs take those having less top index.
// Take also scanlines $(1,1)$ and $(n, n)$.
for $1 \leq i \leq n$ pardo
begin
$j=\operatorname{InvPerm}[i]$
// Down Left Scanset
Find maximum $k$ such that $i \geq k>1$ and $\operatorname{InvPerm}[k-1]<j$.
for $j-1 \geq l \geq \operatorname{InvPerm}[k-1]$ pardo
if Perm $[l]<i$ and Perm $[l+1] \geq i$ then $(k, l)$ is in the down left scanset of $i$ th line segment endif.
// Up Left Scanset
Find maximum $l$ such that $j \geq l>1$ and $\operatorname{Perm}[l-1]<i$.
for $i-1 \geq k \geq l-1$ pardo
if InvPerm $[k]<j$ and $\operatorname{InvPerm}[k+1] \geq j$ then
$(k, l)$ is in the up left scanset of $i$ th line segment endif.

Take the up left scanset only because any scanline in the down left scan set is equivalent to some scanline in the up left scan set.
// Down Right Scanset
Find minimum $k$ such that $i \leq k<n$ and $\operatorname{InvPerm}[k+1]>j$.
for $j+1 \leq l \leq \operatorname{InvPerm}[k+1]$ pardo
if Perm $[l]>i$ and $\operatorname{Perm}[l-1] \leq i$ then
( $k, l$ ) is in the down right scanset of $i$ th line segment
endif.
// Up Right Scanset
Find minimum $l$ such that $j \leq l<n$ and $\operatorname{Perm}[l+1]>i$.
for $i+1 \leq k \leq l+1$ pardo
if InvPerm $[k]>j$ and $\operatorname{InvPerm}[k-1] \leq j$ then
$(k, l)$ is in the up right scanset of $i$ th line segment endif.
Take the down right scanset only because any scanline in the up right scan set is equivalent to some scanline in the down right scan set.
end
Take also scanlines - $(1,1)$ and $(n, n)$.
3. Using some parallel sorting algorithm sort all the scanlines found from Step 2 in ascending order of (top + bottom) index. In case of equality, use ascending order of the top index. In the sorted list, the duplicate scanlines are in consecutive position. Eliminate them. The remaining are all unique scanlines. Using parallel list ranking algorithm compute the indices of the scanlines.
NCScanLine $=$ number of the unique candidate scanlines.
$\operatorname{CScanLine}(k)$ is the $k$ th candidate scanline where $1 \leq k \leq N C S c a n L i n e$.
4. // Find the separators associated with each of the scanlines.
for $1 \leq k \leq N C S c a n L i n e ~ p a r d o$
for $1 \leq j \leq n$ pardo
if CSCanLine $[k]$ intersects $j$ th line segment then
$\operatorname{Separator}[k, j]=1$
else
Separator $[k, j]=0$
endif
5. // Find the size of the pieces
for $1 \leq i \leq$ NCScanLine -1 pardo for $i+1 \leq j \leq$ NCScanLine pardo CPiece $[i, j]=$ Sum of Piece $[k]$ s where $1 \leq k \leq n$ and

Piece $[k]=1$ if $k$ th line segment is in between CScanLine $[i]$ and CScanLine[j]; otherwise Piece $[k]=0$
6. // Initialize shortest paths of the vertices in the first diagonals from $(0,0)$.
for $1 \leq k \leq$ NCScanLine - 1 pardo
begin

$$
\begin{aligned}
& R[0,0, k, k+1]=\operatorname{ceil}(\text { CPiece }[k, k+1] / c) \\
& C[0,0, k, k+1]=\text { CPiece }[k, k+1] \bmod c
\end{aligned}
$$

$$
\begin{aligned}
& S[0,0, k, k+1]=\text { NULL } ; \\
& P[0,0, k, k+1]=(0,0)
\end{aligned}
$$

end.
7. // Compute the shortest paths.
for $l=0$ to $\log _{2} n d o$
begin
compute the cost of the edges of length up to $2^{l}$ and take the minimum of the cost of this edge and the already computed shortest path cost between the vertices on which the edge is incident.
for $0 \leq k \leq\left(n / 2^{l}\right)$ pardo
for each vertex $(i, j)$ on $\left(k 2^{l-1}\right)$ th diagonal pardo
for each vertex $(k, l)$ on $\left((k+1) 2^{l-1}\right)$ th diagonal and $2^{l-1}$ unit apart from vertex $(i, j)$ pardo
for each vertex $(p, q)$ that is $2^{l-2}$ unit apart from both $(i, j)$ and $(k, l)$ do compute the shortest path from $(i, j)$ to $(k, l)$ through vertex $(p, q)$ and relax this with the previously computed value.
end

Theorem 5.2.14 Optimal c-vertex-ranking of a permutation graph can be found in $O\left(\log ^{2} n\right)$ parallel time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM.

Proof: Let us analyze step wise. Step 1 of Algorithm 5.2 .13 need $O(1)$ time $O(n)$ operations on EREW PRAM. Step 2 needs at most $O(1)$ time $O\left(n^{2}\right)$ operations on CREW PRAM since finding DLS $(i)$, ULS $(i)$, DRS $(i)$, URS $(i)$ each need $O(n)$ operations. Step 3 needs at most $O(\log n)$ time $O(n \log n)$ operations for sorting [J92] on CREW PRAM since number of candidate scanlines found in Step 2 is $O(n)$ and needs $O(\log n)$ time and $O(n)$ operations for list ranking [J92]. Step 4 requires $O(1)$ time $O\left(n^{2}\right)$ operations on CREW PRAM. In Step 5, computing the size of the pieces needs $O(\log n)$ time $O\left(n^{3}\right)$ operations in CREW PRAM. Step 6 requires $O(1)$ time and $\mathrm{O}(n)$ operations on EREW PRAM. By Lemma 5.2.9 and 5.2.11, Step 7 runs in $O\left(\log ^{2} n\right)$ parallel time using $\mathrm{O}\left(n^{3} \log n\right)$ operations on CREW PRAM. Hence Algorithm 5.2 .14 has a overall time complexity $O\left(\log ^{2} n\right)$, operation complexity $\mathrm{O}\left(n^{3} \log n\right)$ on the CREW PRAM model.

## Chapter 6

## Conclusion

### 6.1 Conclusion

Deogun et al. have given a sequential algorithm that solves the $c$-vertex-ranking problem with $c=1$ for permutation graphs in $O\left(n^{6}\right)$ sequential time using scanlines and pieces. Later, we have solved the same problem in $O\left(n^{3}\right)$ sequential time [NK99]. But no parallel algorithm to solve the $c$-vertex-ranking problem for permutation graphs has been reported in the literature. In this thesis, we have devised a parallel algorithm for the same problem with any value of $c$ that runs in $O\left(\log ^{2} n\right)$ parallel time using $O\left(n^{3} \log n\right)$ operations on the CREW PRAM model.

### 6.2 Guideline For Further Research

In [NK99], we have solved the $c$-vertex-ranking problem for permutation graphs in $O\left(n^{3}\right)$ sequential time. But the parallel algorithm presented in this thesis uses $O\left(n^{3} \log n\right)$ operations. Therefore, there is a scope to put further reduction on the operation complexity of our algorithm.

## References

[B92] P.G. Bradford, Efficient parallel dynamic programming, Proceedings of The 30th Annual Allerton Conference on Communication, Control and Computing, 1992, University of Illinois, pp. 185-194.
[DKKM94] J.S. Deogun, T. Kloks, D. Kratsch, H. Müller, On vertex ranking for permutation and other graphs, Proceedings of the 11th Annual Symposium on Theoretical Aspects of Computer Science Springer-Verlag, 775 (1994), pp. 747-758.
[DR83] I.S. Duff and J.K. Reid, The multifrontal solution of indefinite sparse symmetric linear equations, ACM Transactions on Mathematical Software 9 (1983), pp. 302-325.
[IRV88] A.V. Iyer, H.D. Ratliff, and G. Vijayan, Optimal node ranking of trees, Information Processing Letters, 28 (1988), pp. 225-229.
[J92] J. Joseph, An Introuction to Parallel Algorithms, Addison Wesley, 1992.
[KZN00] M.A. Kashem, X. Zhou, and T. Nishizeki, Algorithms for generalized vertexranking of partial $k$-trees, Theoritical Computer Science, 240(2000), pp. 407-427.
[K93] T. Kloks, Treewidth, Ph.D. Thesis, Utrecht University, The Netherlands, 1993.
[L80] C.E. Leisertion, Area efficient graph layouts for VLSI, Proceedings of the 21st Annual IEEE Symposium on Foundations of Computer Science, 1980, pp. 270-181.
[L90] J.W.H. Liu, The role of elimination trees in sparse factorization, SIAM Journal of Matrix Analysis and Applications, 11 (1990), pp. 134-172.
[NK99] M.A.H Newton, M.A. Kashem, An efficient alogirthm for vertex-ranking of permutation graphs, Proceedings of International Conference on Computer and Information Technology, Sylhet, Bangladesh, 1999, pp. 315-320.
[P88] A. Pothen, The Complexity of optimal elimination trees, Technical Report CS-8813, Pennsylvania State University, USA, 1988.
[RK01] M.Z. Rahman, M.A. Kashem, An optimal parallel algorithm for $c$-vertex-ranking of trees, Proceedings of International Conference on Electrical and Computer Engineering, Dhaka, Bangladesh, 2001, pp. 277-280.
[S89] A.A. Schäffer, Optimal node ranking of trees in linear time, Information Processing Letters, 33 (1989/90), pp. 309-322.
[SDG92] Sen, H. Deng, and S. Guha, On a graph partition problem with application to VLSI layout, Information Processing Letters, 43 (1992), pp. 87-94.
[ZNN95] X. Zhou, H. Nagai, and T. Nishizeki, Generalized vertex-rankings of trees, Information Processing Letters, 56(1995), pp. 321-328.


