# CALCULATION OF ELECTROMECHANICAL STRESS DISTRIBUTION 

IN INSULATORS USING FINITE ELEMENT METHOD

## BY

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## CERTIFICATE

This is to ceriify that this work has been done by me and it has not been submitted elsewhere for the awara of any degree or diploma.

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## ABSTRACT

Electromechanical stress distribution in a dielectric between two circular parallel plates has been calculated using finite element method. Firṣt, the space between two circular parallel plates has been divided into a finite number of triangular elements. An extremum function in energy density form has been developed. Then using enery minimization technique, the potentiai at different vertices of the elements are calculated. A computer program has been developed for calculating fields, electromechanical stresses developed in both isotropic and anisotropic dielectrics between parallel circular plates. Later on, the same method has been extended to find electromechanical stress distributions for a non-linear medium like ferroelectric insulator. Effects of both electrostatic and alternating fields on the electromechanical stress distributions•in ferroelectric materials have been studied.

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## LIST OF PRINCIPAL SYMBOLS



CHAPTER 1
GENERAL InTRODUCTIOiv

## 1.l HISTORICAL REVIEW



Electrical insulation-technology has been developed gradually and empirically from the becining of Electrical Encineering. It is required from the stand-point of safety and protection from high voltages-and the reduction of rower loss and economic operation of power and communication networks. This will cause the proportional increase in the size of system apparatus. Improvement and compactness cf design of system apparatus would have a far-reaching influence on the cost reduction and savings of energy resources. So, electrical insulators should be designed.to withstand the higher electromechanical stress in order to develop compact and high voltage equipments.

In high voltage insulators space charge can lead to very unaesirable consequences. Various effects of space charge in inculators have been summarized by Ieda[1] [2]. He showed that the developed space charge alters the distribution profile of the field in comparison with the original field. It is known that the formation of space charge depends wnether the field is uniform or non-uniform.

Flash-over takes place along the insulator surface if the tangential field is high enough to sustain a discharge.

Singer and Weiss [3] optimized high voltage insulator shape making tangential field distributions uniform along its surface. Ariother method to optimize the field stress on HV insulators has been described by salam [4].

Physical systems are so complex that the analytical solution of Laplace's or Poisson's equation is difficilit. As such with the increasing availability of high speed digital computers, various numerical techniques are being extensively developed for the calculation of electrostatic fields in high voltage systems. Mukherjee and Roy $[5]$ calculated fields in insulators using fictitious point charge motnod and he was successful in applying this method for disc insulators. 'akeshi [6] used charge simulation method in combination with the method of images to find electric fields in dielectric multi-layers. Tadasu [7] successfully applied charge simulation method to study the field behaviour at points on the boundary of two dielectrics. Heng Kun $[8]$ suggests that the Field distribution along the insulator surface is strongly dependent on the $p-E$ characteristics and the extension of the semiconductor coating as well as the frequency of the operating voltages.

### 1.2. PRESENT STATE OF ART OF THE PROJECT

Finite element numerical technique is now being extensively used to find solution of Laplace's equation encountered in
problems related to civil, mechanical and electrical engineering. Chang et al. [9] used finite element method to calculate efectrical stress distribution within dielectric cávities. Ahmed $[10]$ used this method to solve waveguide problems. Monopolar corona equation was solved by Salam [11] using modified finite element method. The finite element method is a powerful numerical technique that can be precisely used to solve boundary value problems by piecewise lineari-zation-of the potential function over a large number of discrete spatial elements. It received considerable research interest in designing civil and mechanical engineering structures.

### 1.3 OBJECTIVE OF THE RESEARCH

The objective of this research is to calculate the electromechanical stress distribution in insulators by finite element method. The purpose of this analysis is to predict the points of concentrated stresses and the air-flash-over voltages of insulators. It is also of interest to see the electrostrictive effects on the electrostatic stresses developed in ferroelectric insulators.

### 1.4 PROCEDURE AND METHODOLOGY

In electromechanical stress calculation, the insulator region will be first divided into a finite number of polygonal

4
sub-regions called elements. Then using finite element method the potential distributions as well as electric stress at different vertices will be calculated. A suitable energy distribution function $\overline{i n}$ variational form will be developed for the systems under consideration and from the energy distribution function the desired stress will be obtained. Computer programmes will be developed to handle boundary value problems with some open boundaries by using finite element variational technique.

Chapter-2 describes in general manner the finite element formulation of Laplace's equation in isotropic and anisotropic dielectrics. Potential distribution in a dielectric between two parallel circular plates is also illustrated for isotropic and anisotropic cases. Electromechanical. stress distribution in dielectric regions between two parallel circular plates are derived in chapter-3. Chapter-4 discusses the electrostrictive effects on the stress distribution in ferroelectric insulators. The thesis is concluded with a general discussion in chapter-5.

## CHAPTER 2

FINITE ELEMENT FORMULATION OF THE PROBLEM IN A DIELECTRIC

### 2.1 LAPLACE'S EQUATION:

The equations satisfied by the field of a stationary charge distribution follow directly from Maxwell's equations when all the time derivatives are placed to zero. We have, then, at all regular points of an electrostatic field $\bar{E}$

$$
\begin{array}{ll}
\nabla \times \overline{\mathrm{E}}=0 & \ldots \\
\nabla \cdot \overline{\mathrm{D}}=\rho & \ldots
\end{array}
$$

Where $\overline{\mathrm{D}}$ is the electric flux density and $\rho$ is the charge density. According to (2.1), the line integral of the field intensity $\vec{E}$.around any closed path is zero and the field is conservative. The conservative nature of the field is à necessary and sufficient condition for the existence of a scalar potential $\phi$ whose negative gradient gives the electrostatic field,

$$
\begin{equation*}
\bar{E}=-\nabla \phi \tag{2.3}
\end{equation*}
$$

For linear isotropic medium we can write

$$
\overline{\mathrm{D}}=\varepsilon \overline{\mathrm{E}}=-\varepsilon \nabla \phi
$$

where $\varepsilon$ is the permitivity of the medium.

From (2.2)

$$
\nabla \cdot(-\varepsilon \nabla \dot{\phi})=-\varepsilon \nabla^{2} \phi+\nabla \varepsilon \cdot \nabla \phi=\rho
$$

Since $\nabla \varepsilon=0$ for isotropic homogeneous dielectric,

$$
\begin{equation*}
\nabla^{2} . \phi=-\frac{Q}{\varepsilon} \tag{2.4}
\end{equation*}
$$

This is Poisson's equation. At points of the field which are charge free (2.4) reduces to Laplace's equation,

$$
\begin{equation*}
\nabla^{2} \phi=0 \quad \ldots \tag{2.5}
\end{equation*}
$$

### 2.2 SOLUTION BY FINITE ELEMENT METHOD USING TRIANGULAR ELEMENTS IN AN ISOTROPIC DIELECTRIC.

The basic requiement of the development of finite element equations is to find an extrernum function which can be written in energy density form. As a first step in the development of this method, a uniform surface is considered which is completely filled with homogeneous and isotropic dielectric.

The extremum function for electrostatic field can be written as

$$
\begin{equation*}
J(\dot{\phi})=\frac{1}{2} \iint|\nabla \phi|^{2} \mathrm{~d} s \tag{2.6}
\end{equation*}
$$

Derivation of eqn. (2.6) is given in Appendix-Al.

The finite element method [12]-[13]employs a set of algebraic functions defined over a subsection of the whole. cross-section. These subsections may be polygonal in shape and are called elements. Thus in the finite element method
the entire domain over which the operator is defined is divided into a finite number of elements on each of which the actual mode function is approximated by a set of continuous algebraic functions which are only definea over the particular element under consideration and arelinearly dependent on the values of $\phi$ at the vertices of the element.

Hence, if an element has $n$ vertices (for triangular element $n=3$ ), the potential $\phi$ within it may be approximated by

$$
\begin{equation*}
\phi(x, y)=\sum_{k=1}^{n} N_{k}(x, y) \phi_{k} \tag{2.7}
\end{equation*}
$$

Where $\phi_{\mathrm{l}}$, is the value of $\phi$ at the vertex $k$ and $N_{k}(x, y)$ is a predetermined algebraic function which is uniquely defined and differentiable over the element and which reduces to zero outside the element.


Fig. l: Division into triangular elements.

An arbitrary isotropic dielectric cross section with the scheme of grading into elements shown in Fig. l. Triangular elements are considered here. A typical element (the eth element) is described.by the vertices i,j and m in cyclic order ${ }_{s}$ Let $\phi_{i}, \phi_{j}$ and $\phi_{m}$ be the corresponding values of $\phi$ at the vertices. For the element $e$ the functional dependence of $\phi(x, y)$ can be written as

$$
\begin{equation*}
\phi^{e}(x, y)=\alpha_{0}+\alpha_{1} x+\alpha_{2} y \tag{2.8}
\end{equation*}
$$

where $\alpha_{0}, \alpha_{1}$ and $\alpha_{2}$ are to be determined.

If $\left(x_{i}, y_{i}\right),\left(x_{j}, y_{j}\right)$ and $\left(x_{m}, y_{m}\right)$ are the co-ordinates of the verticêtirif and $m$, then solving for $\alpha_{0}, \alpha_{1}$ and $\alpha_{2}$, we obtain

$$
\begin{align*}
& \phi^{e}(x, y)=\frac{1}{2 A}\left[\left(a_{i}+b_{i} x+c_{i} y\right) \phi_{i}^{e}+\left(a_{j}+b_{j} x^{+}+c_{j} y\right) \phi_{G}^{e}+\right. \\
& \left.\left(a_{n}+b_{m} x+c_{m} y\right) \phi_{m}^{e}\right] . \tag{2.9}
\end{align*}
$$

where

$$
\begin{aligned}
& a_{i}=x_{j} y_{m}-x_{m} y_{j} \\
& b_{i}=y_{j}-y_{m} \\
& c_{i}=x_{m}-x_{j} \\
& A=\text { area of the triangular element. }
\end{aligned}
$$

and

$$
A=\frac{l}{2}\left|\begin{array}{ccc}
l & x_{i} & y_{i} \\
l & x_{j} & y_{j} \\
l & x_{m} & y_{m}
\end{array}\right|
$$

The values of the other parameters can be obtained by a cyclic rotation of the suffices i,j,m.

It is important to note that the functional form of the potential $\phi^{e}(x, y)$ as described by eqn. (2.9) for all the elements of the entire domain satisfies the continuity relation throughout the whole region. This continuity of $\phi$ is essential for the validity of the variational expression. The finite jump in the normal derivativ: will not introduce any error in the variational formulation, because the contribution of this type of discontinuity in the normal derivative to the net. integrated value of the function J.is always zero.

When the functional form of $\phi$ as given above lis substituted into eqn. (2.6) and the correspending integrations are carried out, J will be a function of the variables $\phi_{k}$. If there are in all $M$ vertices, then

$$
\begin{equation*}
J(\phi)=F_{i}\left(\phi_{1}, \phi_{2}, \phi_{3} \quad \cdots \cdot \quad \quad \phi_{M}\right) \quad \cdots \tag{2.11}
\end{equation*}
$$

The optimum value of a set of $\phi_{k}$ for a certain functional form of $N_{k}(x, y)$ may be obtained by minimizing the function given by eqn. (2.11) with respect to each of $\phi_{k}$ i.e.,
equating $\frac{\partial J}{\partial \phi_{k}}=0$; for $k=1,2,3 \ldots M M$

However, in the vicinity of boundaries where constant potentials are specified

$$
J \rightarrow \frac{1}{2} \phi^{2}
$$

So that at the boundary, $\frac{\partial J}{\partial \phi} \rightarrow \varphi_{b}$
where $\phi_{b}$ is the value of the potential at the boundary.

Eqn. (2.6) can be written for a two-dimensional case

$$
\begin{aligned}
J & =\frac{l}{2} \iint|\nabla \phi|^{2} d s \\
& =\frac{1}{2} \iint\left[\left(\frac{\partial \phi}{\partial x}\right)^{2}+\left(\frac{\partial \phi}{\partial y}\right)^{2}\right] d x d y
\end{aligned}
$$

For the eth element.

$$
\begin{equation*}
J^{e}=\frac{1}{2} \int_{e}\left[\left(\frac{\partial \phi^{e}}{\partial x}\right)^{2}+\left(\frac{\partial \phi^{e}}{\partial y}\right)^{2}\right] d x d y \quad: . \tag{2.13}
\end{equation*}
$$

From eqn. (2.9) taking partial derivative with respect to $x$ and $y$

$$
\begin{equation*}
\frac{\partial \phi}{\partial x}{ }^{e}=\frac{1}{2 A} x\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right) \quad \cdots \tag{2.14}
\end{equation*}
$$

and $\frac{\partial \phi^{e}}{\partial y}=\frac{1}{2 A} \times\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right) \quad \ldots$

Putting the eqns. (2.14) and (2.15) into eqn. (2.13) we get

$$
\begin{aligned}
J^{e}=\frac{1}{8 A^{2}} \iint_{e_{i}} & {\left[\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right)^{2}\right.} \\
& \left.+\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right)^{2}\right] d x d y \quad \ldots \quad(2.16)
\end{aligned}
$$

The integrand is independent of $x \& y$
so, using $\iint_{e_{i}} d x d y=A$

$$
\begin{equation*}
J^{e}=\frac{1}{8 A}\left[\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right)^{2}+\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right)^{2}\right] \ldots \tag{2.17}
\end{equation*}
$$

Using eqn. (2.12) for the minimization of $J$ functional over the element e

$$
\begin{align*}
& \frac{\partial J^{e}}{\partial \phi_{i}}=\frac{1}{4 A}\left[b_{i}\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right)+c_{i}\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right)\right] \\
& \frac{\partial J^{e}}{\partial \phi_{j}}=\frac{1}{4 A}\left[b_{j}\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right)+c_{j}\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right)\right] \\
& \frac{\partial J^{e}}{\partial \phi_{m}}=\frac{1}{4 A}\left[b_{m}\left(b_{i} \phi_{i}+b_{j} \phi_{j}+b_{m} \phi_{m}\right)+c_{m}\left(c_{i} \phi_{i}+c_{j} \phi_{j}+c_{m} \phi_{m}\right)\right] \tag{2.18}
\end{align*}
$$

In matrix form for the element $e$ with nodes i,J, in

$$
\begin{align*}
& {\left[\begin{array}{c}
\frac{\partial J^{e}}{\partial \phi_{i}} \\
\frac{\partial J^{e}}{\partial \phi_{j}} \\
- \\
\frac{\partial J^{e}}{\partial \phi_{m}}
\end{array}\right]=\left[\begin{array}{lll}
b_{i}^{2}+c_{i}^{2} & b_{i b j .}+c_{i} c_{j} & b_{i} b_{m}+c_{i} c_{m} \\
b_{i} b_{j}+c_{i} c_{j} & b_{j}^{2}+c_{j}^{2} & b_{j} b_{m}+c_{j} c_{m} \\
b_{i} b_{m}+c_{i} c_{m} & b_{j} b_{m}+c_{j} c_{m} & b_{m}^{2}+c_{m}^{2}
\end{array}\right]\left[\begin{array}{c}
\phi_{i} \\
\phi_{j} \\
\phi_{m}
\end{array}\right]}  \tag{2.19}\\
& \text { or }\left[\frac{\partial J^{\mathrm{e}}}{\partial \dot{\varphi}^{\mathrm{e}}}\right]=\left[\mathrm{S}^{\mathrm{e}}\right] \cdot\left[\dot{\varphi}^{\mathrm{e}}\right]
\end{align*}
$$

where
$S^{e}$ is the element sub-matrix and $\phi^{e}$ is a column matrix. $S^{e}$ is a square symmetric matrix such that $S_{i j}^{e}=S_{j i}^{e}$. For triangular elements, each of the element sub-matrix is a 3 x 3 square symmetric matrix. The above equations (2.18) and herice (2.19) can be applied to obtain element. sub-matrices for all the elements of the domain. The resultant matrix will be the sum of all the element sub-matrices generated by all the elements.

### 2.3 FINITE ELEMENT SOLUTION IN ANISOTROFIC DIELECTRIC BETWEEN TWO PARALLEL CIRCULAR PIATES :

Anisotropic property in materials develops due to nonuniform rate of deformation in different directions. Systems undergoing plastic deformation are in this category. In the case of insulators b:tween two ejectrodes the rate of deformation due to electro-mechanical stress in the axial direction is expected to be different from that in the lateral directions.

In isotropic dielectrics, polarization is parallel [18] with the applind fields and is independent of the direction of field.. For anisotropic dielectric this is different. An electric field applied to an anisotropic material along an axis of an arbitrarily oriented co-ordinate system leads. to polarization which has components in all co-ordinate directions. Hence, Laplace's equation will be modified. The electric flux density is given by

$$
\overline{\mathrm{D}}=\varepsilon_{O} \hat{\varepsilon}_{r} \overline{\mathrm{E}}
$$

where $\hat{\varepsilon}_{r}$ is the relative permittivity tensor.

$$
\begin{equation*}
\text { Now } \quad E=-\nabla \phi \tag{2.21}
\end{equation*}
$$

Since $\quad \nabla . D=\rho$
Then $\quad \nabla \cdot \varepsilon_{o} \hat{E}_{r} \bar{E}=\rho$

Let us first consider a medium characterized by the uniaxially anisotropic dielectric tensor of dimension $3 \times 3$.

$$
\hat{\varepsilon}_{\mathrm{I}}=\left[\begin{array}{ccc}
1 & 0 & 0  \tag{2.24}\\
0 & 1 & 0 \\
0 & 0 & \mathrm{k}^{\prime}
\end{array}\right] \quad \cdots
$$

Now, $\quad \nabla \cdot \varepsilon_{o} \hat{\varepsilon}_{r} \nabla \phi=-\rho$
or $\quad \nabla \cdot \hat{\epsilon}_{r} \nabla \phi=-\frac{\rho}{\varepsilon_{o}}$ -••

This is Poisson's equation for anisotropic medium and the corresponding Laplace.'s equation is:

$$
\begin{equation*}
\nabla \cdot \hat{E}_{r} \nabla \phi=0 \quad \because \ldots \tag{2.26}
\end{equation*}
$$

This takes the form

$$
\nabla \cdot \hat{E}_{r} \nabla \phi=\frac{\partial^{2} \phi}{\partial x^{2}}+\frac{\partial^{2} \phi}{\partial y^{2}}+\frac{K^{2}}{\frac{\partial^{2} \phi}{\partial z^{2}}=.0 \quad \ldots \quad \text { (2.27) } \quad \ldots \quad \text { (2) }}
$$

This equation is vaiid also for a biaxial dielectric having dielectric tensor of the form

$$
\hat{\varepsilon}_{r}=\left[\begin{array}{ccc}
1 & +j k^{\prime \prime} & 0 \\
-j k^{\prime \prime} & 1 & 0 \\
0 & 0 & k^{\prime}
\end{array}\right]
$$

If we consider a two-dimensional case ( $x, y$ plane) and let the anisotropy be along $y$ directior, then equation (2.27) for anisotropic medium will be

$$
\begin{equation*}
\frac{\partial^{2} \phi}{\partial x^{2}}+K \frac{\partial^{2} \phi}{\partial y^{2}}=0 \tag{2.28}
\end{equation*}
$$

The corresponding variational expression will be

$$
\begin{equation*}
\iint\left[\left(\frac{\partial \phi}{\partial x}\right)^{2}+K^{\prime}\left(\frac{\partial \phi}{\partial y}\right)^{2}\right] d s=0 \quad \ldots \tag{2.29}
\end{equation*}
$$

From equation (2.3) the extremum function will be for the eth element

$$
\begin{equation*}
J^{e}=\frac{1}{2} \iint_{c}\left[\left(\frac{\partial \phi}{\partial x}\right)^{e}+K^{\prime}\left(\frac{\partial \phi^{e}}{\partial y}\right)^{2}\right] d x d y \quad \cdots \tag{2.30}
\end{equation*}
$$

Using eqn. (2.14) to (2.18) we can write the element sub-matrix for anisotropic dielectric as

$$
\left[\begin{array}{c}
\frac{\partial J^{e}}{\partial \phi_{i}}  \tag{2.31}\\
\frac{\partial J^{e}}{\partial \phi_{j}} \\
\frac{\partial J^{e}}{\partial \phi_{k}}
\end{array}\right]=\left[\begin{array}{ccc}
b_{i}^{2}+k^{\prime} c_{i}^{2} & b_{i} b_{j}+k^{\prime} c_{i} c_{j} & b_{i} b_{m}+k^{\prime} c_{i} c_{m}^{\prime} \\
b_{i} b_{j}+k c_{i}^{\prime} c_{j} & b_{j}^{2}+k c_{j}^{\prime} & b_{j} b_{m}+k^{\prime} c_{j} c_{m} \\
b_{i} b_{m}+k^{\prime} c_{i} c_{m} & b_{j} b_{m}^{-k c_{j}^{\prime}} c_{m} & b_{I I I}^{2}+k_{m}^{\prime} c_{m}^{2}
\end{array}\right]\left[\begin{array}{c}
\phi_{i} \\
\phi_{j} \\
\\
\phi_{m}
\end{array}\right]
$$

rhus a finite element solution for an anisotropic dielectric can be established.

### 2.4 POTENTIAL DISTRIBUTION IN AN ISOTROPIC•DIELECTRIC BETWEEN TWO CIRCULAR SPARATIEL PLATES

This will illustrate the form of finite element discretized equations in a two-dimensional boundary value problem. Assuming the structure symmetrical about $y$ its cross-section'together with its co-ordinate system is shown in figure 2.1: The corresponding extremum function can be written over element e. as:

$$
J^{e}(\phi)=\frac{1}{2} \iint_{e}\left[\left(\frac{\partial \phi^{e}}{\partial x}\right)^{2}+\left(\frac{\partial \phi^{e}}{\partial y}\right)^{2}\right] d x d y
$$

where $\phi^{e}$ is the potential over the eth element.

In this case, the upper plate is kept at a certain potential $V$ and the lower plate is maintained at zero potential. The space within these two plates has been divided into 32 triangular elements and 25 nodes. We assume a linear variation of potential over each element and following the procedure discussed earlier, we obtain a set of linear algebraic equations. In this case, corresponding to 25 nodes we get 25 linear algebraic equations. Solution of the above set of linear equations will give the potentials at different nodes. Later on the space has been divided into 64 elements and 45 nodes and the potential


Fig. 2.1. Cö-ordinate system of the problem
variation at different levels has been calculated using finite element method. The results are plotted in Fig.2.2. $\div$
The potential function is symmetrical about the level $y=h / 2$. That means the potential from the top plate gradually decreases and becomes a constant function of $x$ at the level $y=h / 2$ where $\phi=.5 v$. Similarly, the potential from the bottom plate gradually increases to $\phi=0.5 v$ at the level $y=h / 2$ and becomes a constant function of $x$. Thus at $y=h / 2, \frac{\partial \phi}{\partial x}=0$ indicating that at this level no lateral field exists. Rather the field is entirely vertical at the mid level between the two plates. This is expected because the electric lines of forces emanating from the top plate will take turn towards the bottom plate after reaching the mid level. This also indicates that half of the energy is stored in the upper half region and the rest half in the lower half region as is expected in a parallel plate capacitor or in the case of a dipole.

### 2.5 POTENTIAL DISTRIBUTION IN AN ANISOTROPIC DIELECTRIC BETWEEN TWO PARALLEL CIRCULAR PLATES

In this section, finite element method is used in determining the potential distribution in the region between two parallel plates when anisotropic property prevails along y direction. Following the derivations in section 2.3, numerical results of the potential distribution in the


Fig.2.2. Potential distribution between two pärallel plates at different insulator heights


Fig.2.3. Potential distribution befween two parallel plates at different insulator heights. For anisotropic medium
anisotropic region are obtained for $k^{\prime}=5.0$ and plotted in Fig. 2.3. The potential function siopes down and slopes up in a faster rate compared to an isotropic dielectric indicating higher electric stress developed in the vertical direction and weaker stress in the lateral direction. This indicates that systems undergoing plastic deformation will experience enhanced stress in the direction of maximum deformation and less stress in the direction of minimum deformation.

### 2.6 DISCUSSION

In the above the finite element. method of solving Laplace's equation in isotropic and anisotropic regions is presented in a general manner. An extremum function is defined by linearization of the potential function on each element in such a manner that the conditions of minimum energy is satisfied with the setup of potential at each node. The potential of each node beirg related to the potentials at other nodes, the minimum energy condition applied at a certain node relates the potentials of all nodes by a linear algebraic equation. With the increase of elements which are triangles for piecewise linearization of the potential function the number of potential nodes and algebraic equations increases. These equations were solved by higher order
matrix inversion using Gaussian elimination method. The numerical data were obtained for potential distribution in isotropic and anisotropic dielectrics between two circular parallel plates. The results are supported by simple physicalexplanations.

## CHAPTER 3 <br> ELECTROMECHANICAL STRESS DISTRIBUTION IN INSULATORS

### 3.1 INTRODUCTION:

The first break-down theory for insulators was the thermal break down theory presented by wargner [15] in 1922. In this theory the dielectric break-down was discussed in terms of the condition to break-down the thermal balance between Joule heating due to the conduction current and its dissipation However, there exists low and high temperature region in the temperature dependence of electric strengths of solid dielectric. It is difficult to explain the break-down process in the low temperature region by the thermal break-down theory. Later on electromechanical break-down theory was proposed by stark [16] It states that the break-down is caused in insulators by the mechanical deformation due to Maxwell stress under the applied electric field. Allan $[17]$ emphasized the compound nature of . stress - electrical, mechanical and thermal for insulation systems.

### 3.2.ELECTROMECHANICAL STRESS ANALYSIS FOR A DIELECTRIC MEDIUM:

The study of electric stress distribution in and around insulators has been of considerable interests to electrical engineers for designing equipments that operate at very high voltage levels. There is always stress due to the steady state
power frequency voltage. Again, there exists mechanical stress due to mechanical deformation under the applied electric field. The peak stress value in an insulating system is an important parameter because it infleunces discharge initiation and propagation. There are various numerical techniques that have been used to calculate this stress distribution in and around insulators. With the availability of high speed computers the finite element method has been found to be very useful to solve such type of problems. The electrical stress in insulators i perhaps the easiest to quantify. Because of the geometry of most power system components, the electrical fields that high voltages give rise to are more often than not quite non-uniform. Rapidly changing transient voltages can temporarily cause further extremely non-uniform distribution of stress. In terms of the scalar potential $\phi$ the electric field intensity $\overline{\mathrm{E}}$ is given by

$$
\begin{equation*}
\overline{\mathrm{E}}=-\nabla \phi \tag{3.1}
\end{equation*}
$$

where $\phi$ is the potential distribution.

The theory on Maxwell-Faraday's electro-mechanical stress in 'ether' has been widely discussed by Stratton [22]. We outline below a brief review of the materials covered therein emphasizing their validity for dielectric substances. Let us suppose that a certain bounded region of
space contains charge distributions, but is free of all dielectric. The field.is produced in part by the charges within the region and in part by sources which are exterior to it. At every interior point,

Since

$$
\begin{align*}
& \overline{\mathrm{E}}=-\nabla \phi \quad, \text { then } \\
& \nabla \times \overline{\mathrm{E}}=0 \tag{3.2}
\end{align*}
$$

and

$$
\begin{equation*}
\nabla \cdot \bar{E}=\frac{\rho}{\varepsilon_{0}} \tag{3.3}
\end{equation*}
$$

Let (3.2) be multiplied vectorially be $\varepsilon_{0} \bar{E}$, so we get

$$
\begin{equation*}
\varepsilon_{0}(\nabla \mathrm{x} \overline{\mathrm{E}}) \times \overline{\mathrm{E}}=0 \tag{3.4}
\end{equation*}
$$

or


Where $\bar{i}, \bar{j}$ and $\bar{k}$ are unit vectors in the $x, y$ and $z$ directions respectively.

The $x$ component of the vector $(\nabla x \bar{E}) x \bar{E}$ is given by

$$
\begin{align*}
& [(\nabla x \bar{E}) x \bar{E})] \cdot \bar{i}=E_{z}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)-E_{y}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right) \\
& =E_{z}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)-E_{y}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)+E_{x} \nabla \cdot \bar{E}-E_{x} \nabla \cdot \bar{E} \\
& =E_{x} \frac{\partial E_{x}}{\partial x}-E_{z} \frac{\partial E_{z}}{\partial x}-E_{y} \frac{\partial E_{y}}{\partial x}+E_{x} \frac{\partial E_{y}}{\partial y}+E_{y} \frac{\partial E_{x}}{\partial y}+E_{x} \frac{\partial E_{z}}{\partial z} \\
& \\
& +E_{z} \frac{\partial E_{x}}{\partial z}-E_{x} \nabla \cdot E \\
& =\frac{1}{2} \frac{\partial}{\partial x} E_{x}^{2}-\frac{1}{2} \frac{\partial}{\partial x} E_{y}^{2}-\frac{l}{2} \frac{\partial}{\partial x} E_{z}^{2}+\frac{\partial}{\partial y}\left(E_{x} E_{y}\right)+\frac{\partial}{\partial z}\left(E_{z} E_{x}\right) \\
& = \tag{3.5}
\end{align*}
$$

where $E^{2}=E_{x}^{2}+E_{y}^{2}+E_{z}^{2}$
Now let $S_{l l}=\varepsilon_{o}\left(E_{x}^{2}-\frac{1}{2} E^{2}\right)$

$$
\begin{aligned}
& S_{12}=\varepsilon_{0} E_{x} E_{y} \\
& S_{13}=\varepsilon_{0} \cdot E_{x} E_{z}
\end{aligned}
$$

$S_{i j}$ in the above transform constitute the tensor whose components are given in table 3.l. The first three terms on the right side of (3.5) constitute therefore the x component of the divergence of a tensor $\hat{S}$. The remaining components are calculated from the $y$ and $z$ components of $\varepsilon_{o}(\nabla x \bar{E}) x \bar{E}$, such that we are led to the identity

$$
\begin{equation*}
\varepsilon_{0}(\nabla \times \overline{\mathrm{E}}) \times \overline{\mathrm{E}}=\nabla \cdot \hat{\mathrm{S}} \overline{\mathrm{I}}-\epsilon_{0} \overline{\mathrm{E}} \nabla \cdot \overline{\mathrm{E}} \quad \ldots \tag{3.6}
\end{equation*}
$$

where

$$
\bar{I} \text { is the unit column vector }
$$

$$
\bar{I}=\left[\begin{array}{l}
i  \tag{3.7}\\
j \\
k
\end{array}\right]
$$

Table 3.1: Components $S_{i j}$ of the tensor $\hat{S}$ in free space


If stationary charge distribution is considered, the £ields are.then independent of time, then taking help of equation (3.3) , equation (3.6) reduces to

$$
\begin{equation*}
\nabla \cdot \hat{S} \bar{I}=\bar{E} \rho \tag{3.8}
\end{equation*}
$$

Equation (3.8) is a relation through which the forces exerted on elements of charge at any point in empty space is expressed in terms of the vector $\bar{E}$. As the charge density $\rho$ is in coulomb $/ \mathrm{m}^{3}$, the force distribution given by the right hand side of (3.8) will be in Newton $/ \mathrm{m}^{3}$. Let us now integrate the identity (3.8) over a volume v. The integral of the divergence of the tensor throughout $v$ is equal to the integral of $a$ vector over the surface bounding $v$. Let $\overline{\mathrm{n}}$ be the unit outward normal at a point on the bounding surface. Then the total force exerted on the charges enclosed is given by

$$
\begin{equation*}
\bar{F}=\int_{v} \nabla \cdot \hat{S} \bar{I} d v=\int_{v} \bar{E} \rho d v \tag{3.9}
\end{equation*}
$$

By divergence theorem

$$
\begin{equation*}
\bar{F}=\oint_{a}(\hat{S} \bar{I}) \cdot \bar{n} d a=\int_{v} \bar{E} \rho d v \tag{3.10}
\end{equation*}
$$

Thus the quantity $(\hat{S} \overline{\mathrm{I}}) . \overline{\mathrm{n}}$ comes out in the form of force per unit area or as a mechanical stress. That means (S̄I). $\bar{n}$ represents a mechanical stress developed with application of the electric stress $\overline{\mathrm{E}}$. Any neutral body between an electric potential difference will experience such mechanical stress in the form of compression or tension. For free space between the two plates the mechanical stress will work on the potential plates only. In light of the above discussion the analysis for an isotropic dielectric medium surrounding the charges can be carried out in the following manner.

Let us multiply eqn. (3.6) by the relative permittivity $\varepsilon_{r}$ of the dielectric so that

$$
\begin{equation*}
\varepsilon_{0}(\nabla \times \overline{\mathrm{E}}) \times \varepsilon_{r} \stackrel{\rightharpoonup}{\mathrm{E}}=\varepsilon_{r} \nabla \cdot \hat{\mathrm{~S}} \overline{\mathrm{I}}-\overline{\mathrm{E}} \nabla \cdot \varepsilon_{0} \varepsilon_{r} \overline{\mathrm{E}}=0 \tag{3.11}
\end{equation*}
$$

For a dielectric since $\bar{D}=\epsilon_{o} \varepsilon_{r} \bar{E}$, then (3.1l) can be written as

$$
\begin{equation*}
\varepsilon_{r} \nabla \cdot \hat{S} \bar{I}=\bar{E} \nabla \cdot \bar{D} \tag{3.12}
\end{equation*}
$$

Now for a dielectric medium

$$
\begin{equation*}
\overline{\mathrm{D}}=\overline{\mathrm{D}}_{0}+\overline{\mathrm{P}} \tag{3.13}
\end{equation*}
$$

where $\bar{P}$ is the amount of electric polarization in the dielectric and $\bar{D}_{O}=\varepsilon_{0} \bar{E}$ is the unpolarized portion of the electric flux density $\bar{D}$. Then

$$
\nabla \cdot \bar{D}=\nabla \cdot \bar{D}_{O}+\nabla \cdot \bar{P}
$$

The unpolarized flux density $\bar{D}_{o}$ links the charges and $\overline{\mathrm{P}}$ is the portion of the flux. density absorbed in the region in polarizing the dipole moments of the dielectric. On the charged regions $\bar{P}=0$ so that

$$
\begin{equation*}
\nabla \cdot \bar{D}=\nabla \cdot \bar{D}_{o}=\rho \tag{3.14}
\end{equation*}
$$

In the dielectric region $\rho=0$, hence $\nabla \cdot \bar{D}_{0}=0$

$$
\begin{equation*}
\text { so that } \quad \nabla \cdot \overline{\mathrm{D}}=\nabla \cdot \overline{\mathrm{P}} \tag{3.15}
\end{equation*}
$$

Thus equation (3.12) can be written as for charged regions

$$
\begin{equation*}
\varepsilon_{r} \nabla \cdot \hat{S} \bar{I}=E \rho \tag{3.16}
\end{equation*}
$$

for dielectric region

$$
\begin{equation*}
\varepsilon_{r} \nabla \cdot \hat{S} \overline{\mathrm{I}}=\mathrm{E} \nabla \cdot \overline{\mathrm{P}} \tag{3.17}
\end{equation*}
$$

Hence the forces in the charged. and dielectric regions are given respectively as

$$
\begin{array}{ll}
\overline{\mathrm{F}}=\varepsilon_{r} \rho_{a}^{\phi} \hat{S} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=\int_{\mathrm{v}} \mathrm{E} \rho \mathrm{dv} & \ldots \\
\overline{\mathrm{~F}}=\varepsilon_{\mathrm{r}} f_{\mathrm{a}} \hat{\mathrm{~S}} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=\int_{\mathrm{v}} E \nabla \cdot \overline{\mathrm{P}} & \ldots \tag{3.19}
\end{array}
$$

Identical form of the left hand sides of eqns. (3.18) and (3.19) indicates that the electromechanical force is continuous indicating that in the dielectric region $\rho$ is replaceable by the hypothetical charge density $\bar{\nabla} . \bar{p}$. Moreover in the present case all stress elements will be $\varepsilon_{r}$ times greater than those for free space.

Equation (3.19) does not state that the volume force $F$ is maintained in equilibrium by the force $\varepsilon_{r} \hat{S} \bar{I} . \bar{n}$ distributed over the surface. The equilibrium must be established with mechanical forces of some other type and in fact., a charge distribution can not be maintained in static equilibrium under the action of electrical forces alone. For equilibrium the determinant of the stress tensor must vanish, i.e.

$$
\varepsilon_{r}\left|\begin{array}{lll}
\varepsilon_{0}\left(E_{x}^{2}-\frac{1}{2} E^{2}\right)-\lambda & \varepsilon_{0} E_{x} E_{y} & \varepsilon_{0} E_{x} E_{z}  \tag{3.20}\\
\varepsilon_{0} E_{y} E_{x} & & \varepsilon_{o}\left(E_{y}^{2}-\frac{1}{2} E^{2}\right)-\lambda \\
\varepsilon_{0} E_{z} E_{x} & \ddots & \varepsilon_{0} E_{y} E_{z}
\end{array}\right|=0
$$

When expanded and reduced by taking account of the relation $E_{x}^{2}+E_{y}^{2}+E_{z}^{2}=E^{2}$,

Eqn . (3.20) proves equivalent to

$$
\begin{equation*}
8 \lambda^{3}+4 E^{2} \lambda^{2}-2 E^{4} \lambda \varepsilon_{0}^{2}-\varepsilon_{0}^{3} E^{6}=0 \quad \quad \cdots \tag{3.21}
\end{equation*}
$$

The roots of (3.21) are, therefore,

$$
\lambda_{\mathrm{a}}=\frac{\varepsilon_{0}}{2} \mathrm{E}^{2}, \quad \lambda_{\mathrm{b}}=\lambda_{\mathrm{c}}=-\frac{\varepsilon_{0}}{2} \mathrm{E}^{2} \quad \ldots \text { (3.22) }
$$

It is evident from (3.22) that the stress quadratic has an axis of symmetry. Let $\bar{n}^{(a)}$ be a unit vector fixing the direction of the principal axis associated with $\lambda_{a}$. The components of $\overline{\mathrm{n}}(\mathrm{a})$ with respect to an arbitrary reference system must satisfy-

$$
\begin{align*}
& \left(E_{x}^{2}-E^{2}\right) n_{x}^{(a)}+E_{x} E_{Y} n_{y}^{(a)}+E_{x} E_{z} n_{z}^{(a)}=0 \\
& E_{Y} E_{X} n_{x}^{(a)}+\left(E_{Y}^{2}-E^{2}\right) n_{y}^{(a)}+E_{y} E_{x} n_{z}^{(a)}=0  \tag{3.23}\\
& E_{z} E_{X} n_{X}^{(a)}+E_{z} E_{Y} n_{y}^{(a)}+\left(E_{z}^{2}-E^{2}\right) n_{z}^{(a)}=0
\end{align*}
$$

From the theory of homogeneous equations it is known that the ratios of unknowns $n_{x}^{(a)}, n_{y}^{(a)}, n_{z}^{(a)}$ are the ratios of the minors of the determinant of the system, whence it is clear
from (3.23) that

$$
\begin{equation*}
n_{x}^{(a)}: n_{y}^{(a)}: n_{z}^{(a)}=E_{x}: E_{y}: E_{z} \quad \cdots \tag{3.24}
\end{equation*}
$$

The major axis of the mechanical stress quadratic at any point in the field is directed along the vector $\bar{E}$ at that point. The stress transmitted across an element of surface whose normal is oriented in this direction is a simple tension,

$$
\begin{equation*}
\bar{t}^{(a)}=\frac{\varepsilon_{0} \varepsilon_{r}}{2} E^{2} \quad \bar{n}(a) \tag{3.25}
\end{equation*}
$$

The stress across any element of surface containing the vector $\bar{E}$ - i.e., an element whose normal. is at right angles to the lines of force is also normal but negative, and corresponds therefore to a compression,

$$
\begin{equation*}
\bar{t}(b)=-\frac{\varepsilon_{0} \varepsilon^{\varepsilon} r^{2}}{2} \bar{n}^{(b)}, \quad \bar{t}(c)=-\frac{\varepsilon_{0}^{\varepsilon} r}{2} E^{2-(c)} \tag{3.26}
\end{equation*}
$$

Now let us write the mechanical stress at any point as

$$
\begin{equation*}
\overline{\mathrm{E}}=\varepsilon_{\dot{r}} \hat{\mathrm{~S}} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \tag{3.27}
\end{equation*}
$$

Then

$$
\begin{align*}
& t_{x}=\varepsilon_{r}\left(S_{11} n_{x}+S_{12} n_{y}+S_{13} n_{z}\right) \\
& t_{y}=\varepsilon_{r}\left(S_{21} n_{x}+S_{22} n_{y}+S_{23} n_{z}\right) \\
& t_{z}=\varepsilon_{r}\left(S_{31} n_{x}+S_{32} n_{y}+S_{33} n_{z}\right) \tag{3.28}
\end{align*}
$$

Where $n_{x}, n_{y}$ and $n_{z}$ are components of the normal $\bar{n}$ along $x, y$ and $z$ directions respectively. Suppose, the normal $\bar{n}$ of a surface element in the field is oriented in an arbitrary direction. Let the $z$ axis of a co-ordinate system located at the point in question be drawn parallel to $\overline{\mathrm{E}}$ and the $x$-axis be perpendicular to the plane through $\overline{\mathrm{E}}$ and $\overline{\mathrm{n}}$. Let the angle made by $\overline{\mathrm{n}}$ with $\overline{\mathrm{E}}$ be $\theta$. Then

$$
\begin{aligned}
& E_{x}=E_{y}=0 \quad|\bar{E}|=E_{z} \\
& n_{x}=0, \quad n_{y}=\sin \theta \text { and } n_{z}=\cos \theta,
\end{aligned}
$$

The stress components by Table 3.1 are:

$$
t_{x}=0, t_{y}=-\frac{\varepsilon_{0} \varepsilon_{r}}{2} E^{2} \sin \theta, t_{z}=\frac{\varepsilon_{0} \varepsilon_{r}}{2} E^{2} \cos \theta
$$

Hence the angle of the stress with this electric field $\overline{\mathrm{E}}$ is given by

$$
\begin{equation*}
\alpha=\tan ^{-1} \frac{t y}{t_{z}}=-\theta \tag{3.29}
\end{equation*}
$$

...

The absolute value of the mechanical stress transmitted across any surface element, whatever its orientation, is therefore,

$$
\begin{equation*}
|t|=\frac{\varepsilon_{0} \varepsilon_{r}}{2} E_{E}^{2}=\frac{1}{2} \overline{\mathrm{D}} \cdot \overline{\mathrm{E}} . \tag{3.30}
\end{equation*}
$$

Furthermore $\overline{\mathrm{t}}$ lies in the plane of $\overline{\mathrm{E}}$ and $\overline{\mathrm{n}}$ in a direction such that $\overline{\mathrm{E}}$ bisects the angle between $\overline{\mathrm{n}}$ and $\overline{\mathrm{t}}$ as iliustrated in Fig. 3.1.


$$
\begin{aligned}
\text { Fig. } 3.1: & \text { Relation of tension } \overline{\mathrm{t}} \text { transmitted across an } \\
& \text { element of surface in an electrostatic field to } \\
& \text { the field intensity } \bar{E} .
\end{aligned}
$$

$\sqrt{\text { 3.3 ELECTROMECHANICAL STRESS DISTRIBUTION IN AN ISOTROPIC }}$ DIELECTRIC BETWEEN TWO PARALLEL CIRCULAR PLATIES.

In order to obtain the electric stress distribution between two parailel plates by finite element method let us first consider a general triangular eth element havingnodes $i, j, m . \phi_{i}, \phi_{j}$ and $\phi_{m}$ being the corresponding node potentials.


The electric field components are given by

Fig. 3.2: A generalized triangular.element.

$$
E_{x}=-\frac{\partial \phi^{e}}{\partial x} \text { and } E_{y}=-\frac{\partial \phi^{e}}{\partial y}
$$

where $\phi^{e}$ is the potential function over an element. From equation (2.9) we have the potential variation over the eth element as

$$
\begin{aligned}
& \phi^{e}(x, y)=\frac{1}{2 A}\left[\left(a_{i}+b_{i} x+c_{i} y\right) \phi_{i}^{(e)}\right. \\
& \left.+\left(a_{j}+b_{j} x+c_{j} y\right) \phi_{j}^{e}+\left(a_{m}+b_{m} x+c_{m} y\right) \phi_{m}^{(e)}\right]
\end{aligned}
$$

where $A=\frac{1}{2}\left|\begin{array}{lcc}l & x_{i} & y_{i} \\ 1 & x_{j} & y_{j} \\ 1 & x_{m} & y_{m}\end{array}\right|$
Hence $E_{x}=-\frac{1}{2 A}\left[b_{i} \phi_{i}^{(e)}+b_{j} \phi_{j}^{(e)}+b_{m} \phi_{m}^{(e)}\right] \quad \cdots$

$$
\begin{equation*}
E_{y}=-\frac{1}{2 A}\left[c_{i} \phi_{i}^{(e)}+c_{j} \phi_{j}^{(e)}+c_{m} \phi_{m}^{(e)}\right] \quad \cdots \tag{3.32}
\end{equation*}
$$

where the values of $a_{i}, b_{i}, c_{i}$ are given by eqn. no. (2.10).

Applying the above two equations for electric stress over each element, electric stress distribution over the entire region can be calculated. For determining the corresponding mechanical stresses developed at different levels between the two parallel plates, let us consider the unit normal $\overline{\mathrm{n}}$ in the $y$-direction. So that $n_{x}=0$ and $n_{z}=0$.

Hence by using the elements of stress tensor given in Table 3.1 , the mechanical stress components are given by

$$
\begin{align*}
& t_{x}=S_{12}=\varepsilon_{0} \varepsilon_{r} E_{y} E_{x}  \tag{3.33}\\
& t_{y}=S_{22}=\frac{1}{2} \varepsilon_{0} \varepsilon_{r}\left(E_{y}^{2}-E_{x}^{2}\right) \tag{3.34}
\end{align*}
$$

The magnitude of the resultant stress is given by

$$
\begin{aligned}
|t| & =\sqrt{t_{x}^{2}+t_{y}^{2}} \\
& =\varepsilon_{0} \varepsilon_{r} \sqrt{\left(\frac{E_{x}^{2}-E_{y}^{2}}{2}\right)^{2}+E_{x}^{2} E_{y}^{2}} \\
& =\frac{\varepsilon_{0} \varepsilon_{r}}{2}\left(E_{x}^{2}+E_{Y}^{2}\right)=\frac{\varepsilon_{0} \varepsilon_{r}}{2} E^{2} \\
& =\frac{1}{2} \bar{D} \cdot \bar{E}
\end{aligned}
$$

$$
\ldots \quad(3.35)
$$

The angle $\theta_{: m}$ of the mechanical stress produced with $x$ axis is given by

$$
\begin{aligned}
\theta_{m} & =\tan ^{-1} \frac{t_{y}}{t_{x}} \\
& =\tan ^{-1} \frac{E_{x} E_{y}}{E_{y}^{2}-E_{x}^{2}}
\end{aligned}
$$

In terms of the angle of electrical stress $\theta_{e}=\tan ^{-1} \frac{E_{y}}{E_{x}}$

We get

$$
\begin{equation*}
\theta_{\mathrm{m}}=2 \theta_{\mathrm{e}}-90^{\circ} \tag{3.36}
\end{equation*}
$$

It can be readily checked that the electric field vector bisects the angle between the normal $\bar{n}$ and the mechanical stress $\bar{t}$, a fact that has been pointed out in section 3.2.

Fig. 3.3 and 3.4 represent respectively the magnitudes of electrical and mechanical stress distributions calculated by finite element method at different. levels of an isotropic dielectric between two circular parallel plates of identicalsize. The corresponding variation of angles of these stress vectors are given in table 3.2. Both types of stresses are maximum at the edges of the top and the bottom plates. On the potential plates the mechanical stress produces a tension which is balanced by the compressive. electric force. At the edges of the top plate a strong compressive mechanical stress is developed in the lateral direction and at the edges of the bottom plate the resulting stress is a strong tension both in lateral and vertical directions. With the increase of distance from the potential plates the electromechanical stress decreases rapidly. The mid-level at $y=\frac{h}{2}$ is subjected to equal and opposite. electromechanical forces in the vertical. However, shear
forces will develop at this level in the lateral direction. Because the lateral mechanical stresses above and below this level are oppostely directed. 'Under critical circumstances the electric force between the two plates may not be sufficient to save the dielectric from lateral fracture due to these lateral shear forces. While the upper portion of the dielectric will tend to move to the left, the lower portion will tend to move to the right due to the antiparallel lateral stresses in the two portions of the dielectric. These phenomena can be clearly visualized from the variation of stress angles given in table 3.2. Perhaps this is the reason why capacitors bruṣt under untolerable voltages. Fig. 3.5 and 3.6 illustrate the electromechanical stress distribution for unequal sizes of the potential plates. Stresses are invariably maximum at the edges of plates. Distributions of electrical and mechanical stress angles for this case are shown in Table 3.3. Variation of angles are almost same as the former case.


Fig. 3.3 Electric stress distribution between two parallel circular plates in an isotropic dielectric


Fig. 3.4 Mechanical stress distribution in an isotropic dielectric between two parallel circular plates a different heights

NABLE 3.2: Variation of Electrical and Mechanical stress angles with the horizontal plane at different levels in an isotropic dielectric between two circular parallel plates. $a=b=h / 2=2 \mathrm{~cm}$.


Fig. 3.5. Electric stress distribution between two unequal parallel


Fig. 3.6 Mechanical stress distribution between two unequal circular parallel plates

Table 3.3: Variation of electrical and mechanical stress angles at different points between two unequal parallel circular plates in isotropic dielectric
$a=\frac{b}{2}=\frac{h}{4}=1 \mathrm{~cm}$.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{array}{cc}  & \theta_{e} \\ 4 & \\ \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -43.2^{\circ} \\ & -176.4^{\circ} \end{aligned}$ | $\begin{aligned} & -35.28^{\circ} \\ & -160.56^{\circ} \end{aligned}$ | $\begin{aligned} & -31.08^{\circ} \\ & -152.16^{\circ} \end{aligned}$ | $\begin{aligned} & -29.58^{\circ} \\ & -149.16^{\circ} \end{aligned}$ | $\begin{aligned} & -29.78^{\circ} \\ & -147.56^{\circ} \end{aligned}$ | $\begin{aligned} & -32.95^{\circ} \\ & -155.9^{\circ} \end{aligned}$ | $\begin{aligned} & -52.20^{\circ} \\ & -194^{\circ} \end{aligned}$ |
| $\begin{array}{cc}  & \theta \\ \vdots & \\ & \\ & \text { e } \\ & \\ & \theta \\ \hline \end{array}$ | $\begin{aligned} & -83.68^{\circ} \\ & +102.64^{\circ} \end{aligned}$ | $\begin{aligned} & -61.88^{\circ} \\ & +146.24^{\circ} \end{aligned}$ | $-60.45^{\circ}$ $149.1^{\circ}$ | $-60.82^{\circ}$ $148.4^{\circ}$ | $\begin{aligned} & -61.45^{\circ} \\ & 147.1^{\circ} \end{aligned}$ | $\begin{aligned} & -62.48^{\circ} \\ & +145^{\circ} \end{aligned}$ | $-65.57^{\circ}$ $+139^{\circ}$ | $-77.19^{\circ}$ $+116^{\circ}$ |
|  | $\begin{aligned} & -86.63^{\circ} \\ & +96.74^{\circ} \end{aligned}$ | $\begin{aligned} & -80.05^{\circ} \\ & +110^{\circ} \end{aligned}$ | $\begin{aligned} & -84.69^{\circ} \\ & +100.62^{\circ} \end{aligned}$ | $-86.72^{\circ}$ $96.56^{\circ}$ | $\begin{aligned} & -87.99^{\circ} \\ & 94^{\circ} \end{aligned}$ | $\begin{array}{r} -88.82^{\circ} \\ 92.36^{\circ} \end{array}$ | $\begin{aligned} & -89.36^{\circ} \\ & 91.28^{\circ} \end{aligned}$ | $\begin{aligned} & -89.79^{\circ} \\ & 90.42^{\circ} \end{aligned}$ |
| $1 \begin{array}{cc} { }^{\theta} & \\ \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & -89.67^{\circ} \\ & 90.66^{\circ} \end{aligned}$ | $\begin{array}{r} -91.53^{\circ} \\ 86.94^{\circ} \end{array}$ | $\begin{aligned} & -105.54^{\circ} \\ & 58.92^{\circ} \end{aligned}$ | $\begin{gathered} -120.98^{\circ} \\ 28.04^{\circ} \end{gathered}$ | $\begin{gathered} -131.84^{\circ} \\ 6.32^{\circ} \end{gathered}$ | $\begin{aligned} & -135.8^{\circ} \\ & -1.6^{\circ} \end{aligned}$ | $\begin{aligned} & -134.8^{\circ} \\ & 0.4^{\circ} \end{aligned}$ | $\begin{gathered} -117.7^{\circ} \\ 34.6^{\circ} \end{gathered}$ |
| $\begin{array}{cc}  & \theta_{\mathrm{e}} \\ 0 & \\ \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $-90^{\circ}$ $+90^{\circ}$ | $\begin{aligned} & -162.85^{\circ} \\ & -55.7^{\circ} \end{aligned}$ | $\begin{aligned} & -163.89^{\circ} \\ & -57.78^{\circ} \end{aligned}$ | $\begin{aligned} & -164.37^{\circ} \\ & -58.74^{\circ} \end{aligned}$ | $\begin{aligned} & -163.89^{\circ} \\ & -57.78^{\circ} \end{aligned}$ | $\begin{aligned} & -160.1^{\circ} \\ & -50.2^{\circ} \end{aligned}$ | $\begin{aligned} & -134.98^{\circ} \\ & +0.04^{\circ} \end{aligned}$ |

3.4 ELECTROMECHANICAL STRESS DISTRIBUTION IN AN ANISOTROPIC DIELECTRIC BETWEEN TWO CIRCULAR PARALLEL PLATES.

In section 3.2 the mechanical stress tensor developed from electric forces has been derived for an isotropic dielectric. Let us now extend the analysis for anisotropic dielectric of which the anisotropy is characterized by the relative permittivity tensor.

$$
\hat{\varepsilon}_{r}=\epsilon_{r}\left[\begin{array}{lll}
1 & 0 & 0  \tag{3.37}\\
0 & 1 & 0 \\
0 & 0 & \mathrm{k}^{\prime}
\end{array}\right]
$$

we have

$$
\begin{equation*}
\overline{\mathrm{E}}=-\nabla \phi \tag{3.38}
\end{equation*}
$$

Then $\quad \nabla \times \bar{E}=0$

For obtaining the electromechanical stress tensor let us study the identity

$$
(\nabla \times \bar{E}) \times \hat{\varepsilon}_{r} \bar{E}=\left|\begin{array}{ccc}
\bar{i} & \bar{j} & \bar{k} \\
\frac{\partial E_{Z}}{\partial y}-\frac{\partial E_{y}}{\partial z} & \frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x} & \frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y} \\
\varepsilon_{r} E_{x} & \cdot & \varepsilon_{r} E_{Y} \\
E_{r} K^{\prime} E_{z}
\end{array}\right|
$$

$x, y$ and $z$ components of this vector are respectively

$$
\begin{aligned}
& {\left[(\nabla \mathrm{XE}) \times \hat{\varepsilon}_{r} \bar{E}\right] \cdot \bar{i}=\varepsilon_{r}\left[\frac{\partial}{\partial x}\left(E_{X}^{2}-\frac{1}{2} E^{\prime 2}\right)+\frac{\partial}{\partial y}\left(E_{Y} E_{X}\right)\right.} \\
& \left.+\frac{\partial}{\partial z}\left(K^{\prime} E_{z} E_{X}\right)\right]-E_{X} \nabla \cdot \hat{\varepsilon}_{r} \bar{E} \\
& {\left[(\nabla x \bar{E}) \times \hat{\varepsilon}_{r} \bar{E}\right] \cdot \bar{j}=\varepsilon_{r}\left[\frac{\partial}{\partial x}\left(E_{X} E_{Y}\right)+\frac{\partial}{\partial Y}\left(E_{Y}^{2}-\frac{1}{2} E^{\prime 2}\right)\right.} \\
& \left.+\frac{\partial}{\partial z}\left(k ' E_{Y} E_{Z}\right)\right]-E_{Y} \nabla \cdot \hat{\varepsilon}_{r} \bar{E} \\
& {\left[(\nabla x \bar{E}) \times \hat{\varepsilon}_{r} \bar{E}\right] \cdot \bar{k}=\varepsilon_{r}\left[\frac{\partial}{\partial x}\left(E_{X} E_{z}\right)+\frac{\partial}{\partial y}\left(E_{Y} E_{z}\right)\right.} \\
& \left.+\frac{\partial}{\partial z}\left(k^{\prime} E_{z}^{2}-\frac{1}{2} E^{\prime 2}\right)\right]-E_{z} \nabla \cdot \hat{\varepsilon}_{r} \bar{E}
\end{aligned}
$$

where $E^{\prime 2}=E_{x}^{2}+E_{Y}^{2}+k^{\prime} E_{z}^{2}$

Thus we can write

$$
\varepsilon_{0}(\nabla \times \bar{E}) \times \hat{\varepsilon}_{r} \bar{E}=\nabla \cdot \hat{S}^{\prime} \overline{\mathrm{I}}-\varepsilon_{e} \overline{\mathrm{E}} \nabla \cdot \hat{\varepsilon}_{\mathrm{r}} \overline{\mathrm{E}}=0 \quad \ldots \text { (3.40) }
$$

where

$$
\hat{S}^{\prime} \text { is the tensor }
$$

$$
\hat{S}^{\prime}=\left[\begin{array}{ccc}
S_{11}^{\prime} & S_{12}^{\prime} & S_{13}^{\prime} \\
S_{21}^{\prime} & S_{22}^{\prime} & S_{23}^{\prime} \\
S_{31}^{\prime} & S_{32}^{\prime} & S_{33}^{\prime}
\end{array}\right]
$$

and $\overline{\mathrm{I}}$ is the unit column vector defined in eqn. (3.7). The elements of the tensor $\hat{S}$ ' are given by

$$
\begin{aligned}
& S_{11}^{\prime}=\varepsilon_{o} \varepsilon_{r}\left(E_{x}^{2}-\frac{1}{2} E^{\prime 2}\right), S_{12}^{\prime}=\varepsilon_{o} \varepsilon_{r} E_{y}^{E} E_{x}, \\
& S_{13}^{\prime}=\varepsilon_{0} \varepsilon_{r}{ }^{\prime \prime} E_{z} E_{x}
\end{aligned}
$$

$$
\begin{aligned}
& S_{31}^{\prime}=\varepsilon_{0} \varepsilon_{r} E_{X} E_{z}, S_{32}^{\prime}=\varepsilon_{o} \varepsilon_{r} E_{Y} E_{z}, S_{33}^{\prime}=\varepsilon_{o} \varepsilon_{r}\left(k^{\prime} E_{z}^{2}-\frac{1}{2} E^{\prime 2}\right)
\end{aligned}
$$

By taking volume integral over eqn. (3.40)

We get

$$
\begin{equation*}
\int_{v} \nabla \cdot \hat{S} \cdot \bar{I} d v=\int_{\cdot v} \bar{E} \nabla \cdot \varepsilon_{0} \hat{\varepsilon}_{r} \bar{E} d v . \quad \ldots \tag{3.41}
\end{equation*}
$$

By divergence theorem

$$
\phi_{a} \hat{S}^{\prime} \bar{I} \cdot \bar{n} d a=\int_{v} \bar{E} \nabla \cdot \varepsilon_{o} \hat{\varepsilon}_{r} \bar{E} d v
$$

since $\overline{\mathrm{D}}=\varepsilon_{0} \hat{\varepsilon}_{r} \overline{\mathrm{E}}=\varepsilon_{o} \overline{\mathrm{E}}+\overline{\mathrm{P}}$
where $\bar{P}$ is the polarization in the dielectric.

Then $\nabla \cdot \overline{\mathrm{D}}=\nabla \cdot \varepsilon_{o} \hat{\varepsilon}_{\mathrm{r}} \overline{\mathrm{E}}=\nabla \cdot \overline{\mathrm{D}}_{\mathrm{o}}+\nabla \cdot \overline{\mathrm{E}}$

Hence (3.41) can be written as

$$
\begin{equation*}
\Phi_{\mathrm{a}} \hat{\mathrm{~S}} \cdot \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=\int_{\mathrm{v}} \overline{\mathrm{E}}(\rho+\nabla \cdot \overline{\mathrm{P}}) \mathrm{dv} \quad \ldots \tag{3.42}
\end{equation*}
$$

On the charged region $\bar{P}=0$ so that

$$
\oint_{a} \hat{S} \cdot \bar{I} \cdot \bar{n} d a=\int_{v} \bar{E} \rho d v
$$

Gutside. the charged region $\rho=0$, then

$$
\begin{equation*}
\oint_{\mathrm{a}} \hat{\mathrm{~S}}^{\prime} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=j_{\mathrm{v}} \overline{\mathrm{E}} \nabla \cdot \overline{\mathrm{P}} \tag{3.43}
\end{equation*}
$$

The right hand side of this equation represents the force exerted on the dipole moments. From the left hand side it is evident that $\hat{S}^{\prime}$ represents the mechanical stress tensor. The mechanical stress $\bar{t}$ is given by

$$
\begin{equation*}
\overline{\mathrm{t}}=\hat{\mathrm{S}} \cdot \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \tag{3.44}
\end{equation*}
$$

. . .

Let. us now apply the theory to the problem of an anisotropic dielectric between two parallel circular plates. Considering the axis of the plates along the $z-$ direction experiencing the effect of anisotropy we can from symmetry analyze the problem in the $y-z$ system. Let the unit normal $\bar{n}$ be parallel to the z-axis being perpendicular to the potentiai plates. Then $E_{x}=0, n_{x}=0, n_{y}=0$ and $n_{z}=1$

Thus the $\dot{y}$ - component of the stress becomes

$$
t_{y}=S_{23}^{\prime}=\varepsilon_{0} \varepsilon_{r} k^{\prime} E_{y} E_{z}
$$

$$
\begin{equation*}
t_{z}=S_{33}^{\prime}=\varepsilon_{0} \varepsilon_{r}\left(k^{\prime} E_{z}^{2}-\frac{1}{2} E^{2}\right) \tag{3.46}
\end{equation*}
$$

The resulting stress is given by

$$
\begin{align*}
|t| & =\sqrt{t_{y}^{2}+t_{z}^{2}}=\varepsilon_{o} \varepsilon_{r} \sqrt{k \cdot E_{y}^{2} E_{z}^{2}+\left(k E_{z}^{2}-\frac{1}{2} E^{\prime 2}\right)^{2}} \\
& =\varepsilon_{0} \varepsilon_{r} \sqrt{k^{\prime}{ }^{2} E_{y}^{2} E_{z}^{2}+\frac{\left(k^{\prime} E_{z}^{2}-E_{y}^{2}\right)^{2}}{2}} \\
& =\frac{\varepsilon_{0}}{2} \varepsilon_{r} \sqrt{\left(E_{y}^{2}+k^{\prime} E_{z}^{2}\right)^{2}} \\
& =\frac{\varepsilon_{0}}{2} \varepsilon_{r} \quad\left(E_{y}^{2}+k^{\prime} E_{z}^{2}\right)=\frac{1}{2} \varepsilon_{o} \hat{\varepsilon}_{r} \bar{E} \cdot \bar{E} \quad \ldots \tag{3.47}
\end{align*}
$$

r'hus for linear isotropic and anisotropic dielectrics the magnitude of the electro-mechanical stress can be calculated readily from

$$
\begin{equation*}
|t|=\frac{1}{2} \bar{D} \cdot \bar{E} \tag{3.48}
\end{equation*}
$$

The angle of the stress with the $y$-axis is given by

$$
\begin{aligned}
\theta_{\mathrm{m}} & =\tan ^{-1} \frac{t_{z}}{t_{y}} \\
& =\tan ^{-1} \frac{k^{\prime} E_{z}^{2}-E_{y}^{2}}{2 k^{1} E_{y^{2}} E_{z}}
\end{aligned}
$$

In terms of the angle of the electric field $\theta_{e}=\tan ^{-1} \frac{E_{z}}{E_{y}}$

We get

$$
\begin{equation*}
\theta_{m}=\tan ^{-1} \frac{k^{\prime} \tan ^{2} \theta e^{-1}}{2 k^{\prime} \tan \theta_{e}} \tag{3.49}
\end{equation*}
$$



Fig. 3.7 Electric stress distribution in on anistropic dielectric between two circular parallel plates.


Fig.3.8. Mechanical stress distribution between two circular parallel plates at different heights in an anistropic dielectric

Table 3.4: Variation of Mechanical and Electrical stress angle with the horizontal plane at different levels in an anisotropic dielectric between two parallel circular plates with $k^{\prime}=5.0, a=b=h / 2=2 \mathrm{~cm}$.

|  | 0 | 1 | 2 | 3. | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -33.17^{\circ} \\ & -189.86^{\circ} \end{aligned}$ | $\begin{aligned} & -24.42^{\circ} \\ & -180.39^{\circ} \end{aligned}$ | $-21.47^{\circ}$ <br> $-176.7^{\circ}$ | $\begin{aligned} & -20.73^{\circ} \\ & -175.72^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ |
| $\begin{array}{cc}  & \theta_{\mathrm{e}} \\ & \theta_{\mathrm{m}} \end{array}$ | $-86.93^{\circ}$ <br> $\div 96.13^{\circ}$ | $\begin{aligned} & -53.26^{\circ} \\ & -210.76^{\circ} \end{aligned}$ | $\begin{aligned} & -52.10^{\circ} \\ & -209.44^{\circ} \end{aligned}$ | $-51.89^{\circ}$ <br> $-209.2^{\circ}$ | $\begin{aligned} & -51.96^{\circ} \\ & -209.28^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ |
|  | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{array}{r} -90^{\circ} \\ +90^{\circ} \end{array}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{array}{r} -90^{\circ} \\ +90^{\circ} \end{array}$ | $\begin{array}{r} -90^{\circ} \\ +90^{\circ} \end{array}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ |
| $1 . \begin{gathered} \theta_{\mathrm{e}} \\ { }^{\theta_{\mathrm{m}}} \\ \hline \end{gathered}$ | $\begin{aligned} & -93^{\circ} \\ & +84.01^{\circ} \end{aligned}$ | $\begin{aligned} & -123.26^{\circ} \\ & +34.86^{\circ} \end{aligned}$ | $\begin{aligned} & -144.07^{\circ} \\ & +12.64^{\circ} \end{aligned}$ | $\begin{aligned} & -150.21^{\circ} \\ & +6.36^{\circ} \end{aligned}$ | $\begin{aligned} & -151.86^{\circ} \\ & +4.6^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ |
|  | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -175.31^{\circ} \\ & -49.67^{\circ} \end{aligned}$ | $\begin{aligned} & -175.44^{\circ} \\ & -50.52^{\circ} \end{aligned}$ | $\begin{aligned} & -175.48^{\circ} \\ & -50.78^{\circ} \end{aligned}$ | $\begin{aligned} & -175^{\circ} 48^{\circ} \\ & -50.78^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} \\ & +90^{\circ} \end{aligned}$ | $\begin{aligned} & -90^{\circ} . \\ & +90^{\circ} \end{aligned}$ |

Evidently in an anisotropic dielectric the field vector does not generally bisect the normal and the mechanical stress on a surface elem In special cases it happens so when $\theta_{e}=0^{\circ}$ and $-90^{\circ}$

Fig. 3.7 and Fig. 3.8 indicates the electro-mechanical stress distribution in an anisotropic dielectric between two circular parallel plates. The corresponding stress angles are shown in Table 3.4. It is observed that the peak values of both electric and mechanical stresses rise. with the development of anisotropy in the dielectric. But like the isotropic case, here the peak stress value occurs at the edge of the upper plate. As the distance increases from the edge of the parallel plates, the electromechanical stress die out more rapialy than the isotropic case. At the level $z=\frac{h}{2}$ the electro-mechanical stresses are oppositely directed and have only normal components. Above and below this plane, both electric and mechanical stresses have lateral components. At the edge of the upper plate the mechanical stress is highly compressive. Tensile stresses are developed in the lower plate producing intensified shear stress in the plane $z=\frac{h}{2}$.

### 3.5 DISCUSSION

In this chapter, electromechanical stress distributions in both isotropic and anisotropic dielectrics between two
circular parallel plates have been studied. In section 3.2, generalized equation for the calculation of electromechanical stresses for both free space and dielectric media has been developed. In section 3.3 , the electromechanical stress distributions have been calculated between two circular parallel plates in an isotropic dielectric. Both magnitudes and angles of stress values at different points are calculated. Pèak value of stresses occur at the edge of the upper plate and is independent of the size of the plates. It is also observed that for isotropic dielectric, the electric stress bisects the angle between the normal $\bar{n}$ and the mechanical stress. At the edge of the plates lateral stresses become dominant and the stress is almost normal at the mid-level. The high lateral stress at the uppper and lower plates may cause dielectric breakdown. With the increase of anisotropy in the medium, peak value of both the electric and mechanical stresses rises and also the lateral component of stress increases. Again, it is observed that beyond the plates, the stresses die out more rapidly in the anisotrppic case compared to the isotropic case. But like the isotropic media, here the electric stress does not always bisect the angle between the normal and the mechanical stress.

CHAPTER 4

ELECTROMECHANICAL STRESS DISTRIBUTION IN FERROELECTRIC INSULATORS .

### 4.1 INTRODUCTION:

In dielectric materials discussed so far, the polarization is a linear function of the applied field. There are, however, a number of substances for which the polarization of a specimen is not a linear function of the field strength. r'hese materials exhibit hysteresis effects like the ferromagnetic materials. These are called ferroelectric materials. Electromechanical streśs analysis in such media has not yet. been reported.

An applied electric field induces dipole moments in atoms or ions, and generally displaces ions relative to each other. Consequently, the dimensions of a specimen undergo slight changes. So,in most materials dielectric polarization produces a mechanical distortion, but a mechanical distortion does not produce polarization. This electromechanical effect, which is present in all materials, is called electrostriction. rhere are various types of ferroelectric materials that are used in high voltage insulators because of their very high relative permittivity.

### 4.2 REVIEW OF THE PROPERTIES OF FERROELECTRIC MATERIALS:

Barium titanate ( $B T$ ) materials are commonly used in the fabrication of capacitors (insulators) with a multilayered structure. This is a very important ferroelectric material for the high voltage insulator. Their high permittivity enables fabrication of capacitors which have high capacitance but are small in size. There are three types of $B T$ materials commonly used in insulators. These are: NPO $\left(\varepsilon_{r}{ }^{\sim} 60\right), x 7 R\left(\varepsilon_{r}{ }^{\sim} 1800\right)$ and $25 u\left(\varepsilon_{r}{ }^{\sim} 9000\right)$. As discussed in section 4.l, hysteresis effects are present in ferroelectric materials.


Fig.4.1 The hysteresis curve of ferroelectric material

When an electric field is applied to a virgim specimen, the polarization increases along the curve OAC as shown in Fig. 4.1. If the field is reduced to zero, it is found that for $E=0$, a certain amount of polarization $p_{r}$ remains known as remanant polarization. Thus the material is spontaneously polarized. For polarization experiencing hysteresis curve the magnitude of the electric flux density as a function of the electric field can be well approximated as

$$
\begin{equation*}
D=\varepsilon_{o}\left(\varepsilon_{r o}^{E}-k E^{3}\right) \tag{4.1}
\end{equation*}
$$

where

$$
\left.\varepsilon_{0} \varepsilon_{r O}=\frac{d D}{d E} \right\rvert\, \begin{aligned}
& E=0
\end{aligned}
$$

$\varepsilon_{\text {ro }}$ is therefore the dielectric constant at very weak field. K is a constant. Again we have

$$
\begin{equation*}
D=\varepsilon_{0} E+P \tag{4.2}
\end{equation*}
$$

where $P$ accounts for the amount of polarization. Equating (4.1) and (4.2) we get

$$
\begin{equation*}
P=\varepsilon_{O}\left[\left(\varepsilon_{r O^{-1}}\right) \cdot E-k E^{3}\right] \tag{4.3}
\end{equation*}
$$

The effective relative permittivity $\varepsilon_{r}$ is obtained from

$$
\begin{equation*}
\varepsilon_{o}\left(\varepsilon_{\dot{r}}-1\right)=\frac{d P}{d E} \quad \ldots \tag{4.4}
\end{equation*}
$$

Substituting (4.3) into (4.4)

$$
\begin{align*}
& \varepsilon_{O}\left(\varepsilon_{r}-1\right)=\varepsilon_{O}\left[\left(\varepsilon_{r O}-1\right)-3 k E^{2}\right] \\
& \text { or } \quad \varepsilon_{r}=\varepsilon_{r O}-3 k E^{2} \tag{4.5}
\end{align*}
$$

Saturated polarization takes place when $E=E_{S}$
where $\frac{d P}{d E}=0$, so that at saturation $\varepsilon_{r}=1$. Hence by (4.5) the constant $k$ is determined as

$$
\begin{equation*}
K=\frac{\varepsilon_{r o}-1}{3 E_{S}^{2}} \tag{4.6}
\end{equation*}
$$

The relative permittivity versus the applied field strength takes the form shown in fig. (4.2).


Fig. 4.2 Variation of relative permittivity with the applied field

After saturation the field leaks out into the medium surrounding the ferroelectric material. From equation (4.5) it is evident that as the field strength increases the relative permittivity of the material decreases causing leakage of flux outside the ferroelectric material. The ferroelectric material behaves as a non-linear medium Flash-over arround insulators takes place with the air breakdown at which the field strength is $15 \times 10^{5} \mathrm{v} / \mathrm{m}$. We choose $\mathrm{E}=\frac{2}{3} \times 15 \times 10^{5} \mathrm{v} / \mathrm{m}=10^{5} \mathrm{~V} / \mathrm{n}$. $\varepsilon_{\text {ro }}=2,000$ for ceramic.

Laplace's equation in a charge-free region is given in rectangular co-ordinates as

$$
\begin{equation*}
\frac{\partial D}{\partial x}+\frac{\partial D}{\partial y}+\frac{\partial D z}{\partial z}=0 \tag{4.7}
\end{equation*}
$$

From eqn. (4.l) for ferroelectric materials

$$
\begin{align*}
& D_{x}=\varepsilon_{o}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right)  \tag{4.8}\\
& D_{Y}=\varepsilon_{o}\left(\varepsilon_{r o} E_{y}-k E_{Y}^{3}\right)  \tag{4.9}\\
& D_{z}=\varepsilon_{o}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) \tag{4:10}
\end{align*}
$$

Substituting (4.8) - (4.10) into (4.7).

$$
\begin{align*}
& \varepsilon_{r o}\left(\frac{\partial E_{x}}{\partial x}+\frac{\partial E_{y}}{\partial y}+\frac{\partial E_{z}}{\partial z}\right)-3 K\left(E_{x}^{2} \frac{\partial E_{x}}{\partial x}+E_{y}^{2} \frac{\partial E_{y}}{\partial y}\right. \\
& \left.+E_{z}^{2} \frac{\partial E_{z}}{\partial z}\right)=0 \\
& \text { or } \quad\left(\varepsilon_{r o}-3 k E_{x}^{2}\right) \frac{\partial E_{x}}{\partial x}+\left(\varepsilon_{r o}-3 k E_{y}^{2}\right) \frac{\partial E_{y}}{\partial y}+\left(\varepsilon_{r o}-3 k E_{z}^{2}\right) \frac{\partial E_{z}}{\partial z}=0 \\
& \varepsilon_{r o}\left(\frac{\partial^{2} \phi}{\partial x^{2}}+\frac{\partial^{2} \phi}{\partial y^{2}}+\frac{\partial^{2} \phi}{\partial z^{2}}\right)-3 k\left[\left(\frac{\partial \phi}{\partial x}\right)^{2} \frac{\partial^{2} \phi}{\partial x^{2}}+\left(\frac{\partial \phi}{\partial y}\right)^{2} \frac{\partial^{2} \dot{\phi}}{\partial y^{2}}\right. \\
& \left.+\left(\frac{\partial^{2} \phi}{\partial z}\right)^{2} \frac{\partial^{2} \phi}{\partial z^{2}}\right]=0 \tag{4.11}
\end{align*}
$$

Now if finite element method is applied the potential function $\dot{\varphi}$ with piecewise linearization on each triangular element satisfies the above equation.

### 4.3 ELECTROMECHANICAL STRESS ANALYSIS: IN FERROELECTRIC INSULATORS

Ferroelectric materials undergo non-linear polarization. Moreover with the application of an A.C. field these materials undergo hysteresis effects arising from remanent polarization. For D.C. fields the electric flux density can be given by

$$
\begin{align*}
& D_{x}=\varepsilon_{o}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right)  \tag{4.12}\\
& D_{y}=\varepsilon_{o}\left(\varepsilon_{r o} E_{y}-k E_{y}^{3}\right) \tag{4.13}
\end{align*}
$$

$$
\begin{equation*}
D_{z}=\varepsilon_{o}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) \tag{4.14}
\end{equation*}
$$

With $\overline{\mathrm{E}}=-\nabla \phi$ let us consider the identity

$$
(\nabla x \bar{E}) x \bar{D}=\left|\begin{array}{ccc}
\bar{i} & \bar{j} & \bar{k} \\
\frac{\partial E_{z}}{\partial y}-\frac{\partial E_{Y}}{\partial z} & \frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x} & \frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial Y} \\
-D_{x} & D_{y} & D_{z}
\end{array}\right|
$$

In the above the $x, y$ and $z$ components of the vector are given by

$$
[(\nabla x \bar{E}) x \bar{D}] \cdot \bar{i}=D_{z}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)-D_{y}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)=0
$$

. .

$$
[(\nabla x \bar{E}) x \bar{D}] \cdot \bar{j}=D_{x}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)-D_{z}\left(\frac{\partial E_{x}}{\partial x}-\frac{\partial E_{y}}{\partial z}\right)=0
$$

$$
\ldots \quad(4.18)
$$

$$
[(\nabla x \bar{E}) x \bar{D}] \cdot \bar{k}=D_{y}\left(\frac{\partial E_{x}}{\partial x}-\frac{\partial E_{y}}{\partial z}\right)-D_{x}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)=0
$$

The above equations can be arranged by adding equal quantities on both sides such as

$$
D_{z}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)-D_{y}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)+E_{x} \nabla \cdot \bar{D}=E_{x} \nabla \cdot \bar{D}
$$

...
$D_{x}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)-D_{z}\left(\frac{\partial E_{x}}{\partial x}-\frac{\partial E_{y}}{\partial z}\right)+E_{y} \nabla \cdot \bar{D}=E_{y} \nabla \cdot \bar{D}$

| $\infty$ | $\cdots$ |
| :--- | :--- |
| $\infty$ | $D_{y}\left(\frac{\partial E_{z}}{\partial y}-\frac{\partial E_{y}}{\partial z}\right)-D_{x}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)+E_{z} \nabla \cdot \bar{D}=E_{z} \nabla \cdot \bar{D}$ |

The above three equations can be arranged in tensor form

$$
\begin{equation*}
\nabla \cdot \hat{S} \overline{\mathrm{I}}=\overline{\mathrm{E}} \nabla \cdot \overline{\mathrm{D}} \tag{4.23}
\end{equation*}
$$

where $\hat{S}$ is the stress tensor
$\hat{\mathrm{s}}=\left[\begin{array}{lll}\mathrm{s}_{11} & \mathrm{~s}_{12} & \mathrm{~s}_{13} \\ \mathrm{~s}_{21} & \mathrm{~s}_{22} & \mathrm{~s}_{23} \\ \mathrm{~S}_{31} & \mathrm{~s}_{32} & \mathrm{~s}_{33}\end{array}\right]$
$\overline{\mathrm{I}}$ is the column vector

$$
\overline{\mathrm{I}}=\left[\begin{array}{c}
\bar{i}  \tag{4.25}\\
\bar{j} \\
\bar{k}
\end{array}\right] \quad \ldots
$$

The elements $S_{i j}$ of the tensor elements are given by

$$
\begin{align*}
& S_{11}=\varepsilon_{o}\left[\varepsilon_{r o}\left(E_{x}^{2}-\frac{1}{2} E^{2}\right)+\frac{k}{4}\left(E_{y}^{4}+E_{z}^{4}-3 E_{x}^{4}\right)\right]  \tag{4.26}\\
& S_{12}=\varepsilon_{o}\left(\varepsilon_{r o} E_{y}-k E_{y}^{3}\right) E_{x}
\end{align*}
$$

$$
S_{13}=\varepsilon_{o}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) E_{x}
$$

$$
S_{21}=\varepsilon_{0}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right) E_{y}
$$

$$
\begin{equation*}
S_{22}=\varepsilon_{0}\left[\varepsilon_{r o}\left(E_{y}^{2}-\frac{1}{2} E^{2}\right)+\frac{k}{4}\left(E_{z}^{4}+E_{x}^{4}-3 E_{y}^{4}\right)\right] \tag{4.30}
\end{equation*}
$$

$$
\begin{equation*}
S_{23}=\varepsilon_{o}\left(\varepsilon_{r o}^{E}-k E_{z}^{3} ; E_{Y}\right. \tag{4.31}
\end{equation*}
$$

$$
\begin{equation*}
S_{31}=\varepsilon_{o}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right) E_{z} \tag{4.32}
\end{equation*}
$$

$$
\begin{equation*}
S_{32}=\varepsilon_{O}\left(\varepsilon_{\mathrm{rO}} E_{Y}-k E_{Y}^{3}\right) E_{z} \tag{4.33}
\end{equation*}
$$

$$
\begin{equation*}
S_{33}=\varepsilon_{0}\left[\varepsilon_{r o}\left(E_{z}^{2}-\frac{l}{2} E^{2}\right)+\frac{k}{4}\left(E_{x}^{4}+E_{y}^{4}-3 E_{z}^{4}\right)\right] \tag{4.34}
\end{equation*}
$$

Derivation of the stress elements ${ }_{i j}$ is given in the appendix P. 2 .
where $\quad E=\sqrt{E_{x}^{2}+E_{y}^{2}+E_{z}^{2}}$

The resulting electromechanical force equation is given by taking volume integral over (4.23).

$$
\begin{equation*}
s_{v} \nabla \cdot \hat{S} \bar{I} d v=\delta_{v} \overline{\mathrm{E}} \nabla \cdot \overline{\mathrm{D}} \mathrm{dv} \quad \ldots \tag{4.35}
\end{equation*}
$$

or $\oint_{a} \hat{S} \bar{I} \cdot \bar{n} d a=\int_{v} \bar{E} \nabla \cdot \bar{D} d v \quad \ldots$
using

$$
\bar{D}=\bar{D}_{0}+\bar{P} \text { and } \nabla \cdot \bar{D}_{\rho}=\rho
$$

$$
\oint \hat{S} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=\int_{\mathrm{v}} \overline{\mathrm{E}} \rho \mathrm{dv} \text { on the charged regions. }
$$

$$
6 \hat{\mathrm{~S}} \overline{\mathrm{I}} \cdot \overline{\mathrm{n}} \mathrm{da}=\int_{\mathrm{v}} \overline{\mathrm{E}} \nabla \cdot \overline{\mathrm{P}} \mathrm{dv} \text {. in the insulator. }
$$

### 4.4 ELECTROMECHANICAL STRESS DISTRIBUTION IN A FERROELECTRIC INSULATOR BETWEEN TWO CIRCULAR PARALLEL PLATES

For a linear medium we have flux density

$$
D_{x O}=E_{o} \varepsilon_{r 0} E_{x O}
$$

and

$$
D_{y o}=\varepsilon_{o} \varepsilon_{\text {ro }} E_{y o}
$$

where, $\varepsilon_{\text {ro }}$ is the dielectric constant for a very weak field in ferroelectric material; $E_{x O^{\prime}} E_{y o}$ are the field components for linear polarization. These field components can be readily aetermined by finite element method.

Let the corresponding fields be $E_{x}$ and $E_{y}$ which will maintain the same flux density in ferroelectric material exhibitingnon-linear polarization.

So, we get,

$$
\begin{aligned}
& D_{x}=\varepsilon_{r o} E_{x}-k E_{x}^{3}=\varepsilon_{r o} E_{x O} \\
& D_{y}=\hat{\varepsilon}_{r o} E_{y}-k E_{y}^{3}=\varepsilon_{r o} E_{y o}
\end{aligned}
$$

Solving these cubic eqns. for $E_{X}$ and $E_{Y}$ in terms of $E_{x o}$ and $E_{y o}$. We will get the electric fields in ferroelectric materials. The method of obtaining the nonlinear solution from the linear one as discussed above can be graphically explained with the aid of Fig. 4.3.


Fig.4.3 Graphical method of obtaining non-linear field solution from the linear solution

The linear variation of $D$ with $E$ is represented by the straight line l. The non-linear variation is represented by the curve 2 . Now for constant flux density, $D=D_{o}$ so that $E$ can be readily obtained from $E_{0}$. Similarly considering the hysteresis effects for alternating fields, E+ and E- can be graphically determined. If we take the case of two circular parallel plates symmetrical about the y-axis, then in eqn. (4.36).

$$
n_{x}=0, n_{z}=0 \text { and } n_{y}=1
$$

$\therefore$ and $E_{z}=0$
"So, the x component of the mechanical stress will be $t_{x}=S_{12}$ and the $y$ component of the mechanical stress will be $t_{y}=S_{22}$. Hence from equation (4.15) and (4.18) we get

$$
\begin{equation*}
t_{x}=S_{12}=\varepsilon_{0}\left(\varepsilon_{r o}-k E_{y}^{2}\right) E_{x} E_{y} \quad \ldots \tag{4.39}
\end{equation*}
$$

$$
\begin{equation*}
t_{y}=s_{22}=\varepsilon_{o}\left[\frac{\varepsilon_{r o}}{2}\left(E_{y}^{2}-E_{x}^{2}\right)+\frac{k}{4}\left(E_{x}^{4}-3 E_{y}^{4}\right)\right] \tag{4.40}
\end{equation*}
$$

The resultant mechanical stress is given by

$$
\begin{equation*}
t=\sqrt{t_{x}^{2}+t_{y}^{2}} \tag{4.41}
\end{equation*}
$$

It can be readily checked that $t \neq \frac{1}{2} \bar{D} \cdot \bar{E}$ for a nonlinear medium.

For alternating fields experiencing hysteresis effects the electric field components in the above expression must be changed as $E \pm E_{s} / 2$ respectively for alternation from negative maximum to positive maximum and positive maximum to negative maximum. Here $E_{s} / 2$ represents the assumed magnitude of the field strength with the remanant polarization.

Both electric and mechanical stress has been calculated iñ ferroelectric insulator between two parallel plates. If an electrostatic potential is applied, then it is found that maximum electric stress occurs at the edge of the upper plate at $y=h$. As the distance from the edge of the plate increases, the electric stress drops off rapidly. Again the stress at the lower plate i.e.aty $=0$ is higher than at a kevel between the plates. The stress at $y=\frac{h}{4}$ becomes
maximum on the edge of the lower plate. These are shown in figs. (4.4-5). . If the field is alternating, then some different nature of the stress curve is observed. In the cycle when the applied voltage alternates from tve $\max ^{\mathrm{m}}$ to - ve maximum, then the stress distribution takes the forms shown figs. 4.6-7. The corresponding variation of stress angles is shown in table 4.2. Like the electrostatic case, here also the maximum stress occurs at the edge (i.e. $x=a$ ). The lower plate here attains minimum stress level. As the distance increases, the stress curves converges to a constant value which is attributed to the remanent polarization. Here the peak stress value is larger than the electrostatic case. But for. the case when the applied potential alternates from - ve maximum to tve then a different type of stress variation takes place as shown in figs. 4.8-9 with the angle variation shown table 4.3. Unlike the previous cases the peak stress here occurs at the edges of lower plate and the upper plate is at minimum stress. The stress curves attain constant stress value as the "distance $x$ increases. Compared to the former two cases, it is $\because "$ evident that the peak stress value is maximum for the present case. So, for alternating voltages, the insulator should be so designed as to withstand the peak stress at the two plates in the form of compression or tension.


Fig.4.4. Electric stress distribution in ferroelectric insulators at different heights under static case


Fig. 4.5. Mechanical stress distribution in ferroelectric insulator at different heights (static case)

Table 4.1: Variation of Electrical and Mechanical angles at different points between two parallel plates in Ferroelectric media. (For static case) $\varepsilon_{\text {ro }}=2000.0$

|  | $\begin{aligned} & 0 \\ & \theta_{\mathrm{e}} \\ & \theta_{\mathrm{rl}} \end{aligned}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $4{ }^{\theta_{\mathrm{m}}}$ | $\begin{aligned} & -90.05^{\circ} \\ & +89.96^{\circ} \end{aligned}$ | $\begin{array}{r} -44.59^{\circ} \\ -178.18^{\circ} \end{array}$ | $\begin{aligned} & -37.33^{\circ} \\ & -164.57^{\circ} \end{aligned}$ | $\begin{aligned} & -32.96^{\circ} \\ & -155.87^{\circ} \end{aligned}$ | $\begin{aligned} & -30.93^{\circ} \\ & -151.82^{\circ} \end{aligned}$ | $\begin{array}{r} -30.64^{\circ} \\ -151.24^{\circ} \end{array}$ | $\begin{aligned} & -33.45^{\circ} \\ & -156.86^{\circ} \end{aligned}$ | $\begin{aligned} & -52.37^{\circ} \\ & -194.7^{\circ} \end{aligned}$ |
|  | $\begin{aligned} & -85.51^{\circ} \\ & -260.88^{\circ} \end{aligned}$ | $\begin{aligned} & -66.68^{\circ} \\ & -222.87^{\circ} \end{aligned}$ | $\begin{aligned} & -63.80^{\circ} \\ & -217.4^{\circ} \end{aligned}$ | $\begin{aligned} & -62.87^{\circ} \\ & -215.65^{\circ} \end{aligned}$ | $\begin{aligned} & -62.66^{\circ} \\ & -215.26^{\circ} \end{aligned}$ | $\begin{aligned} & -63.17^{\circ} \\ & -216.29^{\circ} \end{aligned}$ | $\begin{aligned} & -65.92^{\circ} \\ & -221.8^{\circ} \end{aligned}$ | $\begin{aligned} & -77.27^{\circ} \\ & -244.5^{\circ} \end{aligned}$ |
| $2{ }{ }^{\theta_{\mathrm{e}}}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -90.04^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{array}{r} -90.03^{\circ} \\ 90.04^{\circ} \end{array}$ | $\begin{aligned} & -90.03^{\circ} \\ & +90.04^{\circ} \end{aligned}$ |
| $1 \begin{array}{cc} \theta_{\mathrm{e}} \\ & \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & -93.87^{\circ} \\ & 82.09^{\circ} \end{aligned}$ | $\begin{array}{r} -107.77^{\circ} \\ 53.78^{\circ} \end{array}$ | $\begin{array}{r} -124.08^{\circ} \\ 21.70^{\circ} \end{array}$ | $\begin{array}{r} -133.88^{\circ} \\ 2.19^{\circ} \end{array}$ | $\begin{gathered} -138.28^{\circ} \\ -6.60^{\circ} \end{gathered}$ | $\begin{aligned} & -139.45^{0} \\ & -8.94^{\circ} \end{aligned}$ | $\begin{aligned} & -136.68^{\circ} \\ & -3.40^{\circ} \end{aligned}$ | $\begin{aligned} & -118.48^{\circ} \\ & +32.99^{\circ} \end{aligned}$ |
| $\begin{array}{cc} \theta_{\mathrm{e}} \\ \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & -90.05^{\circ} \\ & +90.04^{\circ} \end{aligned}$ | $\begin{aligned} & -163.22^{\circ} \\ & -56.07^{\circ} \end{aligned}$ | $\begin{aligned} & -164.3^{\circ} \\ & -58.59^{\circ} \end{aligned}$ | $\begin{aligned} & 164.76^{\circ} \\ & -59.55^{\circ} \end{aligned}$ | $\begin{aligned} & 164.82^{\circ} \\ & -59.69^{\circ} \end{aligned}$ | $\begin{aligned} & -164.10^{\circ} \\ & -58.25^{-} \end{aligned}$ | $\begin{aligned} & -160.13^{\circ} \\ & -50.32^{\circ} \end{aligned}$ | $\begin{aligned} & -134.99^{\circ} \\ & -0.02^{\circ} \end{aligned}$ |




Fig.4.7. Mechanical stress distribution in ferroelectric insulator at different heights when the applied voltage alternates from ve max. to tve max.

Table 4.2: Distribution of mechanical and electrical stress angles at different points when the applied potential alternates $E_{5}=10^{6} \mathrm{v} / \mathrm{m}$ from tive maximum to -ve maximum

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{array}{r} 21.10 \\ -44.91 \end{array}$ | $\begin{array}{r} 12.52 \\ -62.40 \end{array}$ | $\begin{array}{r} 32.72 \\ -24.59 \end{array}$ | $\begin{array}{r} 39.92 \\ -11.83 \end{array}$ | $\begin{array}{r} 42.75 \\ -6.75 \end{array}$ | $\begin{array}{r} 43.98 \\ -4.51 \end{array}$ | 44.55 <br> $-3.46$ | $44.84$ $-2.93$ |
| $\begin{array}{cc}  & \theta_{\mathrm{e}} \\ 3 & \theta_{\mathrm{m}} \end{array}$ | $\begin{aligned} & 25.09 \\ & -38.99 \end{aligned}$ | 23.68 <br> $-41.39$ | $\begin{array}{r} 32.95 \\ -24.18 \end{array}$ | $\begin{array}{r} 39.02 \\ -13.27 \end{array}$ | $\begin{array}{r} 42.14 \\ -7.72 \end{array}$ | $43.65$ $-5.03$ | 44.38 <br> $-3.75$ | 44.72 |
| $2 \begin{gathered} { }^{\theta} \mathrm{e} \\ { }^{\theta_{\mathrm{m}}} \end{gathered}$ | $\begin{aligned} & 25.98 \\ & -37.35 \end{aligned}$ | $27.83$ $-33.80$ | $\begin{aligned} & 36.26 \\ & -18.09 \end{aligned}$ | $\begin{array}{r} 40.90 \\ -9.76 \end{array}$ | $\begin{array}{r} 43.09 \\ -5.93 \end{array}$ | $\begin{aligned} & 44.11 \\ & -4.17 \end{aligned}$ | $\begin{aligned} & 44.57 \\ & -3.37 \end{aligned}$ | $\begin{aligned} & 44.78 \\ & -3.03 \end{aligned}$ |
| $\begin{array}{cc}  & { }^{\theta} \mathrm{e} \\ { }^{\theta_{\mathrm{m}}} \end{array}$ | $\begin{aligned} & 22.94 \\ & -43.33 \end{aligned}$ | $\begin{array}{r} 24.65 \\ -40.23 \end{array}$ | $\begin{gathered} 42.88 \\ -5.78 \end{gathered}$ | $\begin{aligned} & 44.94 \\ & -2.38 \end{aligned}$ | $\begin{aligned} & 45.13 \\ & -2.23 \end{aligned}$ | $\begin{aligned} & 45.09 \\ & -2.40 \end{aligned}$ | $\begin{aligned} & 45.03 \\ & -2.54 \end{aligned}$ | $\begin{aligned} & 44.98 \\ & -2.67 \end{aligned}$ |
| ${ }^{0}{ }^{\dot{\theta}_{\mathrm{e}}}$ | $20.07$ $-48.91$ | $\begin{aligned} & 66.22 \\ & 41.00 \end{aligned}$ | $\begin{aligned} & 51.28 \\ & 10.02 \end{aligned}$ | $\begin{aligned} & 47.50 \\ & 2.34 \end{aligned}$ | $\begin{aligned} & 46.11 \\ & -0.45 \end{aligned}$ | $\begin{aligned} & 45.50 \\ & -1.65 \end{aligned}$ | $\begin{aligned} & 45.21 \\ & -2.24 \end{aligned}$ | $\begin{aligned} & 45.02 \\ & -2.59 \end{aligned}$ |




Fig.4.9. Mechanical stress distribution in ferroelectric insulator when the applied voltage alternates from - be maximum to tee maximum.

Table 4.3: Angle of Electrical and mechanical stress distribution
(Ferro electric material) Applied potential goes from -ve maxirnum to +ve maximum.
$E_{\text {io }}=2000 \quad \mathrm{a}=\mathrm{b}=\frac{\mathrm{h}}{4}=1 \mathrm{~cm} . \quad \mathrm{E}_{\mathrm{s}}=10^{6} \mathrm{v} / \mathrm{m}$.

|  | $\begin{aligned} & 0 \\ & \theta_{\mathrm{e}} \\ & \theta_{\mathrm{m}} \end{aligned}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | $\begin{gathered} -122.07 \\ 17.16 \end{gathered}$ | $-102.23$ $61.38$ | $\begin{gathered} -121.93 \\ 21.89 \end{gathered}$ | $\begin{aligned} & -129.67 \\ & .7 .22 \end{aligned}$ | $\begin{gathered} -132.65 \\ 1.68 \end{gathered}$ | $\begin{aligned} & -133.92 \\ & -0.67 \end{aligned}$ | $-134.5$ $-1.74$ | $-134.8$ $-2.28$ |
| 3 | $\begin{array}{r} -122.35 \\ 17.69 \end{array}$ | $\begin{aligned} & -118.37 \\ & 26.65 \end{aligned}$ | $\begin{aligned} & -124.4 \\ & 16.18 \end{aligned}$ | $\begin{gathered} -129.36 \\ 7.38 \end{gathered}$ | $\begin{gathered} -132.19 . \\ 2.35 \end{gathered}$ | $\begin{aligned} & -133.63 \\ & -0.21 \end{aligned}$ | $\begin{aligned} & -134.34 \\ & -1.47 \end{aligned}$ | $\begin{gathered} -134.68 \\ -2.08 \end{gathered}$ |
| 2 | $\begin{aligned} & -123.43 \\ & 15.44 \end{aligned}$ | $\begin{aligned} & -124.16 \\ & 14.46 \end{aligned}$ | $-128.26$ $8.42$ | $\begin{gathered} -131.38 \\ 3.43 \end{gathered}$ | $\begin{gathered} -133.17 \\ 0.46 \end{gathered}$ | $\begin{aligned} & -134.09 \\ & -1.09 \end{aligned}$ | $\begin{aligned} & -134.54 \\ & -1.85 \end{aligned}$ | $\begin{aligned} & -134.73 \\ & -2.19 \end{aligned}$ |
| 1 | $\begin{aligned} & -123.11 \\ & 14.99 \end{aligned}$ | $\begin{gathered} -126.34 \\ 7.78 \end{gathered}$ | $\begin{aligned} & -133.43 \\ & -0.97 \end{aligned}$ | $\begin{aligned} & -134.91 \\ & -2.89 \end{aligned}$ | $\begin{aligned} & -135.08 \\ & -2.97 \end{aligned}$ | $\begin{aligned} & -135.04 \\ & -2.81 \end{aligned}$ | $\begin{aligned} & -134.99 \\ & -2.66 \end{aligned}$ | $\begin{aligned} & -134.93 \\ & -2.54 \end{aligned}$ |
| 0 | $\begin{aligned} & -121.42 \\ & 17.92 \end{aligned}$ | $\begin{aligned} & -144 \\ & -19.54 \end{aligned}$ | $\begin{aligned} & -139.48 \\ & -11.35 \end{aligned}$ | $\begin{aligned} & -137.13 \\ & -6.82 \end{aligned}$ | $\begin{aligned} & -135.99 \\ & -4.61 \end{aligned}$ | $\begin{aligned} & -135.44 \\ & -3.52 \end{aligned}$ | $\begin{aligned} & -135.16 \\ & -2.97 \end{aligned}$ | $\begin{aligned} & -134.98 \\ & -2.62 \end{aligned}$ |

### 4.5 DISCUSSION

In the above, electromechanical stress distributions in ferroelectric insulators have been studied. Section 4.3 gives the general form of stress analysis in ferroelectric insulators. In section 4.4, electromechanical stress has been calculated and investigated between two parallel plates. First of all, we have taken the case of electrostatic voltage applied to the plates. For this case, the electromechanical stress distributions are given in Figs. 4.3-4. Corresponding angle variations are shown in table 4.l. Peak stress value has been found to occur at the edge of the top plate. Since the medium is non-linear here, to maintain the same flux density the electric fields are higher in this case. Angle variation is almost same as the isotropic case. At $y=\frac{h}{2}$ the electromechanical stresses have only normal components and they are equal and opposite. But at the edge of top and bottom plates, the angle variations is such that they give rise to lateral components of stress.

As the applied potential alternates from +ve maximum to -ve maximum, some changes of stress distributions are observed as shown in Figs. 4.6•7. In this case, the maximum stress develops at the edge of upper plate and electromechanical stresses are higher than the static field case.

At the edges of upper and lower plates the angle variation is such that the dielectric between the plates undergo compressive stress. This angle variation is shown in Table 4.2. For the case when the applied potential alternates from -ve maximum to +ve maximum, the type of electromechanical stress distribution is shown in Figs. 4.8 and 4.9. The corresponding angle variations are shown in Table 4.3. The peak value of both electrical and mechanical stresses are higher than the above two cases but it occurs at the lower plate. Here the mechanical stress angles are such that the dielectric is subjected to tension. I'hus as the applied potential alternates, the ferroelectric material within the two parallel plates is subjected to alternate compression and tension. In both the cases high lateral stresses are developed in the radially outward direction.

## CHAPTER 5

GENERAL DISCUSSION AND CONCLUSION

A computer program has been developed for calculating the electromechanical stress distribution in insulators by finite element method. It involves rapid calculation of potential at different nodes, the electrical and mechanical stresses over different elements for both linear and non linear media.

To get an idea about the electro-mechanical stress over a $\hat{j}$ gegion, it is first necessary to know the potential distribution over the region. The space between two circular parallel plates has been divided into a finite number of triangular elements. Then assuming. linear dependênce of potential over the elements 0 Laplace's equation has been solved to get the potential at different nodes. It is observed that in an isotropic dielectric between two: circular parallel plates, the potentials at different nodes converge to the potential at the mid level between the parallel plates as the distance from the axis of the parallel plates increases. The above convergence of potentials becomes faster with the development of anisotropy in the dielectric. Potential distributions have been shown in Fig. 2.2 and Fig. 2.3 for the above two media.

Chapter 3 discusses the electromechanical stress analysis for both isotropic and anisotropic dielectrics between two circular parallel plates. In an isotropic dielectric the magnitudes of electromechanical stressesare plotted in Figs. 3.3 and 3.4 and corresponding stress angles are given in Table 3.2. For anisotropic case, the above results are shown in Figs. 3.7 and 3.8 and Table 3.4 respectively. It is unaerstood from these plots that the peak value of electromechanical stress always occurs at the edge of the upper plate and the peak value rises with the increase of axial anisotropy in the dielectric. In the anisotropic case, the stress value dies out more rapidly as the distance from the axis of the plates increases. At the mid-level stresses are purely vertical but the lateral stresses in the upper and lower portions are oppositely directed giving rise to shear. For anisotropic case, this shear is intensified.

Since ferroelectric materials are extensively used in fabrication of high voltage insulators because of their high relative permittivity, a study has been given to the electromechanical stress distribution in such materials. Because of the nonlinearity in the medium, the stresses can not be calculated in a direct manner. The finite ele-. ment method enablesus to linearize the medium in a piecewise
manner and evaluate the effective non-linear characteristics. In view of the hysteresis curve of polarization of ferroelectric materiais, three cases were investigated. These are. (i) insulator subjected to a d.c. voltage (2) insulator subjected to an a-c voltage and the applied voltage goes from +ve maximum to -ve maximum and (3) when the applied voltage goes from the -ve maximum to +ve maximum. Peak value of the electromechanical stress takes place at the edge of parallel plate.

For the first two cases, the maximum stress occurs at the edge of the upper plate, but for the third case the maximum stress value occurs at the lower plate. It is also observed that the peak value of both electrical and mechanical stress rises if an alternating potential is applied. But the most severe case is when the applied potential goes Erom -ve maximum to +ve maximum. Here electromechanical stresses exceed the former two cases. These are illustrated in Figs. 4.4-4.9. For an alternating field, the electromechanical stresses converge to a constant value as the distance from the edge of parallel plates increases. This constant value of stress is due to the remanant polarization with the withdrawal of external field. Again from tables 4.2 and 4.3 of electromechanical stress angles, it is observed that during the time when the applied potential goes from tve maximum to -ve maximum, the material between
the two parallel plates is subjected to compressive stress and when the applied potential goes from-ve maximum to +ve maximum, the material is subjected to a tensile stress. However, lateral stress in the radially outward direction is present in all the cases. Thus as the applied potential fluctuates, the dielectric material is subjected to repeated compression and expansion in the axial direction with a constant expanding tendency in the radial direction.

The finite element method is very useful technique for solving such type of boundary value problem where exact analytical solution is formidable. Energy calculation in insulators having different shapes and to find the optimum shape of insulators in light of the electromechanical stress aralysis discussed above is of considerable interest. Hernce the analysis may be extended to computer aided design of insulators.

## APPENDIX - A

A.l Laplace's equation for a chärge free region is given by

$$
\begin{equation*}
\nabla^{2} \phi=0 \tag{1}
\end{equation*}
$$

In variational form, the above eqn. (1) reduces to

$$
\begin{align*}
\int \delta \nabla^{2} \phi \delta \phi d s & =0 \\
\text { or } \quad & \int \delta \delta \phi \nabla^{2} \phi d s=0 \tag{2}
\end{align*}
$$

Now, let us consider the identity

$$
\begin{equation*}
\nabla \cdot(a \nabla b)=a \nabla^{2} b+\nabla a \cdot \nabla b \tag{4}
\end{equation*}
$$

Let us put, $\mathrm{a}=\delta \phi$ and $\mathrm{b}=\phi$ in (4)
So, we get
or

$$
\begin{align*}
\delta \phi \nabla^{2} \phi & =\nabla \cdot(\delta \phi \nabla \phi)-\nabla \delta \phi \cdot \nabla \phi \\
\delta \phi \nabla^{2} \phi & =\nabla \cdot(\delta \phi \nabla \phi)-\frac{1}{2} \delta(\nabla\rangle^{2} \ldots \tag{5}
\end{align*}
$$

Let us now take a surface $S$ enclosed by the contour $C$ and lei n be the normal the surface shown in Fig. l


Fig. 1

Applying Gauss's Theorem over surface $S$

$$
\begin{align*}
\int_{s} \nabla \cdot(\delta \phi \nabla \phi) \mathrm{ds} & =\int_{\mathrm{c}} \overrightarrow{\mathrm{n}} \cdot \nabla \phi \delta \phi \mathrm{dl} \quad \ldots  \tag{6}\\
& =\int_{\mathrm{C}} \frac{\partial \phi}{\partial \mathrm{n}} \mathrm{dl} \tag{7}
\end{align*}
$$

Now applying relations (5) and (7), Laplace's equation in variational form (3) reduces to

$$
\begin{equation*}
\int \delta\left[-\frac{1}{2} \delta(\nabla \phi)^{2}\right] d s+i_{c} \frac{\partial \phi}{\partial \mathrm{n}} \delta \phi \mathrm{dl}=0 \tag{8}
\end{equation*}
$$

or $\quad \delta\left\{\frac{1}{2} \iint\left[(\nabla \phi)^{2}\right] d s\right\}-\oint_{C} \frac{\partial \phi}{\partial n} \delta \phi d l=0$

Let us now put the limiting conditions
(1) $\phi=$ constant on the surface $C$ giving

$$
\delta \phi=0 \quad \text { (Dirichlet ) }
$$

(2) $\frac{\partial \phi}{\partial n}=0$ on surface $C$ (Neumann)

Then eqn. (9) reduces to

$$
\begin{equation*}
\delta\left\{\frac{1}{2} \int j\left[(\nabla \phi)^{2}\right] \text { ds }\right\}=0 \tag{10}
\end{equation*}
$$

Let us now suppose that the extremum functional be $J$ such that $\delta J=0$.

So, we get

$$
\begin{equation*}
J(\phi)=\frac{1}{2} \iint\left[(\nabla \phi)^{2}\right] \mathrm{ds} \tag{11}
\end{equation*}
$$

A. 2 Determination of the tensor elements $S_{i j}$ for ferroelectric insulators:

We have,

$$
\begin{align*}
& D_{z}\left(\frac{\partial E_{x}}{\partial z}-\frac{\partial E_{z}}{\partial x}\right)-D_{y}\left(\frac{\partial E_{y}}{\partial x}-\frac{\partial E_{x}}{\partial y}\right)+E_{x} \nabla \cdot \bar{D} \\
& =D_{z} \frac{\partial E_{x}}{\partial z}-D_{z} \frac{\partial E_{z}}{\partial x}-D_{y} \frac{\partial E_{y}}{\partial x}+D_{y} \frac{\partial E_{x}}{\partial y} . \\
& +E_{x} \frac{\partial D_{x}}{\partial x}+E_{x} \frac{\partial D_{y}}{\partial y}+E_{x} \frac{\partial D_{z}}{\partial z}  \tag{1}\\
& =-D_{z} \frac{\partial E_{z}}{\partial x}-D_{y} \frac{\partial E_{y}}{\partial x}+E_{x} \frac{\partial D_{x}}{\partial x}+\frac{\partial}{\partial y}\left(E_{x} D_{y}\right)+\frac{\partial}{\partial z} \quad\left(D_{z} E_{x}\right)
\end{align*}
$$

Now,

$$
\begin{align*}
-D_{z} \frac{\partial E_{z}}{\partial x} & =-\varepsilon_{\rho}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) \frac{\partial E_{z}}{\partial x} \\
& =-\varepsilon_{o} \frac{\partial}{\partial x}\left(\frac{\varepsilon_{r o}}{2} E_{z}^{2}-\frac{k}{4} E_{z}^{4}\right) \tag{3}
\end{align*}
$$

Similarly

$$
\begin{align*}
-D_{y} \frac{\partial E_{y}}{\partial x} & =-\varepsilon_{o}{ }^{\left(\varepsilon_{r o} E_{y}-k E y^{3}\right) \frac{\partial E_{y}}{\partial x}} \\
& =-\varepsilon_{o} \frac{\partial}{\partial x}\left(\frac{\varepsilon_{r o}}{2} E_{y}^{2}-\frac{3}{4} k E_{y}^{4}\right)  \tag{4}\\
E_{x} \frac{\partial D}{\partial x} & =\varepsilon_{o} \frac{\partial}{\partial x}\left(\frac{\varepsilon_{r o}}{2} E_{x}^{2}-\frac{3}{4} k E_{x}^{4}\right) \tag{5}
\end{align*}
$$

Adding (3), (4) and (5) we get

$$
\begin{aligned}
& -D_{z} \frac{\partial E_{z}}{\partial x}-D_{y} \frac{\partial E_{y}}{\partial y}+E_{x} \frac{\partial D_{x}}{\partial x} \\
& =\varepsilon_{0} \frac{\partial}{\partial x}\left[-\frac{\varepsilon_{r o}}{2} E_{z}^{2}+\frac{k}{4} E_{z}^{4}-\frac{\varepsilon_{r O}}{2} E_{y}^{2}+\frac{k}{4} E_{y}^{4}\right. \\
& \\
& \left.\quad+\frac{\varepsilon_{r o}}{2} E_{x}^{2}-\frac{3}{4} k E_{x}^{4}\right] \\
& =
\end{aligned}
$$

where

$$
\begin{equation*}
E=\sqrt{E_{x}^{2}+E_{y}^{2}+E_{z}^{2}} \tag{6}
\end{equation*}
$$

Thus

$$
\cdot S_{11}=\varepsilon_{o}\left[\varepsilon_{r O}\left(E_{x}^{2}-\frac{1}{2} E^{2}\right)+\frac{k}{4}\left(E_{y}^{4}+E_{z}^{4}-3 E_{x}^{4}\right)\right]
$$

$$
\begin{align*}
& S_{12}=E_{x} D_{y}=\varepsilon_{o}\left(\varepsilon_{r o} E_{y}-k E_{y}^{3}\right) E_{x}  \tag{8}\\
& S_{I 3}=D_{z} E_{x}=\varepsilon_{o}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) E_{x} \quad \cdots \tag{9}
\end{align*}
$$

Similarly,

$$
\begin{align*}
& S_{2 I}=D_{x} E_{y}=\varepsilon_{o}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right) E_{y}  \tag{I0}\\
& S_{22}=\varepsilon_{o}\left[\frac{\varepsilon_{r o}}{2}\left(E_{y}^{2}-\frac{I}{2} E^{2}\right)+\frac{k}{4}\left(E_{z}^{4}+E_{x}^{4}-3 E_{y}^{4}\right)\right] \tag{11}
\end{align*}
$$

$S_{23}=D_{z} E^{\prime}=\varepsilon_{o}\left(\varepsilon_{r o} E_{z}-k E_{z}^{3}\right) E_{y}$
$S_{31}=D_{x} E_{z}=\varepsilon_{o}\left(\varepsilon_{r o} E_{x}-k E_{x}^{3}\right) E_{z}$
...
$S_{32}=D_{Y} E_{z}=\varepsilon_{o}\left(\varepsilon_{r o} E_{y}-k E^{3}\right) E_{z}$
...


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$v j(z)=0 . j$




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$=j=x!i-x+1$
$=\therefore=x j-x i$

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```
    \(\therefore \because=Y(i-1)-Y\left(Y_{i}\right)\)
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A V J = MTAV (TBETSI)



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$S i=+X 1($ SSS $)$




```
        \(Y j=Y J(J X)\)
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        \(x j \sum=x j(j, \stackrel{y}{x})\)
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        \(\langle=\langle J X\rangle\)
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        \(\therefore \Delta=1\) :
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$y=v+V$
$4 \leq=4+i$




$j=-J+1$
$P=Z \bar{A}(L J, L J)$

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\(4 \quad 5 \cup 4=5 u^{4}+2 i\left(i+\ldots(1): v o\left(x^{4}\right)\right.\)
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